



NNU · 南京师范大学
NANJING NORMAL UNIVERSITY



Gravitational waves from first order phase transitions

Peter Athron
(Nanjing Normal University)

Seminar: National Centre for Nuclear Research (Warsaw)

Outline

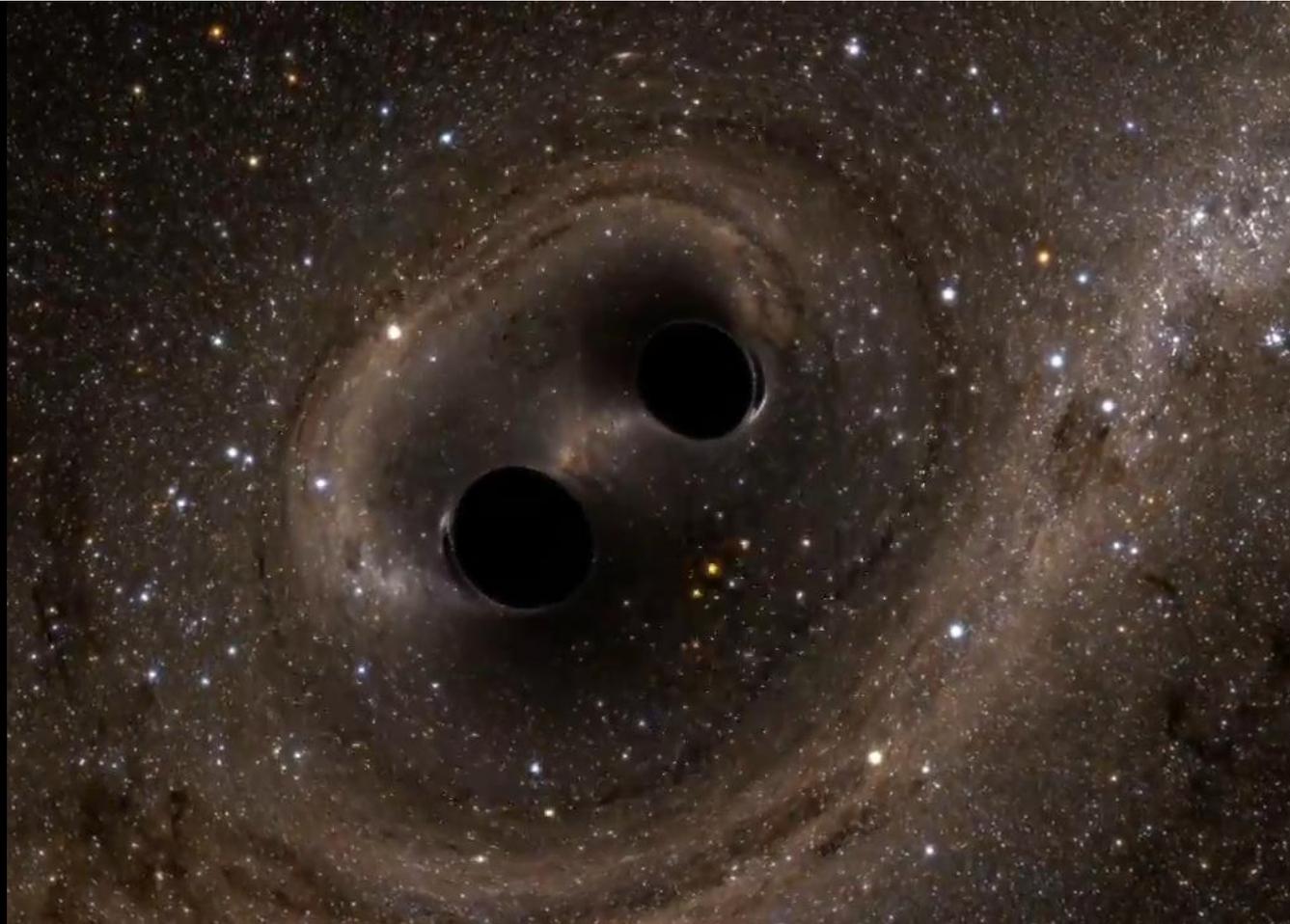
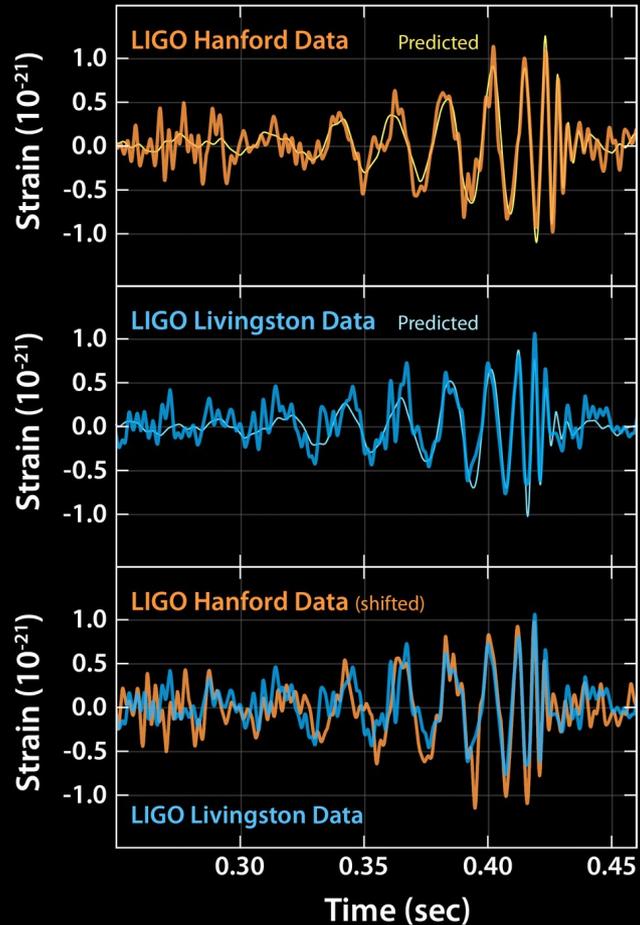
- General overview
 - ⊛ Motivation: gravitational waves and phase transitions
 - ⊛ What are first order phase transitions?
 - ⊛ How FOPTs proceed through bubble nucleation and bubble growth
- Exciting results:
 - ⊛ First LIGO constraints on a well motivated Pati-Salam GUT
 - ⊛ Nangrav signal of a SGWB and supercooled phase transitions
- Calculations in depth: state-of-the-art vs approximations
 - ⊛ Completion criteria and temperature dependence
 - ⊛ Duration of the phase transition and length scales
 - ⊛ Kinetic energy fraction and 'strength' of the transition

