

# Excited bound states and their role in dark matter production

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in collaboration with:

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based on:

arXiv:2308.01336

arXiv:2411.08737

# Outline

- 1 Introduction: Thermal Production and Freeze-out
- 2 Theory background: bound states in PNREFT
- 3 Bound state formation: semi-classical picture
- 4 Intermezzo: Perturbative Unitarity Violation in BSF
- 5 Toy model: Bound States in dark gauge sectors
- 6 Realistic model: colored + charged t-channel mediator

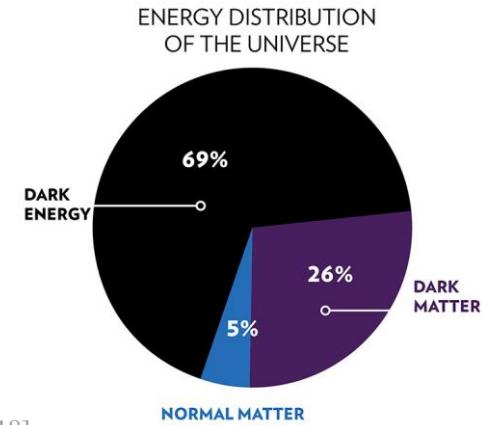
# Dark Matter Phenomenology – crash course

## Dark Matter:

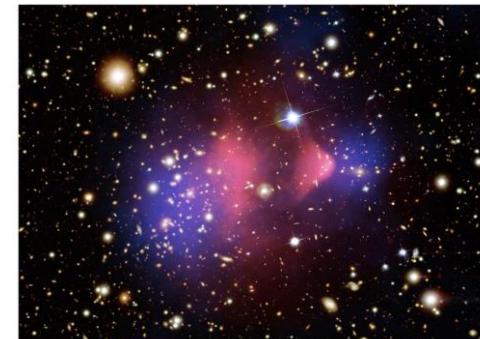
Key ingredient in modern cosmology

(gravitational evidence from all length scales)

- Dark
- Abundant
- Cold (probably)
- Some strong constraints from cosmology:
  - Cosmic Microwave Background  $\Omega_{\text{DM}} h^2 = 0.120 \pm 0.001$  [Planck, 2018]
  - Baryogenesis (must not be altered)
  - Structure formation (no warm / hot DM)
- ...
- else: No evidence from SM physics! (in)direct detection / collider searches / ...



→ *How is DM produced in the early Universe?*



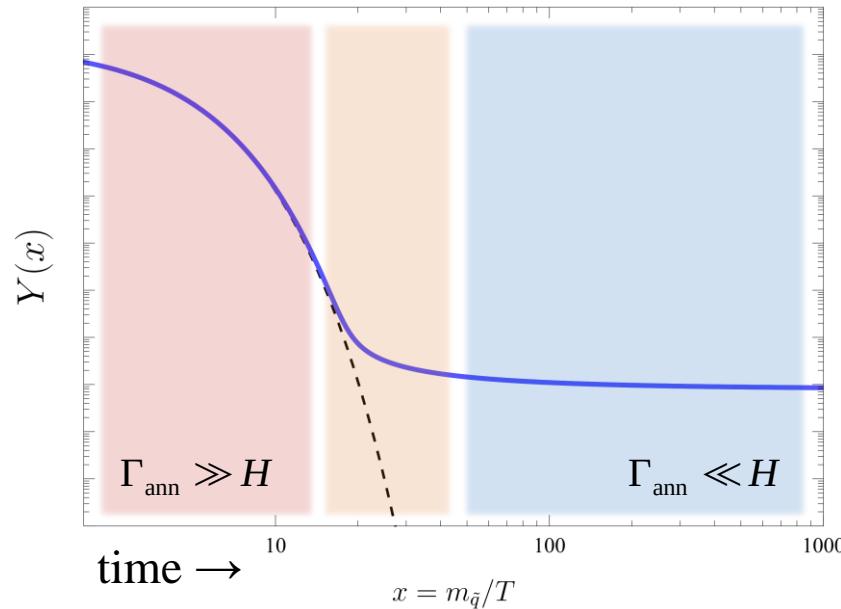
[Bullet Cluster; X-ray: NASA/CXC/CfA/]

# Freeze-Out mechanism

Boltzmann equation:  $\frac{dY}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \frac{1}{2} \langle \sigma v \rangle (Y^2 - Y^{eq2})$

“time” coordinate:  $x = \frac{m_\chi}{T}$

particle abundance:  $Y = \frac{n}{s} = \frac{\text{particle number}}{\text{entropy}}$



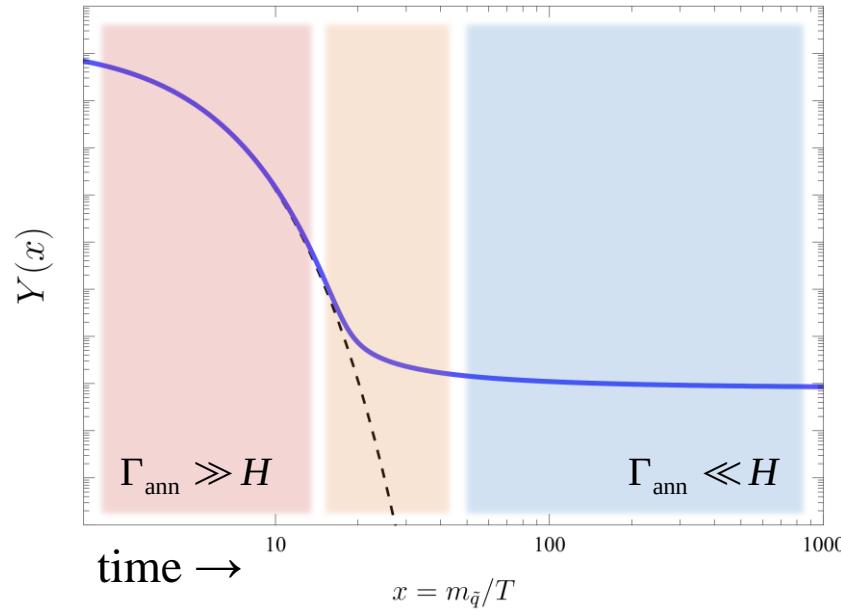
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Thermally averaged annihilation cross-section

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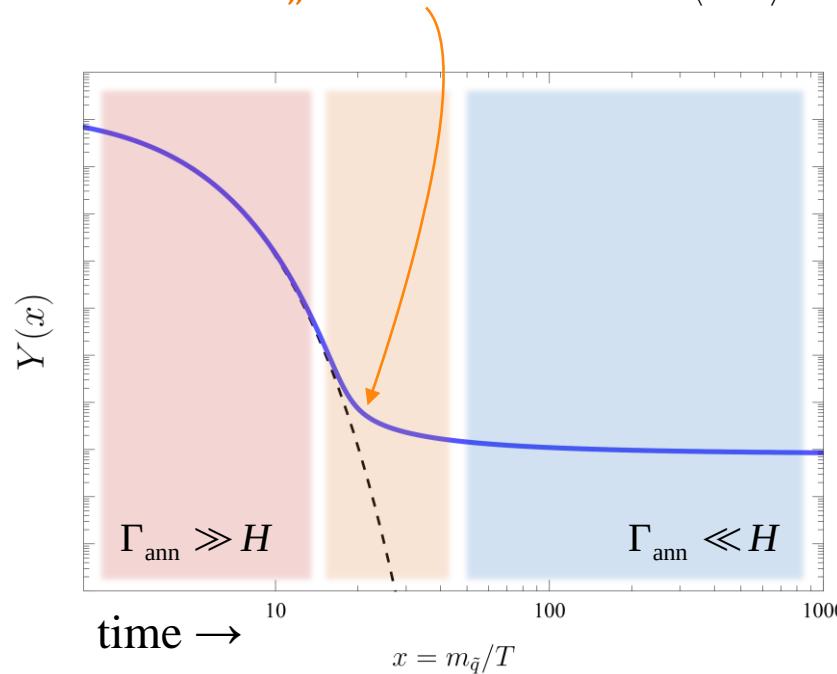
# Freeze-Out mechanism

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Thermally averaged annihilation cross-section

→  $\frac{\Gamma_{ann}}{H} = \frac{n \cdot \langle \sigma v \rangle}{H} \sim T \cdot \langle \sigma v \rangle \quad \text{with} \quad n \sim T^3, \quad H \sim T^2$

annihilation turns inefficient: „Freeze Out“ when  $\langle \sigma v \rangle < T^{-1} \sim x$



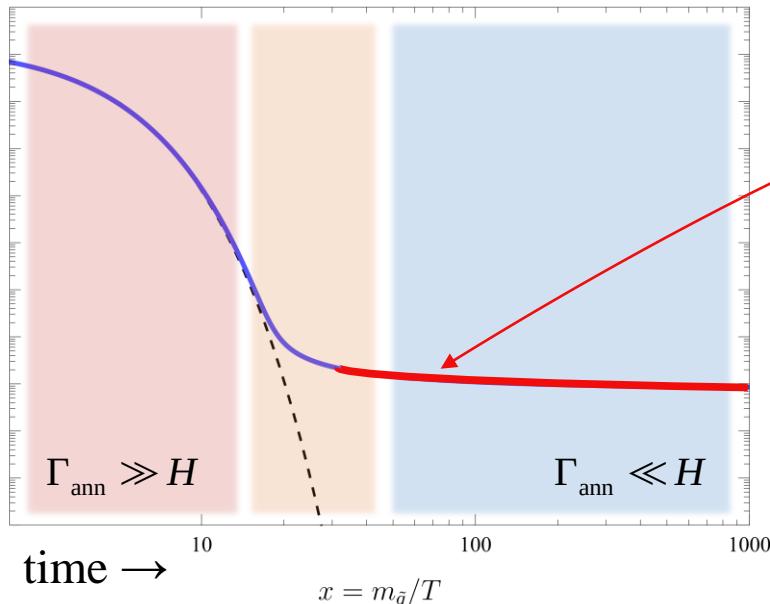
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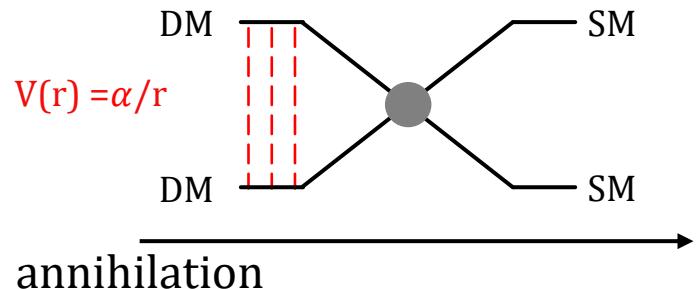
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„Sommerfeld Effect“

[Hisano, Matsumoto, Nojiri, Saito: 2005]

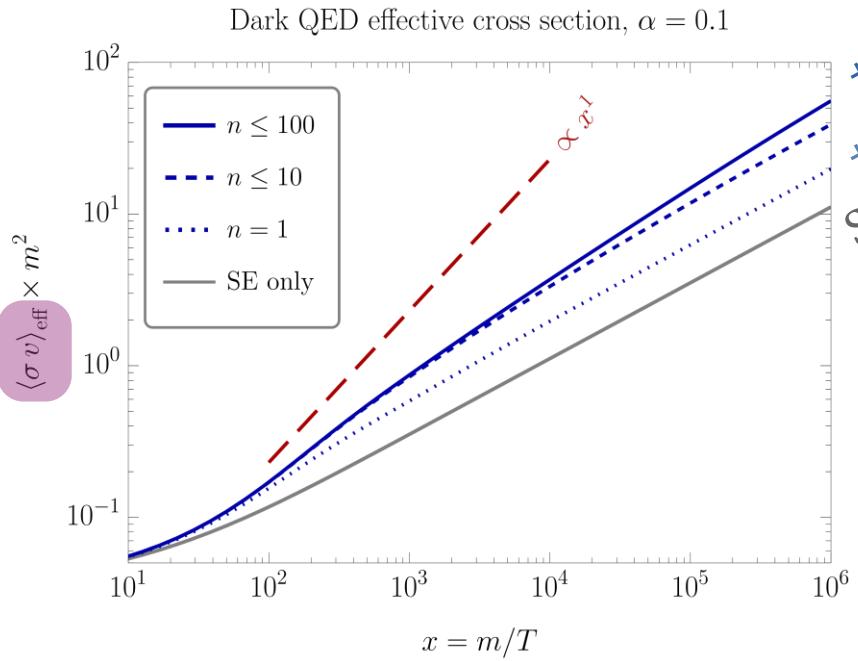
Long range interactions:



# Effective cross-section: U(1) vs SU(N)

$$\frac{dY}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \frac{1}{2} \langle \sigma v \rangle (Y^2 - Y^{eq2})$$

dark U(1)



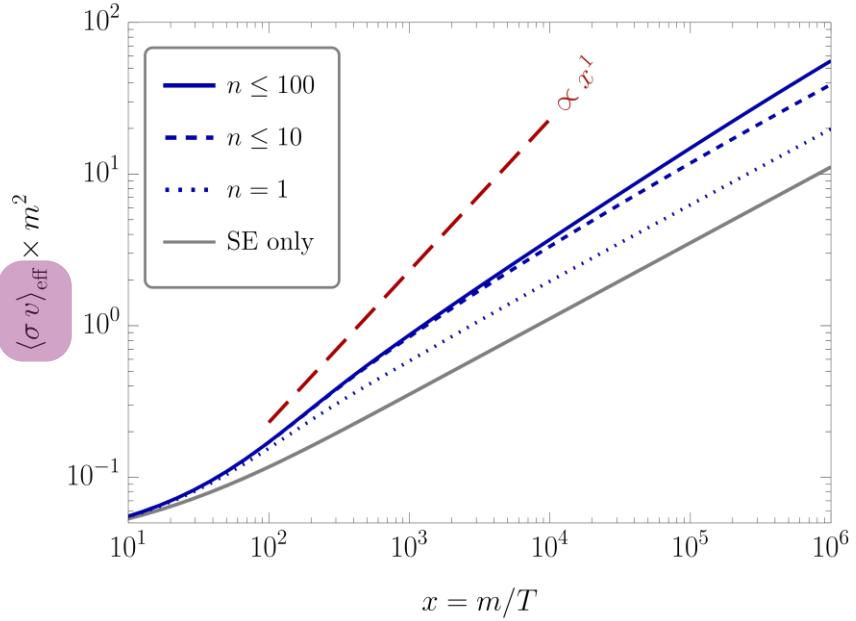
+capture into any bound state ( $n \leq 100$ )  
+capture into ground-state  
Sommerfeld enhancement