Event GW150914

<https://www.ligo.org/detections/GW150914.php>

GWs signal detected by the LIGO-Virgo Consortium on 14/09/2015.

The GWs were produced by two coalescing black holes.



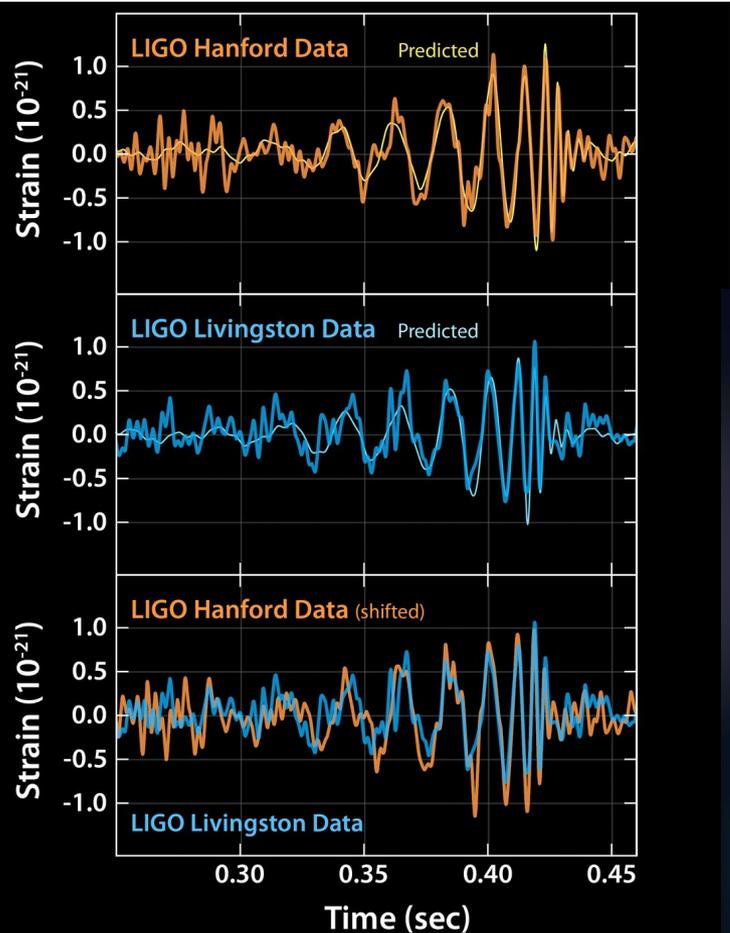
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**2017 Nobel Prize
for physics**



Barry C. Barish (Caltech)



Kip S. Thorne (Caltech)