# Effective cross-section: U(1) vs SU(N)

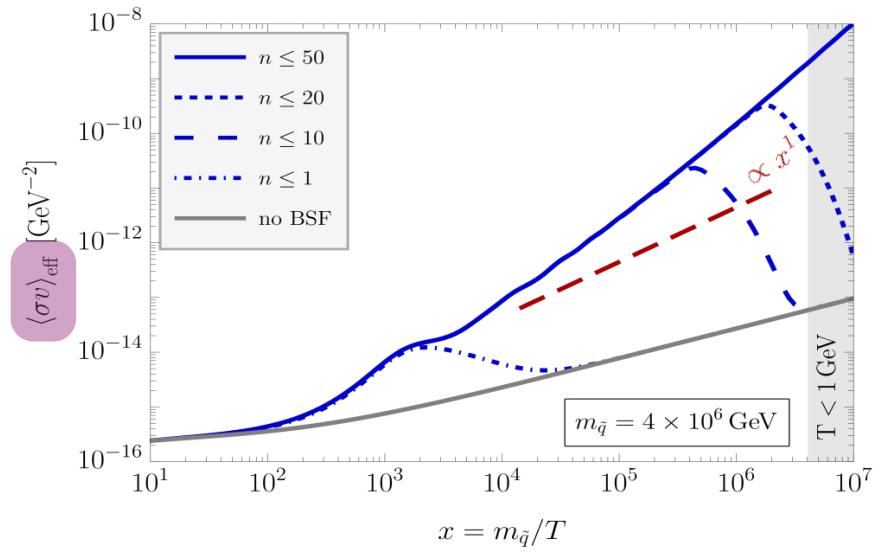
$$\frac{dY}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \frac{1}{2} \langle \sigma v \rangle (Y^2 - Y^{eq2})$$

**dark U(1)**

Dark QED effective cross section,  $\alpha = 0.1$

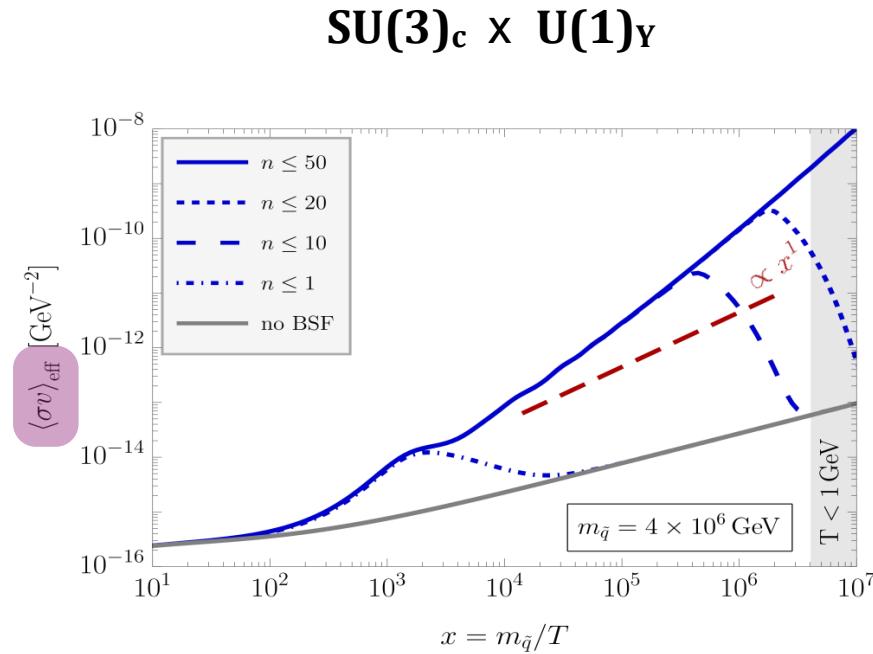


**SU(3)<sub>c</sub> × U(1)<sub>Y</sub>**



# Effective cross-section: U(1) vs SU(N)

$$\frac{dY}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \frac{1}{2} \langle \sigma v \rangle (Y^2 - Y^{eq2})$$



**Efficient BSF prevents Chemical Decoupling !**

# Theory background

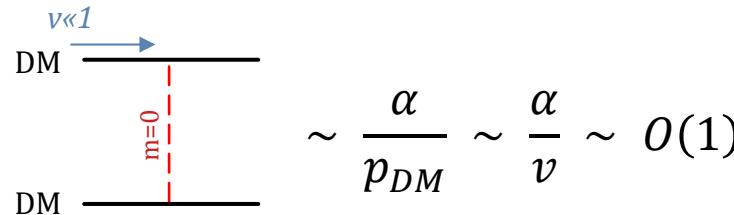
**PNREFT**

Potential Non-Relativistic Effective Theory

# Theory Background: long-range Potentials

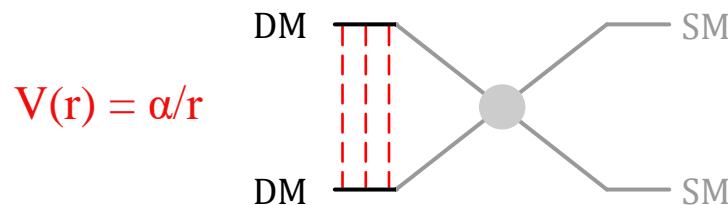
For interactions with **light mediators**, perturbation theory breaks down:

- $1 \gg \alpha$  perturbative QFT
- $1 \gg v$  NREFT
- $\alpha \gtrsim v$  PNREFT


$$\sim \frac{\alpha}{p_{DM}} \sim \frac{\alpha}{v} \sim O(1)$$

→ Resum interaction to **all orders**  
⇒ Coulomb-potential.

„Sommerfeld Effect“ = long-range potentials between heavy particles



$$(\sigma v) = |\psi(0)|^2 (\sigma v)_{\text{Born}} \sim \frac{1}{v_{\text{rel}}} (\sigma v)_{\text{Born}}$$

for DM: [Hisano et al.: 2005]

# Theory background: bound states in SU(N)

$$V(r) = \frac{\alpha_{\text{eff}}}{r}$$

**Attractive**, potentials can also host **bound states**!

→ What is the potential strength?

**QED** (or  $U(1)$ ):  $\alpha_{\text{eff}} = -Q_{\chi_1} Q_{\chi_2} \alpha_{\text{em}}$

**QCD** (or  $SU(N)$ ): quarks = **3** in  $SU(3)$

$$q \otimes \bar{q} \simeq \mathbf{3} \otimes \bar{\mathbf{3}} = \mathbf{1} \oplus \mathbf{8}$$

$$\alpha_{[8]} = -\frac{1}{6} \alpha_3$$
$$\alpha_{[1]} = +\frac{4}{3} \alpha_3$$

(For DM, representations may differ from  $\mathbf{F} \otimes \mathbf{F}^*$ .)

→ 2-body states exist in different eigenstates of the potential.

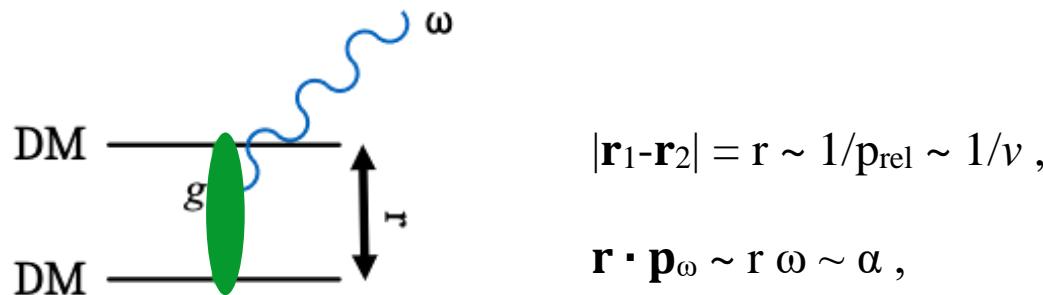
⇒  $SU(N)$  gauge interactions can yield **different** & repulsive potentials.

# Theory background: Multipole interactions

~ „Which process forms bound states?“

- Scales in PNREFT:
- $M_\chi$  mass
  - $p \sim Mv$  momentum
  - $E \sim M\alpha^2, Mv^2$  energy

„Ultra-soft“ emissions,  $\omega \sim M_\chi \alpha^2$ , can be expanded in multipole orders  $L$ :



$$\mathcal{L} \supset g (\mathbf{r} \cdot \mathbf{p}_\omega)^L \propto g \alpha^L \ll 1$$

These provide **bound-state formation**, Bremsstrahlung & bound-to-bound transitions.

# Theory background: PNREFT Lagrangian

We are interested in **annihilation** and **bound states**.

⇒ project into 2-particle space:

- 2 separate species ( $S, B$ ) for **scattering** & **bound states**.
- independent potentials!
- include only the leading **multipole operator**.

$$\begin{aligned}\mathcal{L}_{\text{BSF}} = & \quad \mathcal{S}^\dagger(R, \vec{r}) \left( i\partial^0 + \frac{\vec{\partial}_r^2}{M_\chi} - \delta M_S + \frac{\alpha_s}{r} \right) \mathcal{S}(R, \vec{r}) \\ & + \mathcal{B}^\dagger(R, \vec{r}) \left( i\partial^0 + \frac{\vec{\partial}_r^2}{M_\chi} - \delta M_B + \frac{\alpha_b}{r} \right) \mathcal{B}(R, \vec{r})\end{aligned}$$

$$+ g_a^{\text{eff}} p_\phi^a r^a P_a(\hat{p}_\phi \cdot \hat{r}) \mathcal{B}^\dagger(R, \vec{r}) \phi^\dagger(R) \mathcal{S}(R, \vec{r})$$

+ „h.c.“

+ „ $i\delta(r)$  annihilation operators“

think „S = scattering state“.  
if  $\alpha_s > 0$ , also bound states S exist

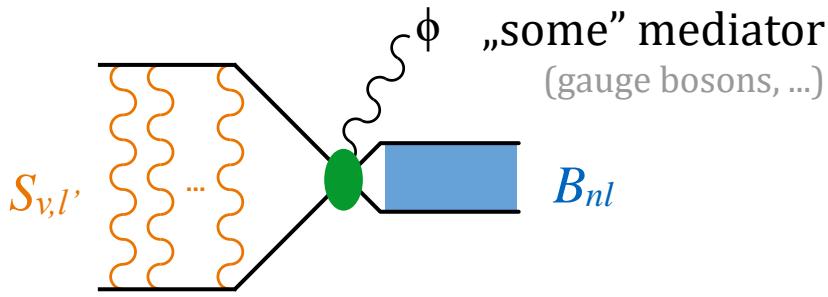
think „B = bound state“.  
also B scattering-states exist

The equations of motion are simply the *Schrödinger equation*.

# radiative Bound State Formation

*„Seeing the formula“ ≠ „Understanding the physics“*

# General BSF expression



Some notation

$n$  = major quantum number

$l', l$  = partial-wave numbers

$$\kappa \equiv \frac{\alpha_s}{\alpha_b},$$

$$\zeta_n \equiv \frac{\alpha_b}{nv}, \quad \zeta_s \equiv \frac{\alpha_s}{v}$$

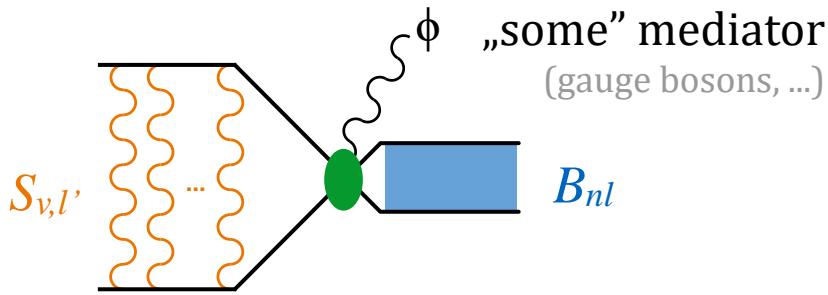
The computation is basically Quantum Mechanics.