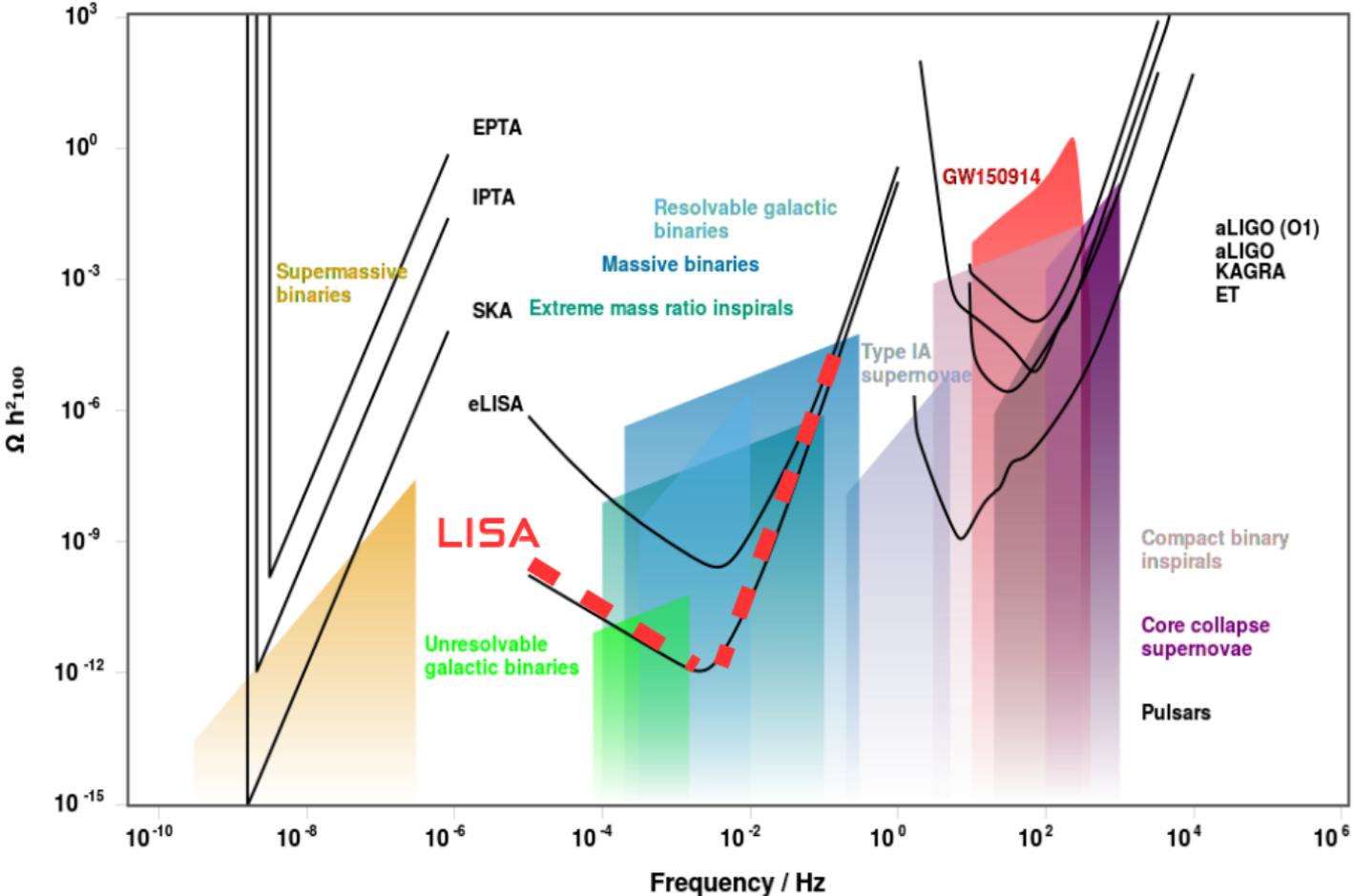


Rainer Weiss (MIT)



2017 Nobel Prize in Physics

Now there are many existing or planned GW experiments covering a wide range of frequencies



[C. Moore, R. Cole, C. Berry, GWplotter]

This has opened up
a whole new way to explore astro-physics

But it is also a huge opportunity
for particle physics and cosmology

Highly energetic events
in the early universe



stochastic gravitational wave
background (SGWB)

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$$R_{\mu\nu} - \frac{1}{2}Rg_{\mu\nu} = 8\pi GT_{\mu\nu}$$

Large fluctuations in
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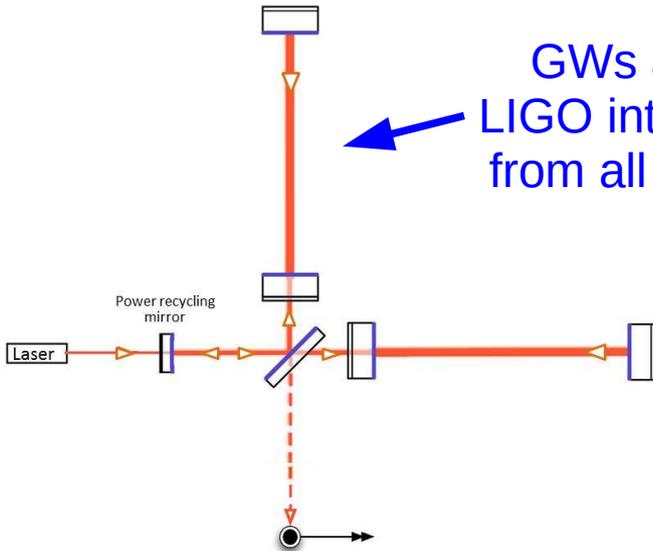
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GWs arrive at
LIGO inteferometer
from all directions