General result for all  $\{n, l, p, l', L, \alpha_s, \alpha_b\}$ :

[Gordon: 1929]

[Beneke, Binder, Garny, **SL**, De Ros: 2024]

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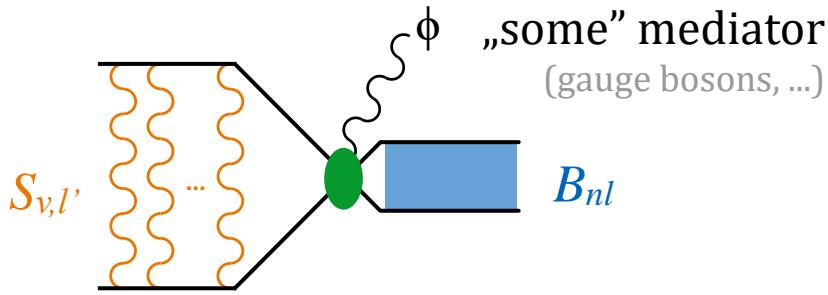
amplitude

$$|M|^2 \propto \sum_m |\langle nlm | r^L | \vec{p}, l' \rangle|^2 \propto I_A \times I_R$$

radial overlap

$$I_R = \frac{2^{4\ell+2} \zeta_n^{2\ell+3}}{(\mu v)^{3+2L} (1 + \zeta_n^2)^{2\ell+4}} \frac{\Gamma(\ell' + 1)^2 \Gamma(n + \ell + 1)}{n \Gamma(2\ell + 2)^2 \Gamma(n - \ell)} S_{\ell'}(\zeta_s) e^{-4\zeta_s \gamma_n} \\ \times \left| \frac{1 - e^{2i(2(n-\ell)\gamma_n - \gamma_F - \gamma_R)}}{n \kappa \zeta_n (\zeta_n^2 - 1 + \frac{2}{\kappa})} \right|^2 |F_+(0)|^2 |R_{\ell'-\ell}^L|^2,$$

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**Sommerfeld factor**

$$\times \underbrace{\left| \frac{1 - e^{2i(2(n-\ell)\gamma_n - \gamma_F - \gamma_R)}}{n \kappa \zeta_n (\zeta_n^2 - 1 + \frac{2}{\kappa})} \right|^2}_{\sin^2(\text{phase})} \underbrace{|F_+(0)|^2}_{\text{a single}} \underbrace{|R_{\ell'-\ell}^L|^2}_{\text{hypergeometric}},$$

a rational polynomial

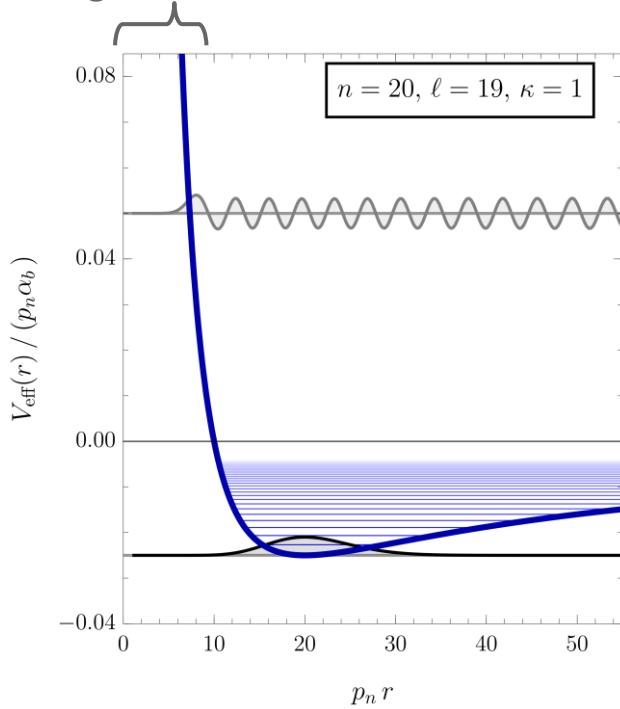
# radiative Bound State Formation

„*Seeing the formula*“ ✓ ≠ „*Understanding the physics*“

# Abelian scenario: $\alpha_b = \alpha_s$

Attractive initial state:  $V_{\text{initial}}(r) = V_{\text{final}}(r)$

centrifugal barrier for  $l > 0$



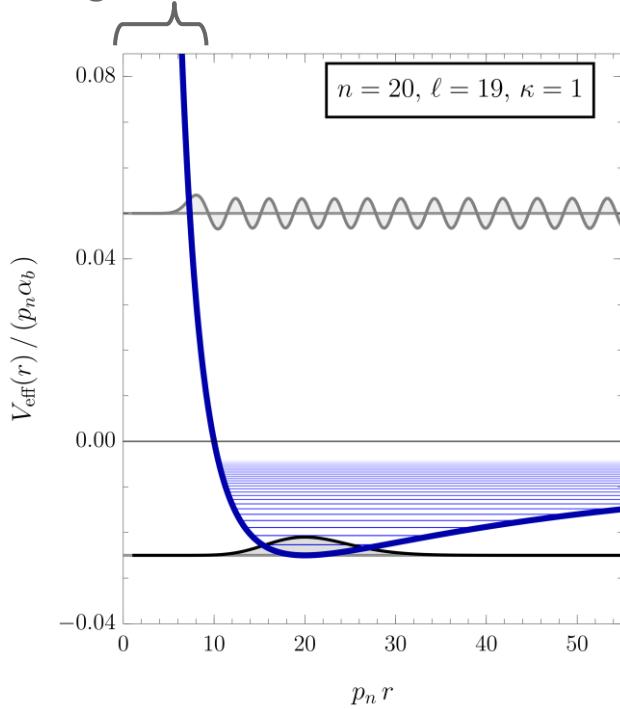
$$K = \frac{M_\chi}{4} v^2$$

$$E_n = \frac{M_\chi \alpha_b^2}{4n^2}$$

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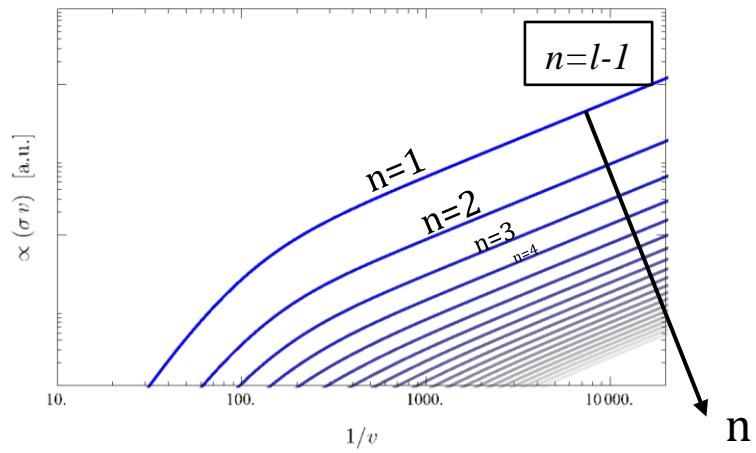
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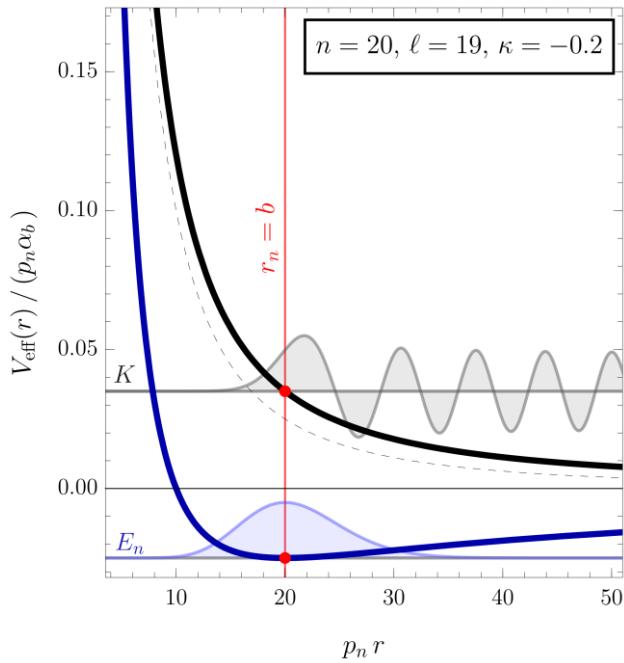
$$E_n = \frac{M_\chi \alpha_b^2}{4n^2}$$



$\Rightarrow$  Higher  $n$  are suppressed.

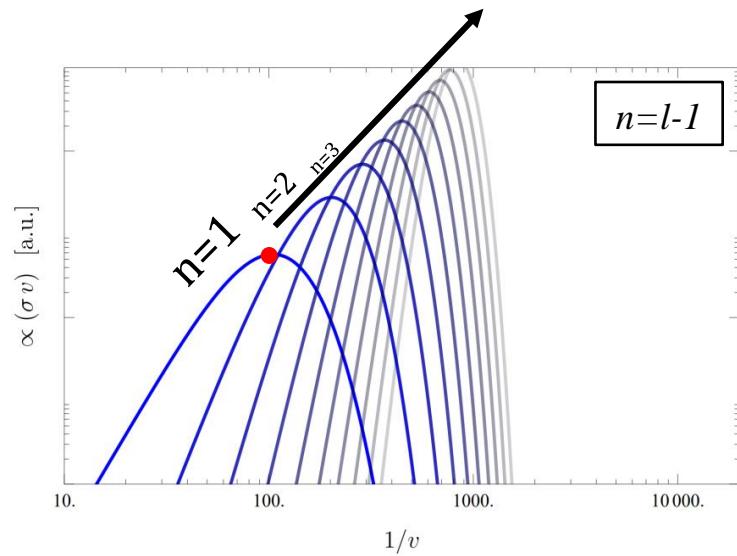
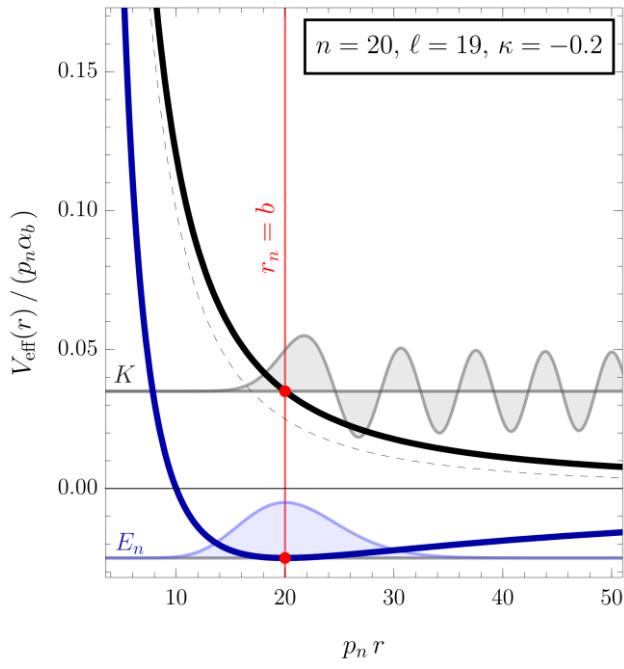
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Repulsive initial state:  $V_{\text{initial}}(r) < 0$



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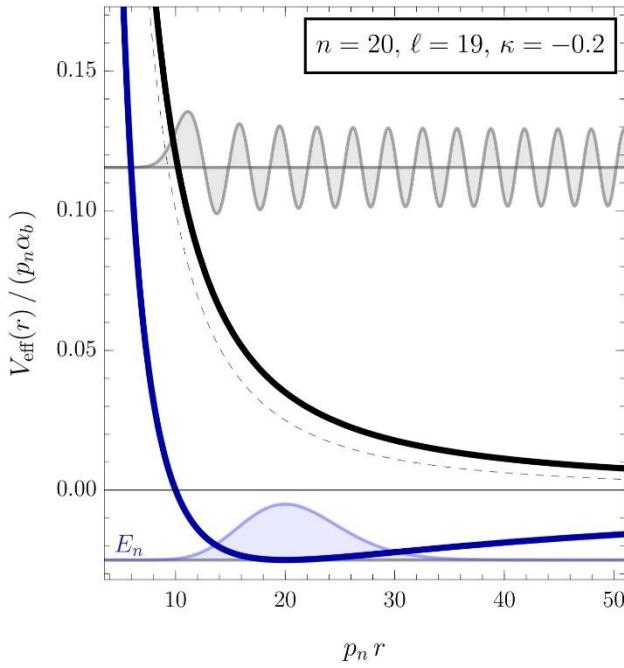
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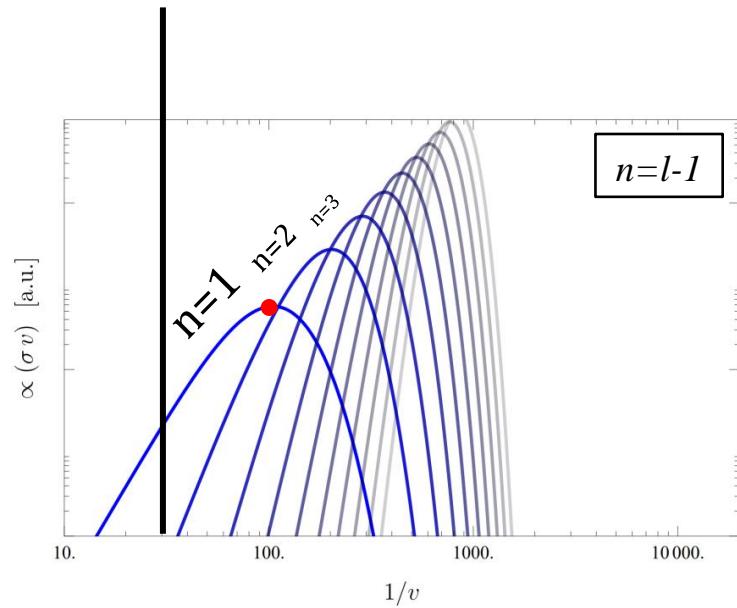
$\Rightarrow$  Higher  $n$  are enhanced !  
BSF stronger than in the attractive case !

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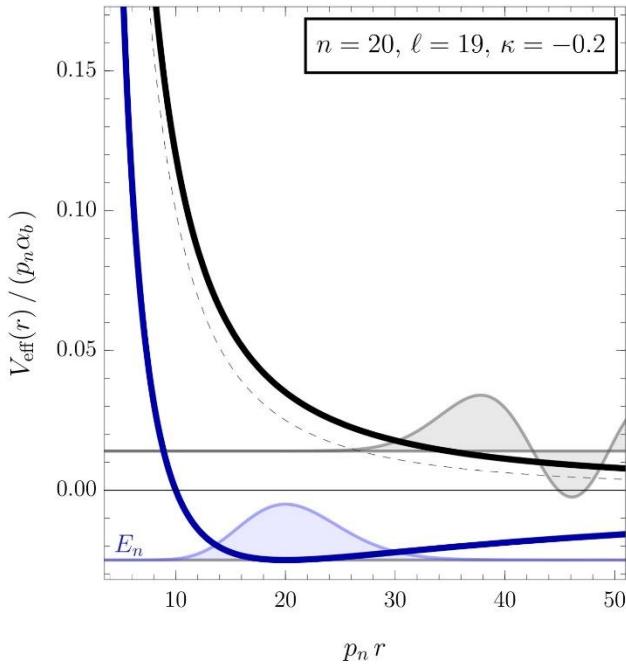
Too large  $v$ :  
particles fly past each other



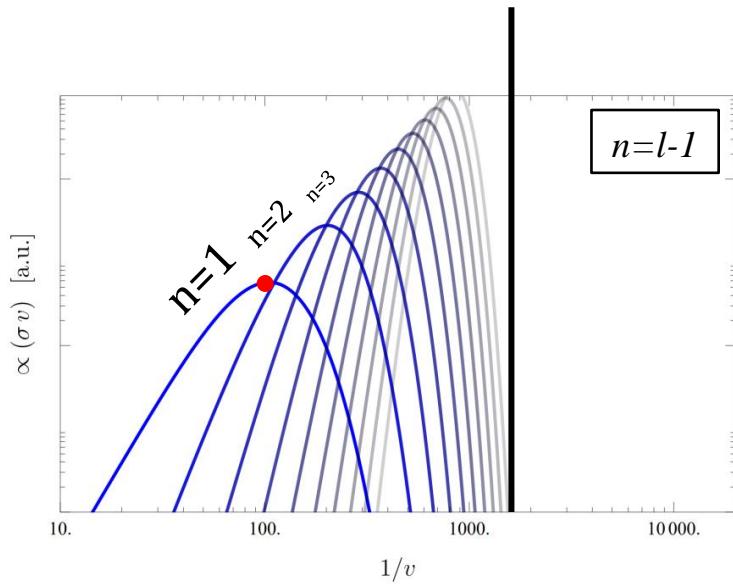
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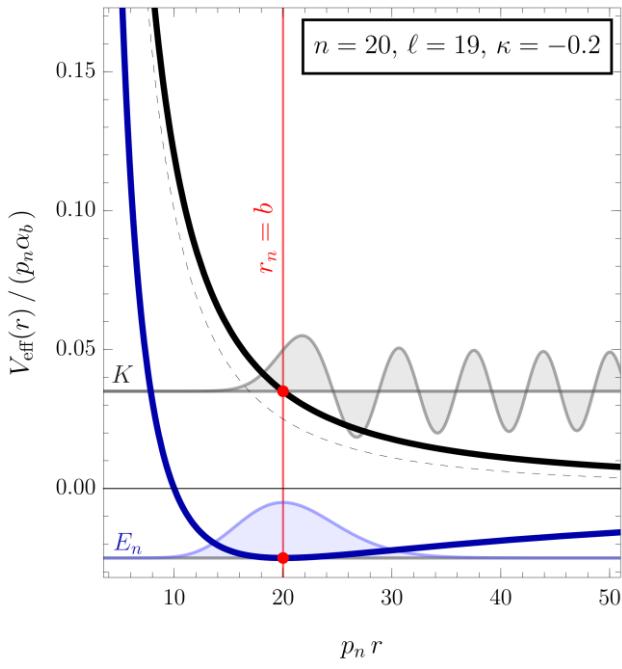
Too low  $v$ :  
particles bounce off each other



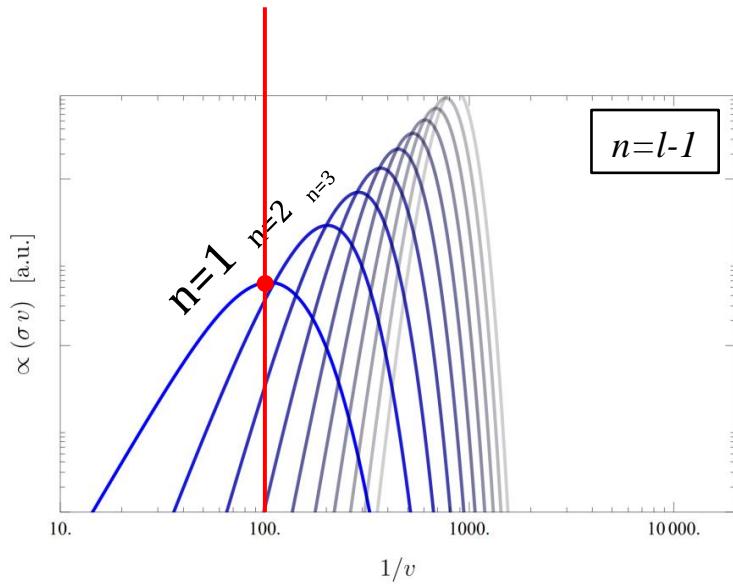
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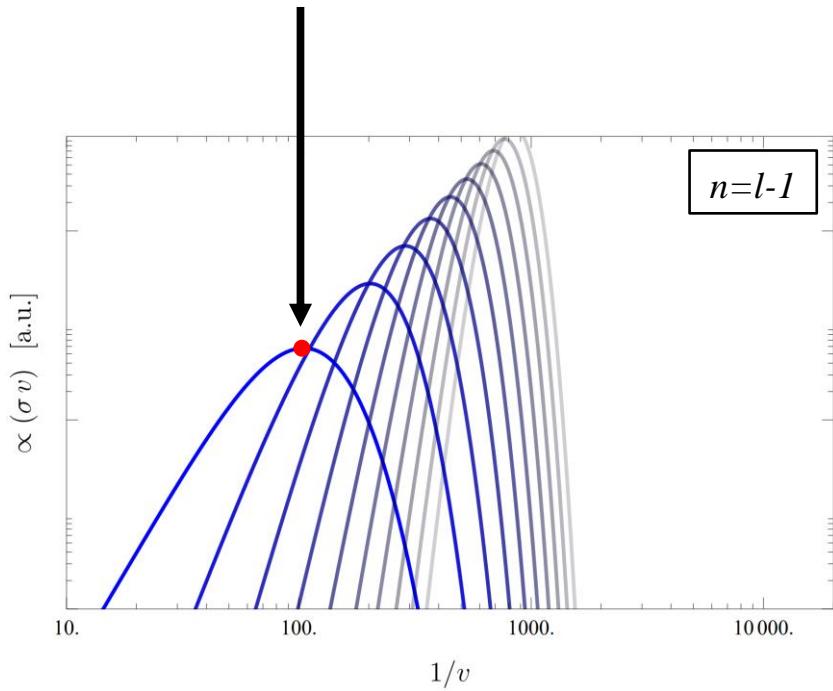
Maximum overlap



$\Rightarrow$  Higher  $n$  are enhanced !  
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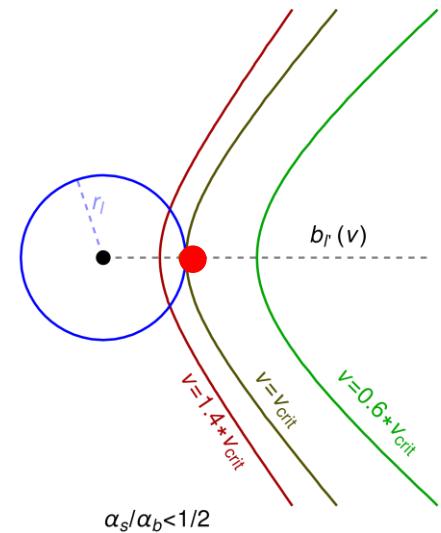
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Anomalous **enhancement** over the „Abelian“ case of identical potentials.



**Classical analogy:**  
smooth matching of orbits

$$r_n = b_l, \\ p_n = p(r = b_l)$$



The enhancement grows strongly with  $n$  !

Intermezzo:

## perturbative Unitarity Violation

$2 \rightarrow 2$  scattering bound from S-matrix unitarity:

$$(\sigma_{2 \rightarrow 2} v)_{l'} \leq (\sigma v)_{l'}^{uni} \equiv \frac{4\pi (2l' + 1)}{m^2 v} \sim \frac{1}{v}$$

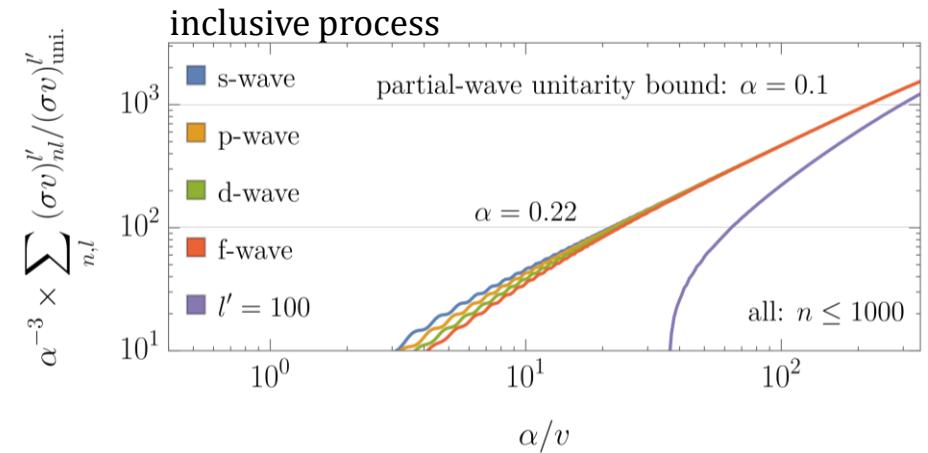
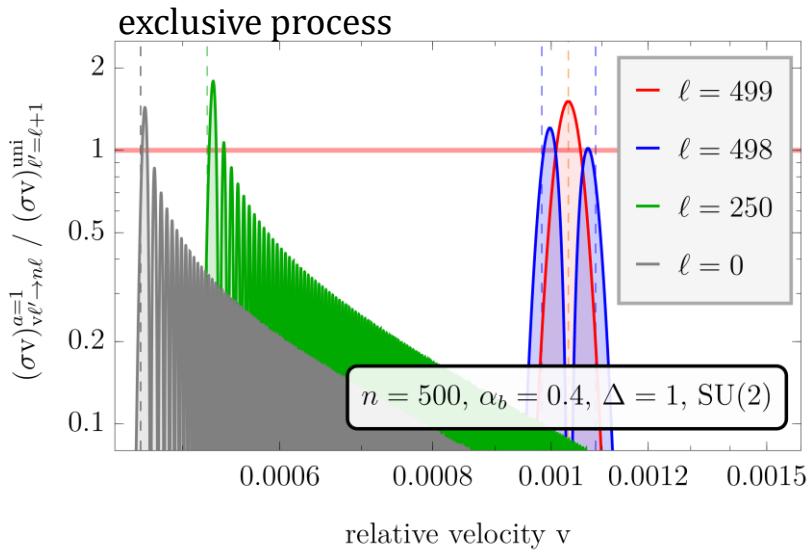
# Perturbative Unitarity Violation in BSF

- Proven: for maximal angular momentum  $l=n-1$

$$\max_v \left\{ (\sigma v)_{l' \rightarrow l=n-1}^{BSF} \right\} \propto \sqrt{n} \times (\sigma v)_{l'}^{\text{uni}}$$

- Proven: When summed in  $n$ , there will always be UVi below some critical velocity.
- Proven: The UVi depends on the coupling ratio  $\kappa < 1$ .

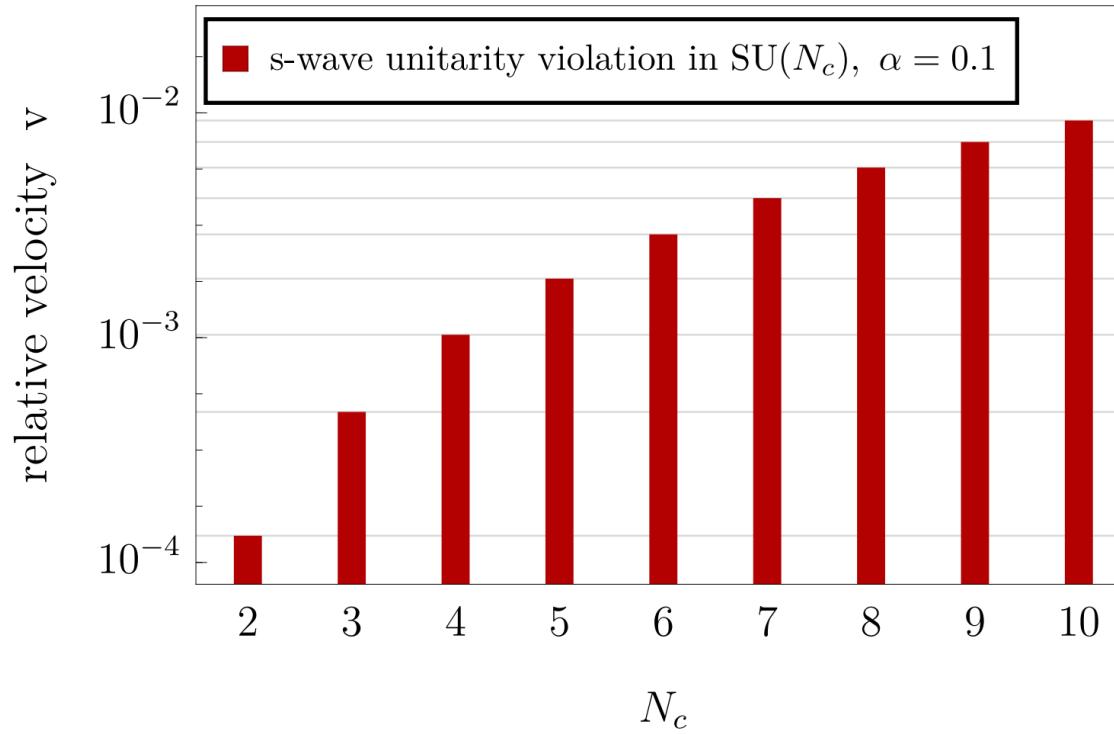
*For any small coupling, high  $n$  violate unitarity !*



# Unitarity violation in dark SU(N)

$$\kappa = \kappa(N_c) = \frac{-1}{N_c^2 - 1}$$

⇒ for every  $N_c$ , at fixed  $\alpha$ , find the velocity where UVi first occurs:



Intermezzo end.