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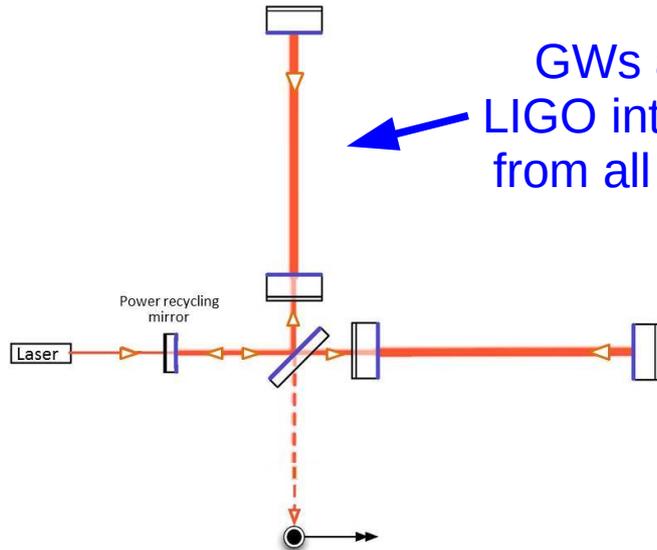
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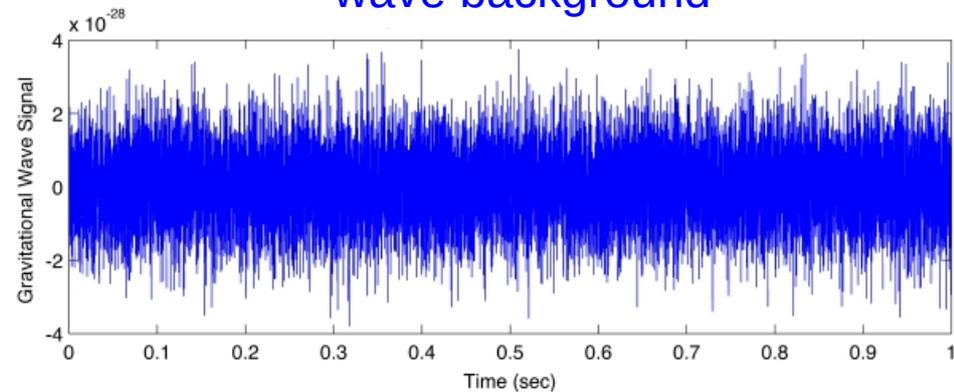
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Stochastic gravitational
wave background



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Similar to the cosmic microwave background but probes much earlier times

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Observable SGWBs are predicted from:

- Inflation
- Primordial black holes
- Cosmic strings
- **Cosmological phase transitions**

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A huge variety of standard model extensions predict **cosmological phase transitions**

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These occur at wide range of mass scales from the MeV scale to 10^{15} GeV !

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Peak frequency
(after redshifting)

$$f_{GW}^{\text{peak}} \sim 20 T \frac{\mu\text{Hz}}{(100 \text{ GeV})}$$
$$T \approx M$$

Planned GW experiments can probe
phase transtions at a wide range of energies!

We believe as the early Universe cooled
it underwent several
cosmological phase transitions



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*or cross-over transition

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- Electroweak (EW) phase transition
 - Higgs mechanism: massless \longrightarrow massive
- QCD phase transitions
 - Quark-gluon plasma \longrightarrow gas of confined Hadrons
 - Chiral symmetry breaking (left and right handed particles)

In SM of particle physics these are cross-overs

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- More freedom for modifying SM to make this a first order phase transition with observable GWs

*or cross-over transition

Cosmological Phase Transitions

Phase transitions are also predicted in many ideas, e.g.

- Electroweak (EW) phase transition
- QCD phase transitions
- Grand Unified theories
- String inspired models
- Left-right symmetric models
- Gauge extensions of the standard model of particle physics