# Bound states in thermal production

## 1. dark-sector toy models

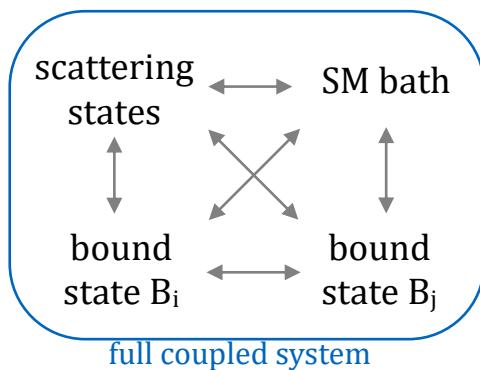
$\chi = \text{DM}$ : charged under a *new* (dark) symmetry  $U(1)$  or  $SU(N)$

# Quasi-steady state approximation

Every bound state = one species in the BME. → huge system of equations!

$$\left\{ \begin{array}{l} \frac{dY_\chi}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \left[ \frac{1}{2} \langle \sigma_{\bar{\chi}\chi}^{annh} v \rangle (Y_\chi^2 - Y_\chi^{eq2}) + \sum_i \frac{1}{2} \langle \sigma_{BSF,i} v \rangle \left( Y_\chi^2 - Y_\chi^{eq2} \frac{Y_i}{Y_i^{eq}} \right) \right] \\ \frac{dY_i}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \left[ \Gamma_{dec}^B (Y_i - Y_i^{eq}) - \sum_{j \neq i} \Gamma_{trans}^{j \rightarrow i} \left( Y_j - Y_j^{eq} \frac{Y_i}{Y_i^{eq}} \right) + \Gamma_{ion} \left( Y_i - Y_i^{eq} \frac{Y_\chi^2}{Y_\chi^{eq2}} \right) \right] \end{array} \right.$$

coupled system



[Redi et al.: 1702.01141]

[Petraki et al.: 2112.00042]

[Garny, Heisig: 2112.01499]

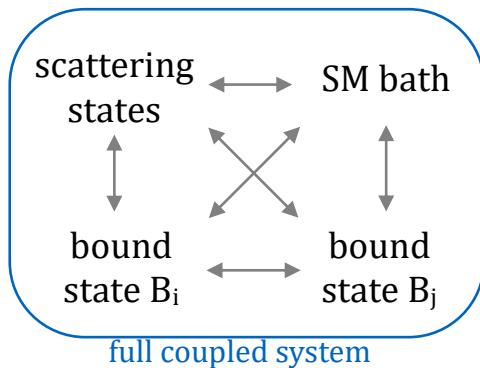
# Quasi-steady state approximation

Every bound state = one species in the BME. → huge system of equations!

$$\frac{dY_\chi}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \left[ \frac{1}{2} \langle \sigma_{\bar{\chi}\chi}^{annh} v \rangle (Y_\chi^2 - Y_\chi^{eq2}) + \sum_i \frac{1}{2} \langle \sigma_{BSF,i} v \rangle \left( Y_\chi^2 - Y_\chi^{eq2} \frac{Y_i}{Y_i^{eq}} \right) \right]$$

$$0 = \cancel{\frac{dY_i}{dx}} = \frac{1}{3Hs} \frac{ds}{dx} \left[ \Gamma_{dec}^B (Y_i - Y_i^{eq}) - \sum_{j \neq i} \Gamma_{trans}^{j \rightarrow i} \left( Y_j - Y_j^{eq} \frac{Y_i}{Y_i^{eq}} \right) + \Gamma_{ion} \left( Y_i - Y_i^{eq} \frac{Y_\chi^2}{Y_\chi^{eq2}} \right) \right]$$

“quasi steady-state”  
approximation



$$\frac{dY_\chi}{dx} = \frac{1}{3Hs} \frac{ds}{dx} \frac{1}{2} \langle \sigma v \rangle_{ann}^{\text{eff}} (Y_\chi^2 - Y_\chi^{eq2})$$

effective annihilation cross-section

[Redi et al.: 1702.01141]

[Petraki et al.: 2112.00042]

[Garny, Heisig: 2112.01499]

# Effective cross-section

$$\frac{d Y_\chi}{dx} = \frac{1}{3 H s} \frac{ds}{dx} \frac{1}{2} \underbrace{\langle \sigma v \rangle_{\text{ann}}^{\text{eff}}}_{\text{Includes scattering and bound states:}} (Y_\chi^2 - Y_\chi^{\text{eq}2})$$

Includes scattering **and** bound states:

$$\langle \sigma v \rangle_{\text{ann}}^{\text{eff}} = \langle \sigma_{\chi\chi}^{\text{ann}} v \rangle + \sum_i R_i \langle \sigma_{\text{BSF},i} v \rangle$$

direct annihilation

bound state formation

depletion efficiency  $\in [0, 1]$

(includes transition, ionisation & decay)

*recall:*

„Critical scaling“ for freeze-out:  $\langle \sigma v \rangle_{\text{ann}}^{\text{eff}} \propto \frac{1}{T} \propto x$

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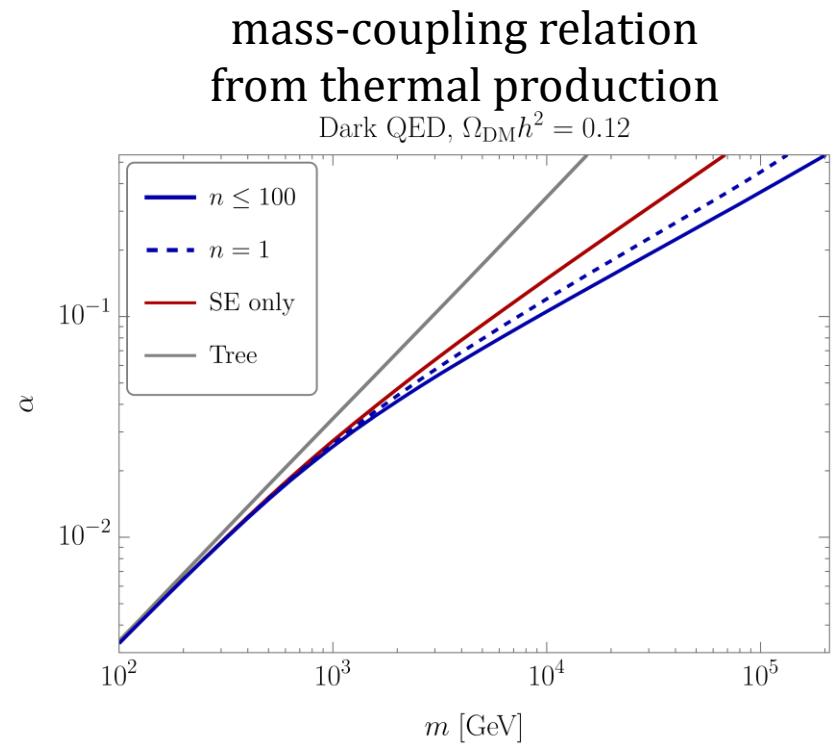
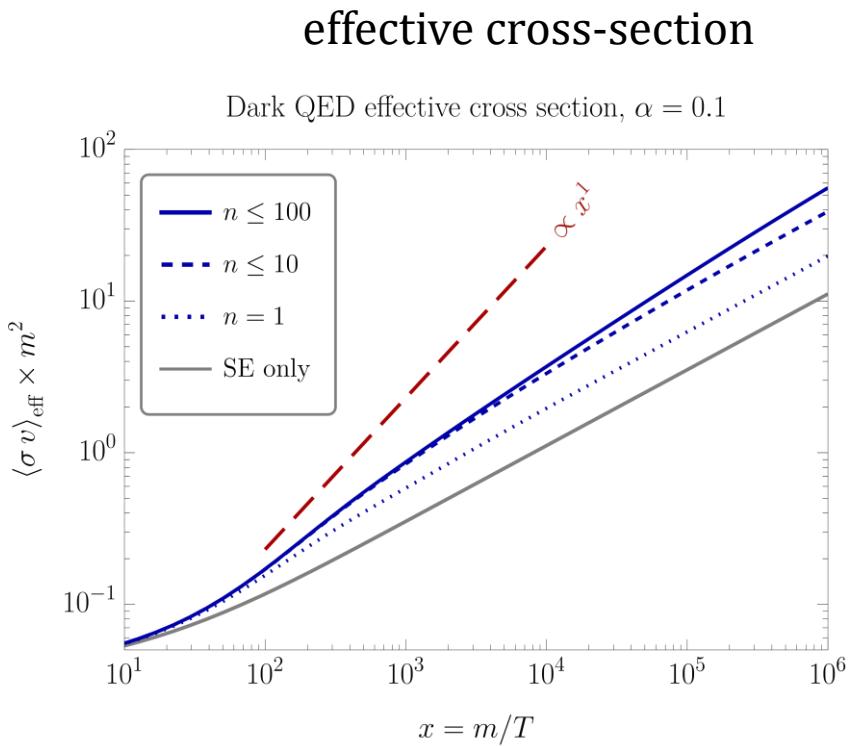
*similar*      *Milne*      *known*

[Garny, Heisig: 2112.01499]

*recall:*

„Critical scaling“ for freeze-out:  $\langle \sigma v \rangle_{\text{ann}}^{\text{eff}} \propto \frac{1}{T} \propto x$

# Effective cross-section: dark U(1)

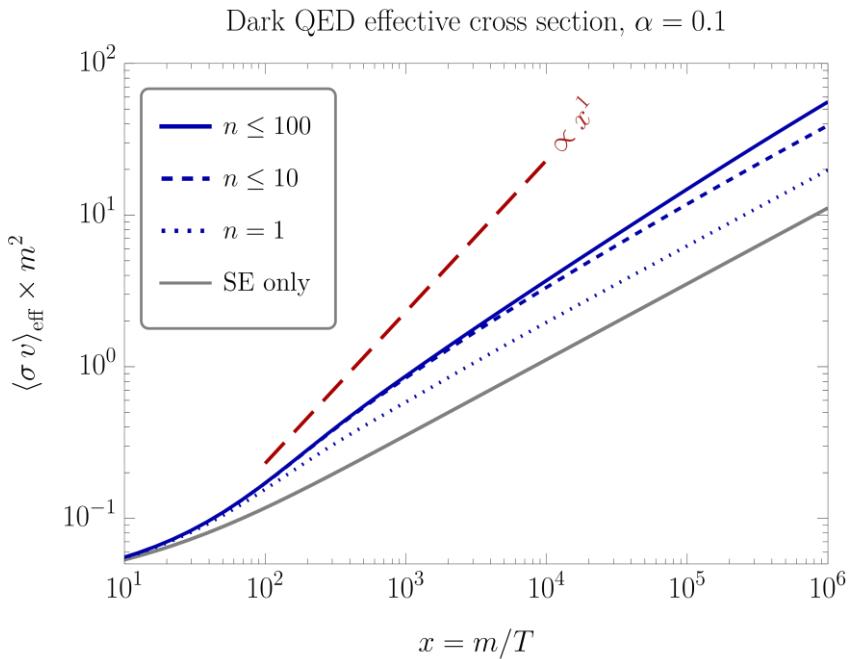


[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

# Effective cross-section: U(1) vs SU(N)

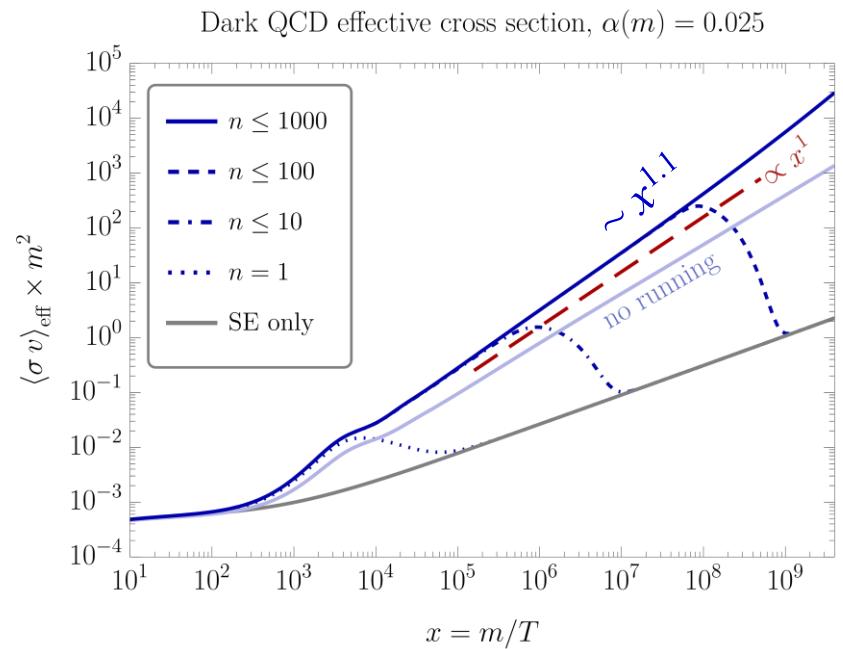
Includes bound-to-bound transitions.

## dark U(1)



No bound-to-bound transitions allowed.

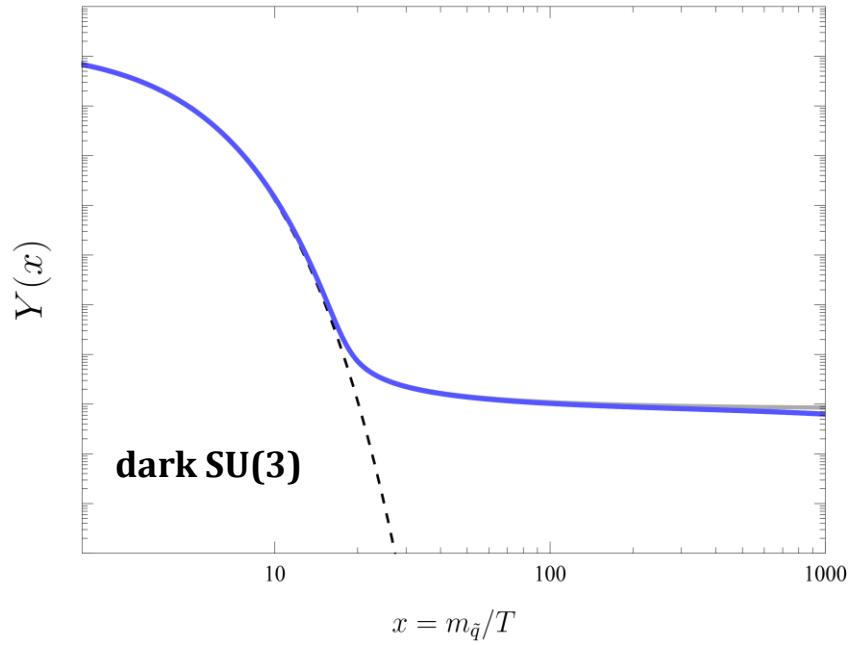
## dark SU(3)



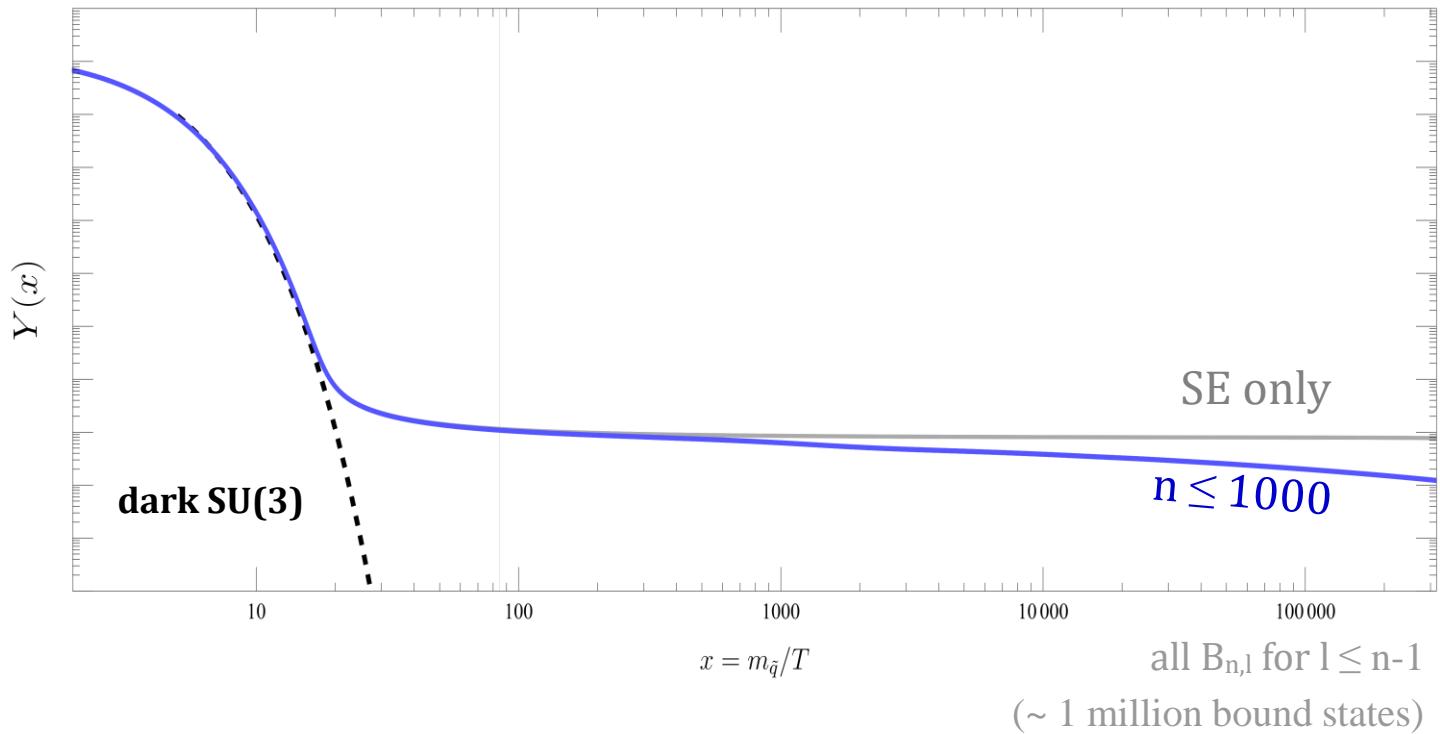
**Efficient BSF prevents Chemical Decoupling !**

[Binder, Garry, Heisig, SL, Urban: 2308.01336]

# „Freeze-Out“ including BSF – dark SU(3)



# „Freeze-Out“ including BSF – dark SU(3)



# Bound states in thermal production

## 2. t-channel superWIMP

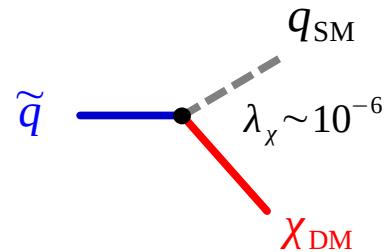
$\chi$  = DM: complete gauge singlet

$\tilde{q}$  = mediator: heavy colored & charged scalar

# Including transitions: „add an additional U(1)“

Assume a colored & charged heavy scalar:  $\tilde{q} \in (\mathbf{3}, \mathbf{1})_{1/3}$  („b-squark“)

$$\mathcal{L} \supset \lambda_\chi \bar{q} \tilde{q} \chi + \text{h.c.}$$

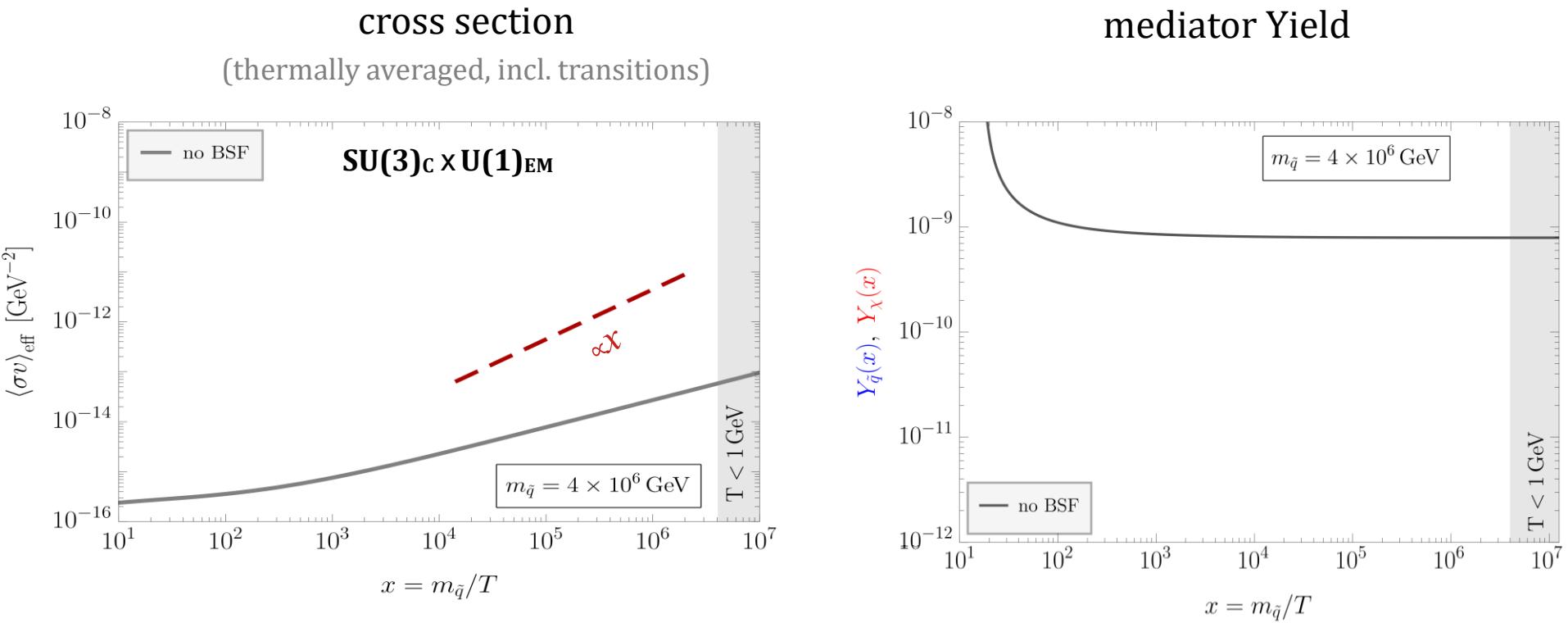


→ QCD dominates the potential and BSF  $(\tilde{q}^\dagger \tilde{q})^{[8]} \rightarrow B_i^{[1]} + g$

$$\kappa \equiv \frac{V_{[8]}}{V_{[1]}} = -\frac{1}{8}$$

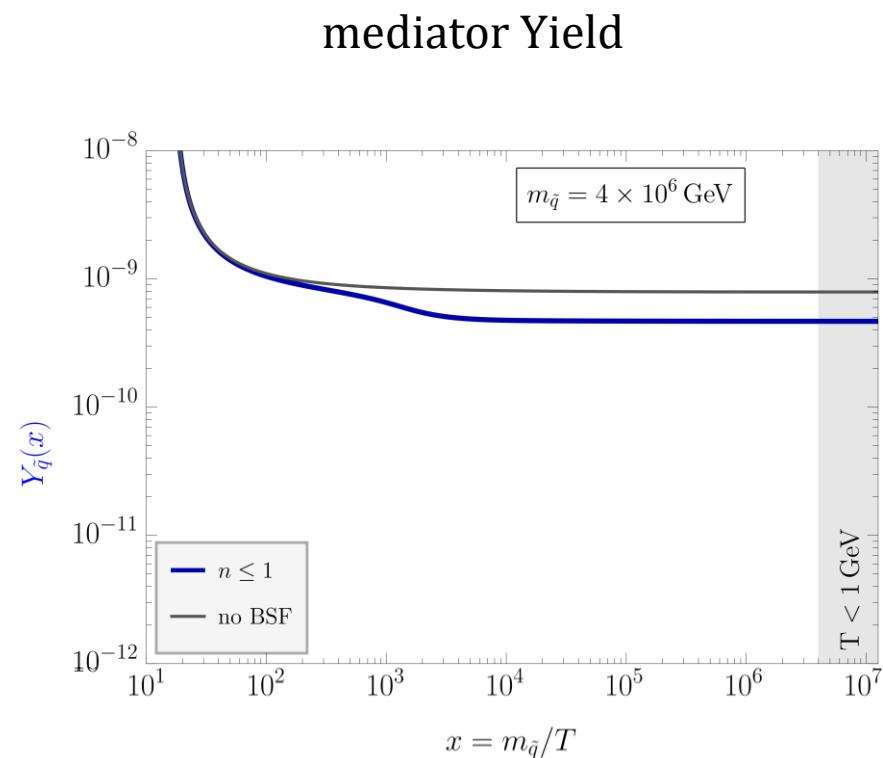
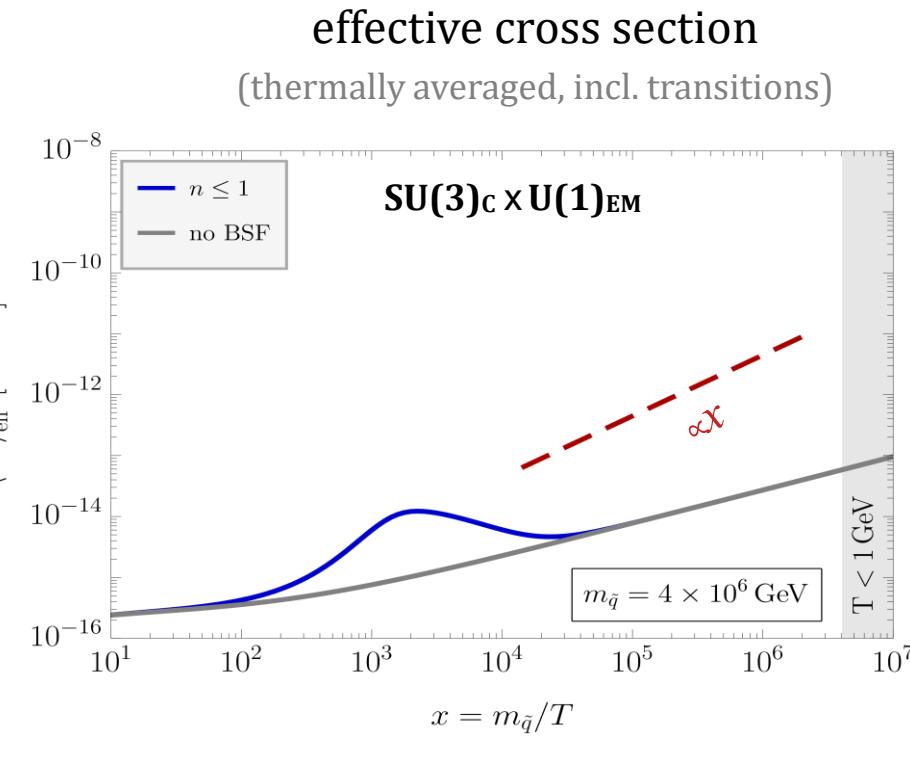
→ QED allows **transitions**  $B_i \rightarrow B_j$