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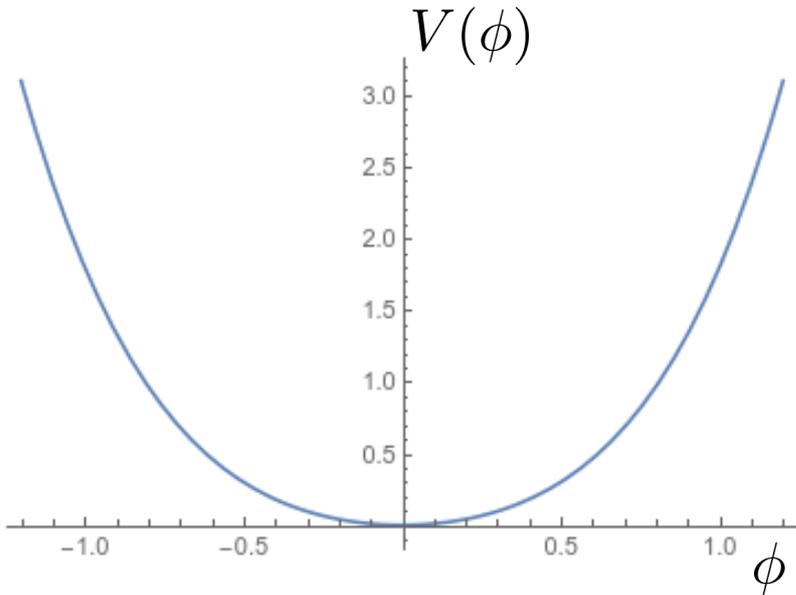
I will discuss first order cosmological phase transitions
and their gravitational wave predictions in general

What do I mean by
First Order Phase Transition?