# Abundance without excited states



[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

# Abundance with an excited state (n=1)

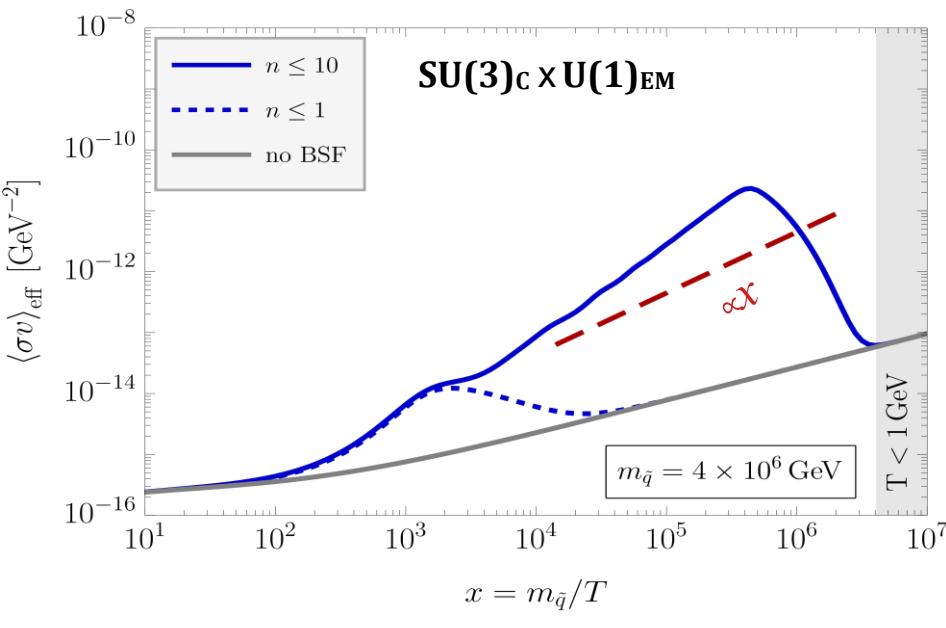


[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

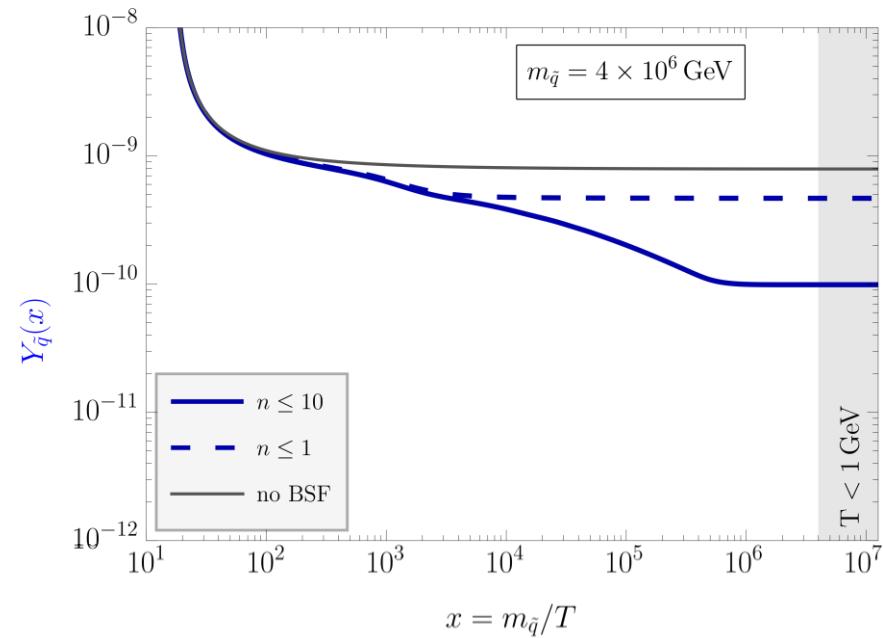
# Abundance with some excited states

effective cross section

(thermally averaged, incl. transitions)



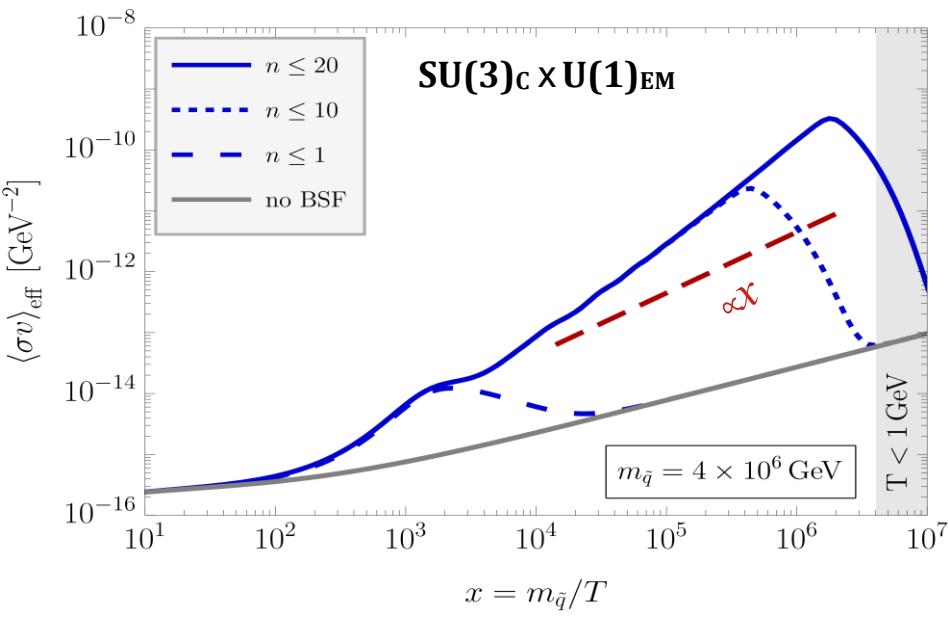
mediator Yield



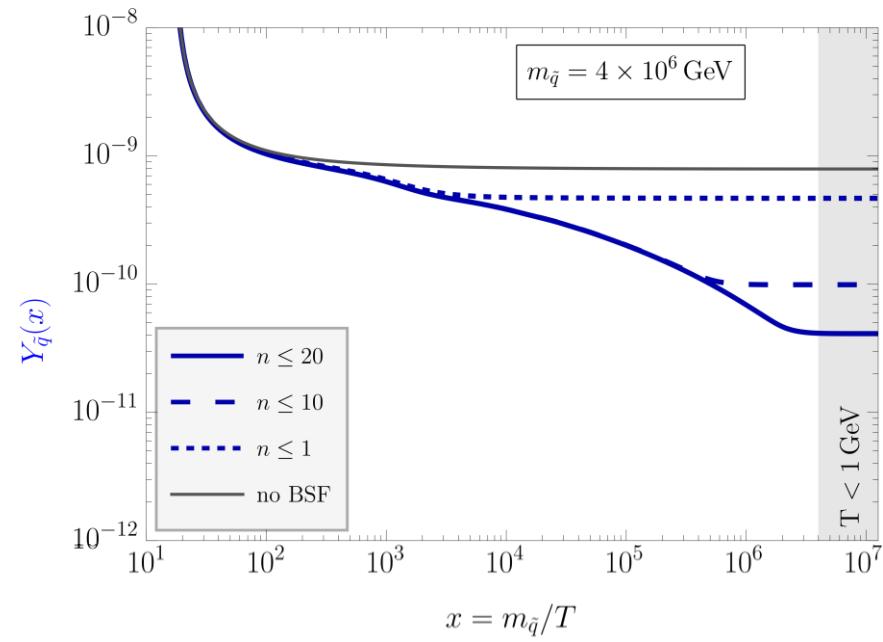
[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

# Abundance with many excited states

effective cross section  
(thermally averaged, incl. transitions)



mediator Yield

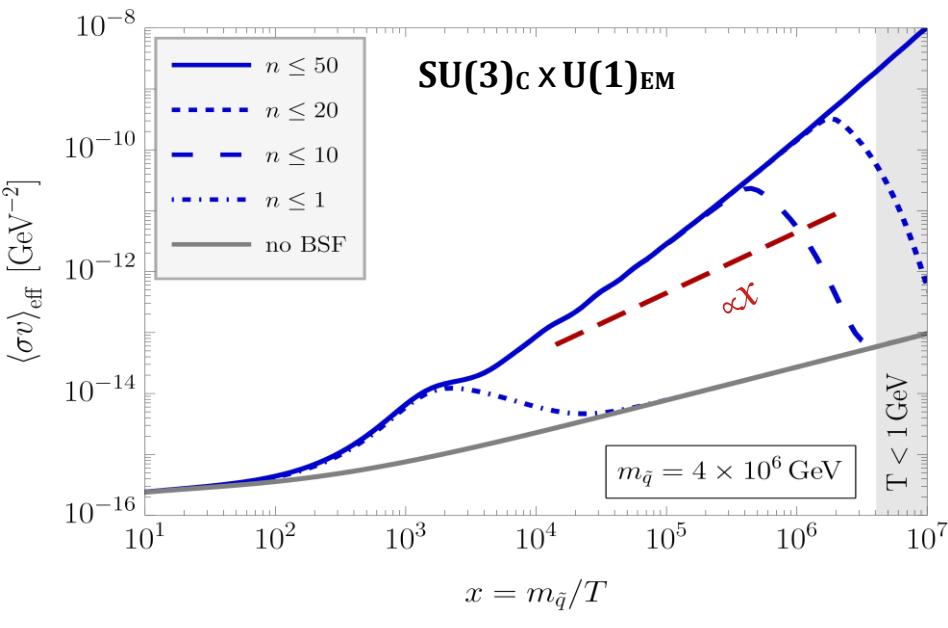


[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

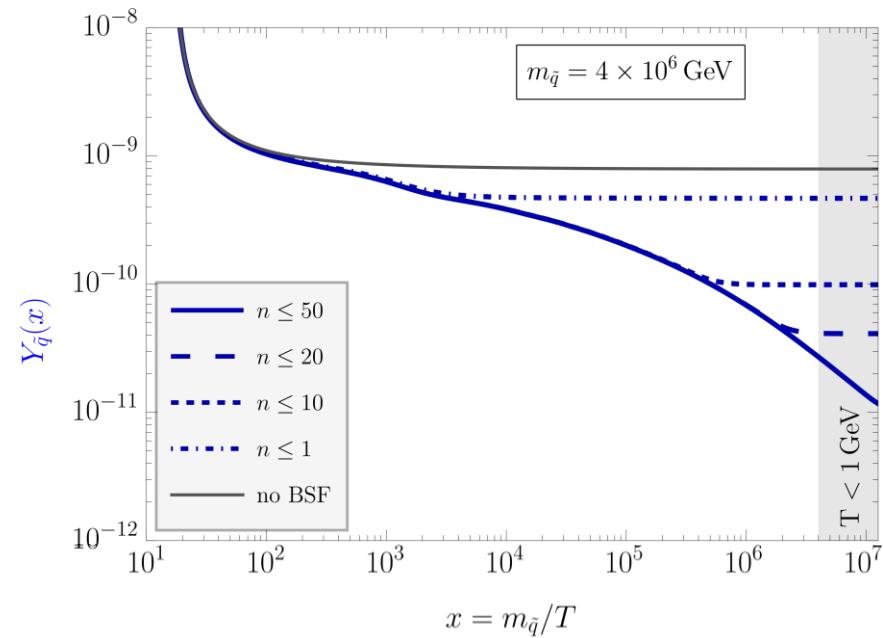
# Abundance with enough excited states

effective cross section

(thermally averaged, incl. transitions)



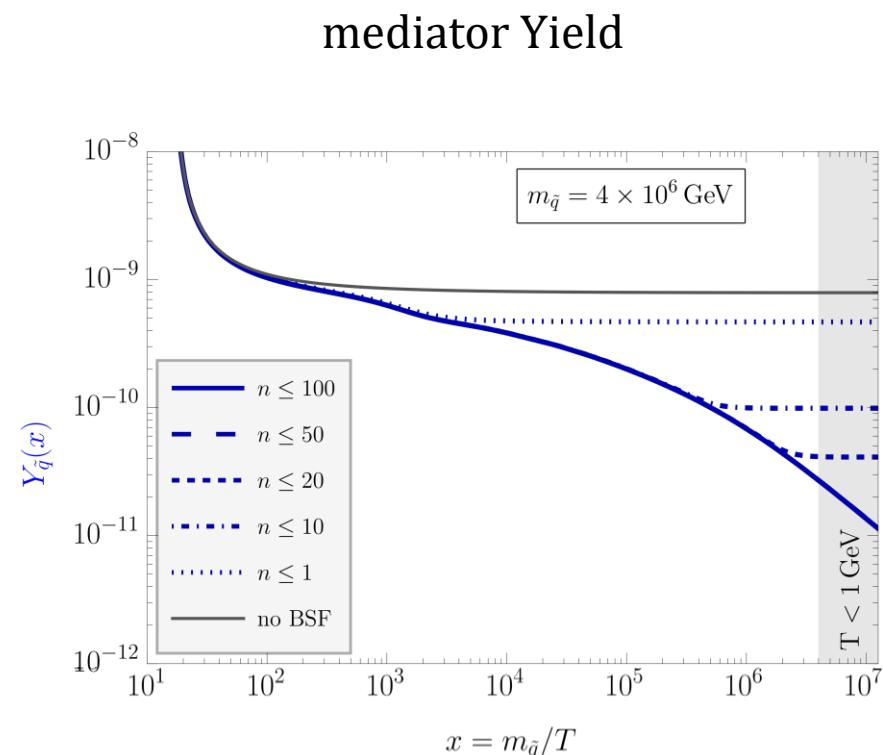
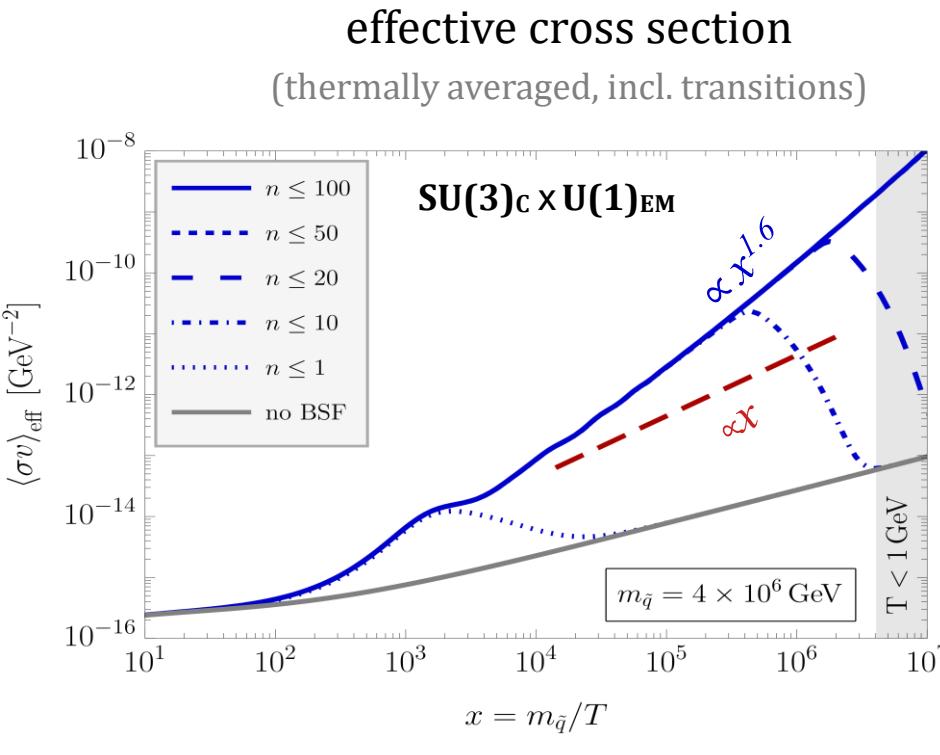
mediator Yield



[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

# Abundance with too many excited states

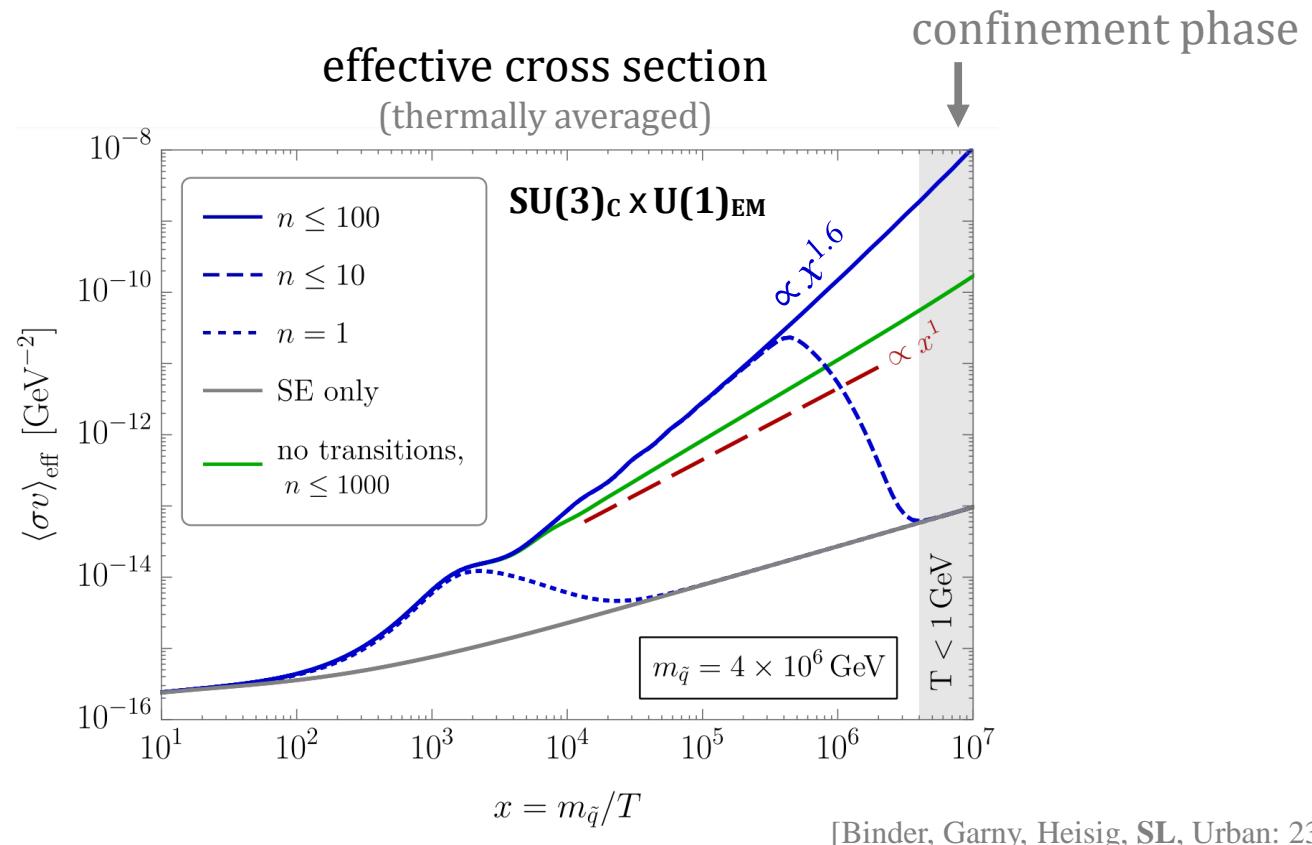
- Cross-section **converged** in the perturbative regime.
- Bound-to-bound transitions give strong enhancement:  $x^{1.1} \rightarrow x^{1.6}$



[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

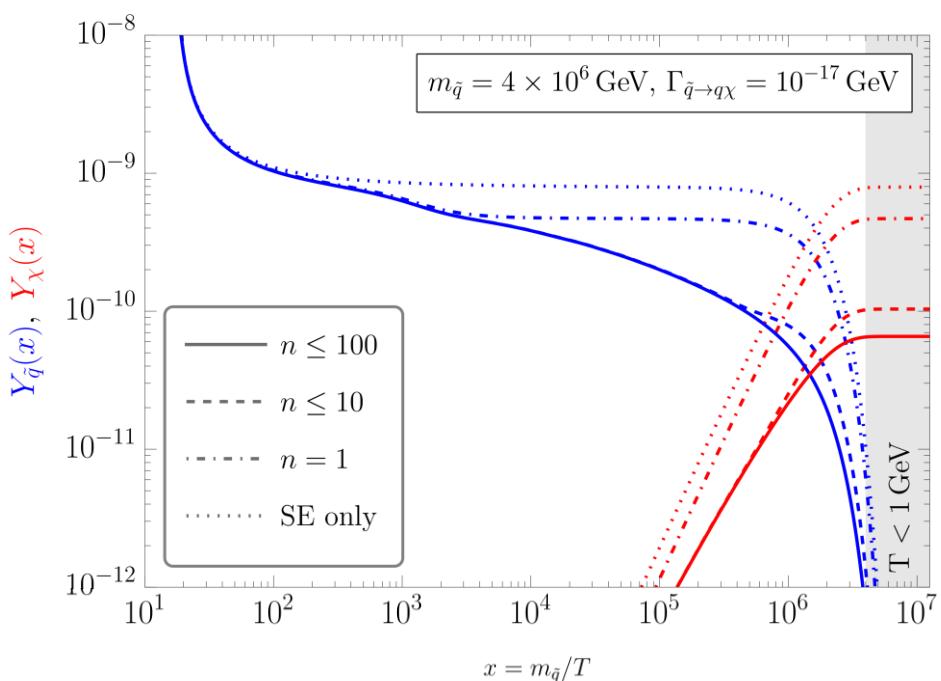
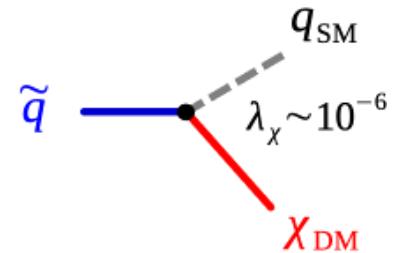
# Eternal annihilation – how does it end?

- Respect unitarity bounds ✓
- Avoid non-perturbative regime  $\alpha \sim 1$  ?!



# superWIMP production with bound states

Adds a finite life-time:  $\chi = \text{DM}$ ,  
 $\tilde{q} = \text{"t-channel mediator"}$

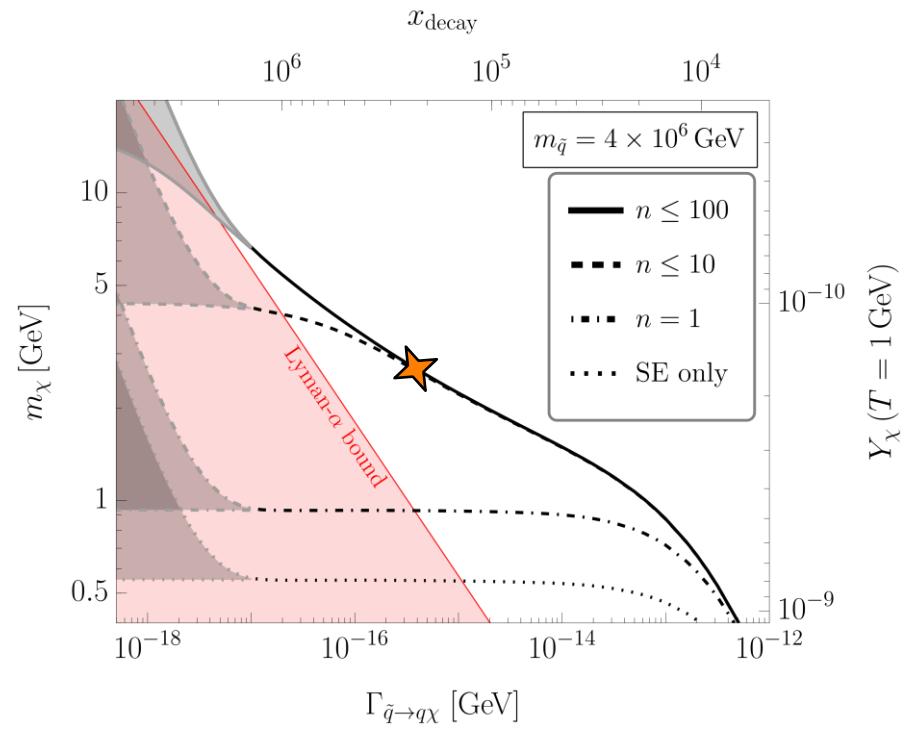
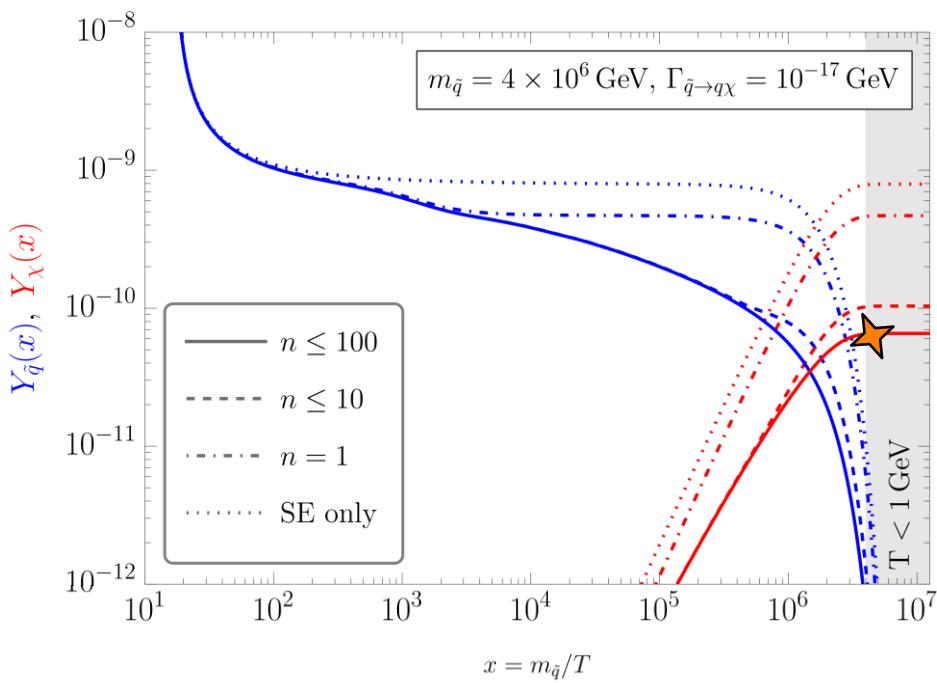
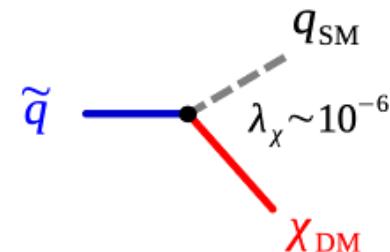


[Garny, Heisig: 2112.01449]

[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

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[Garny, Heisig: 2112.01449]

[Binder, Garny, Heisig, **SL**, Urban: 2308.01336]

# Summary

Importance of **bound states** for dark matter:

1. Repulsive potentials show **enhanced BSF**.
2. Dominant effect at **small temperatures**.
3. Non-Abelian **excited states** can not be neglected.
4. Large effects from **transitions** between bound states.
5. Bound state formation can source **eternal depletion**.
6. Unitarity is **systematically violated** in BSF at leading order.

Using intuition from QED is dangerous  
& bound states are exciting!