Higgs mechanism

$$V(\phi) = \mu^2(\phi\phi^*) + \lambda(\phi\phi^*)^2$$

If $\mu^2 > 0$

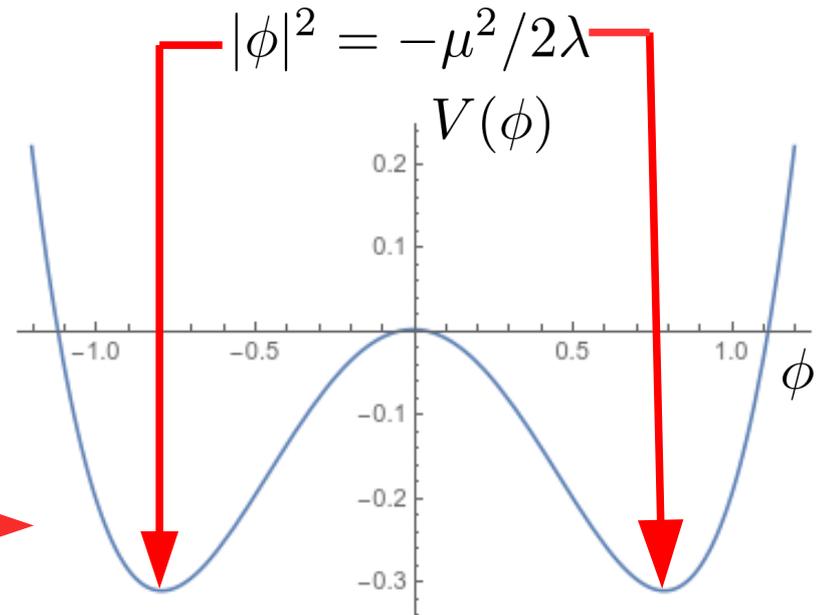


This is really just the zero temperature shape of this Higgs potential



If $\mu^2 < 0$

Mexican Hat Potential



Electroweak Phase Transitions

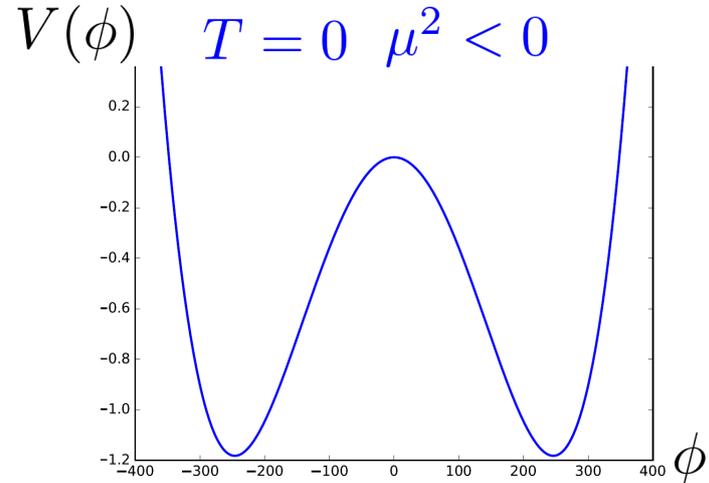
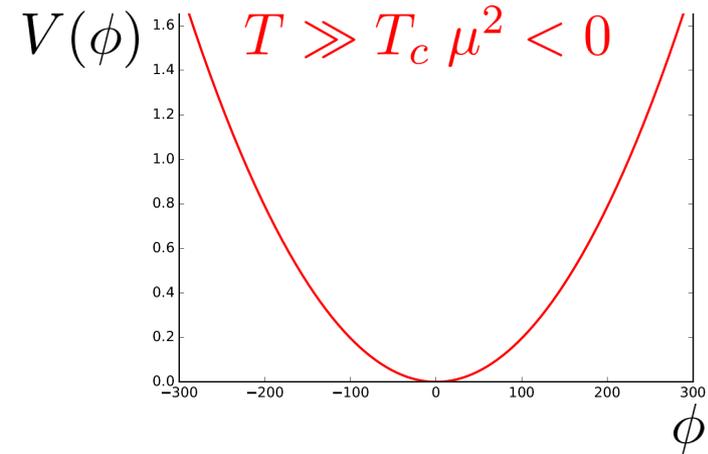
Finite temperature potential: $V = V(\phi, T) = \mu^2|\phi|^2 + \lambda|\phi|^4 + V_T$

Electroweak Phase Transitions

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$T = 0 \quad \mu^2 < 0 \Rightarrow$ Mexican hat shaped potential

$T \gg \phi \quad \mu^2 < 0 \Rightarrow V_T \sim T^2|\phi|^2$ (High temperature expansion)

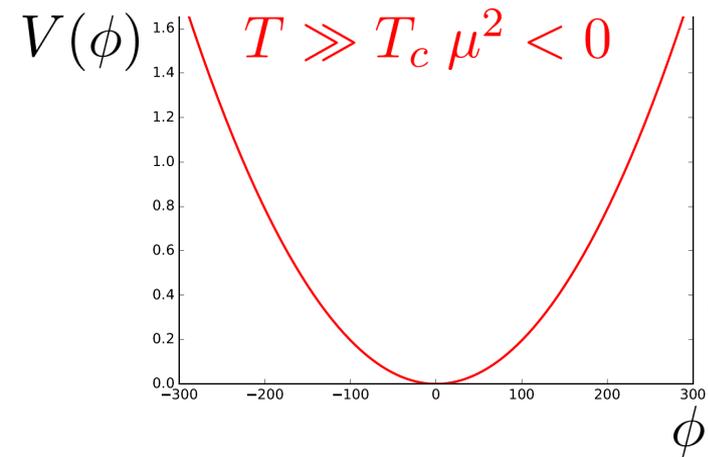


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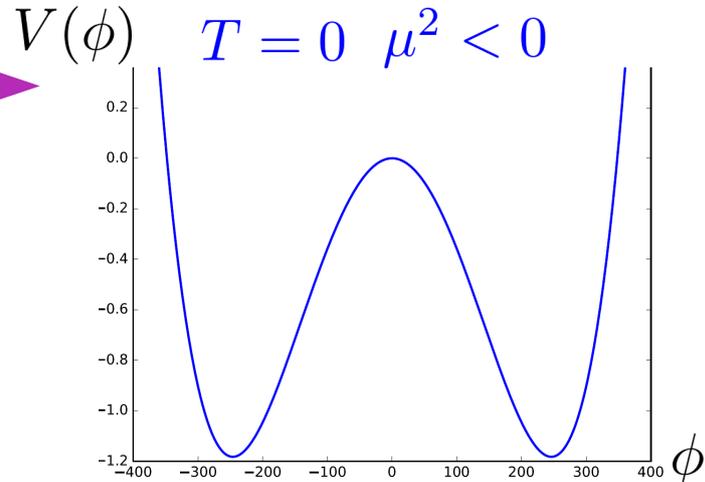
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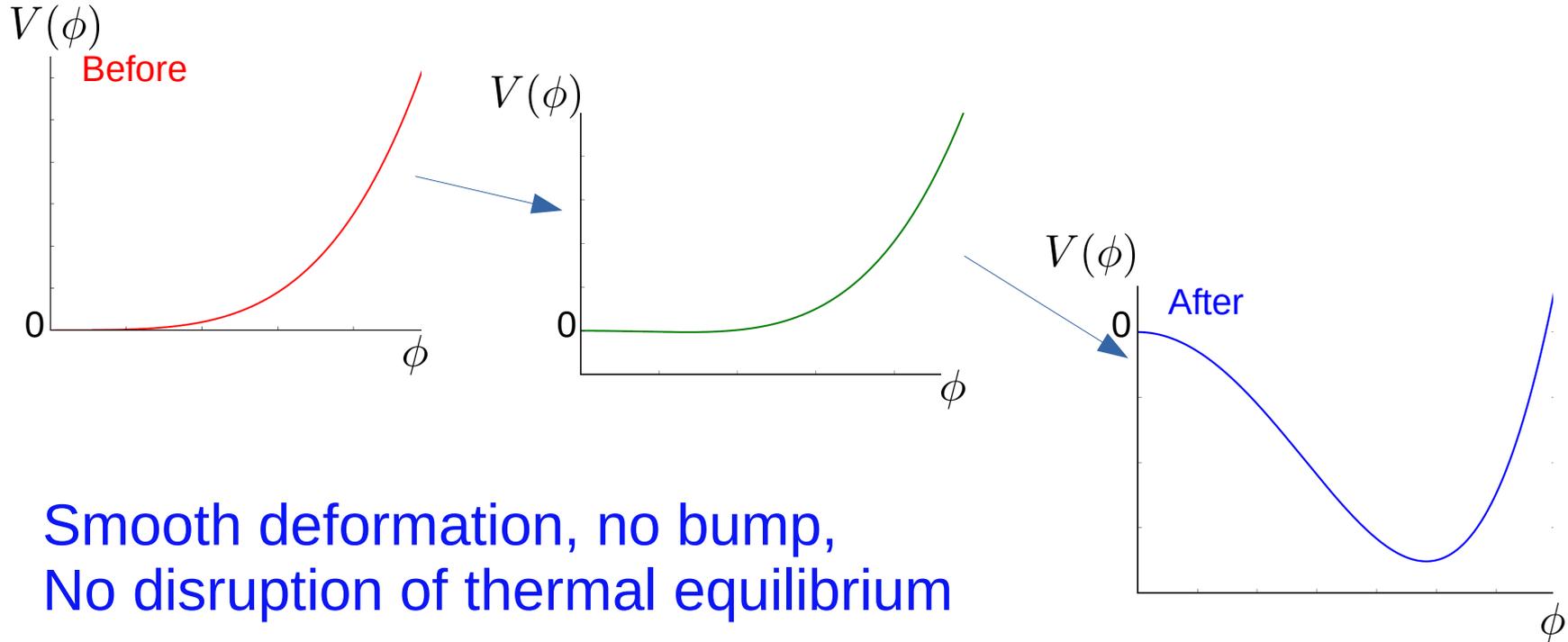
Electroweak
Phase Transition \rightarrow



Electroweak Phase Transitions

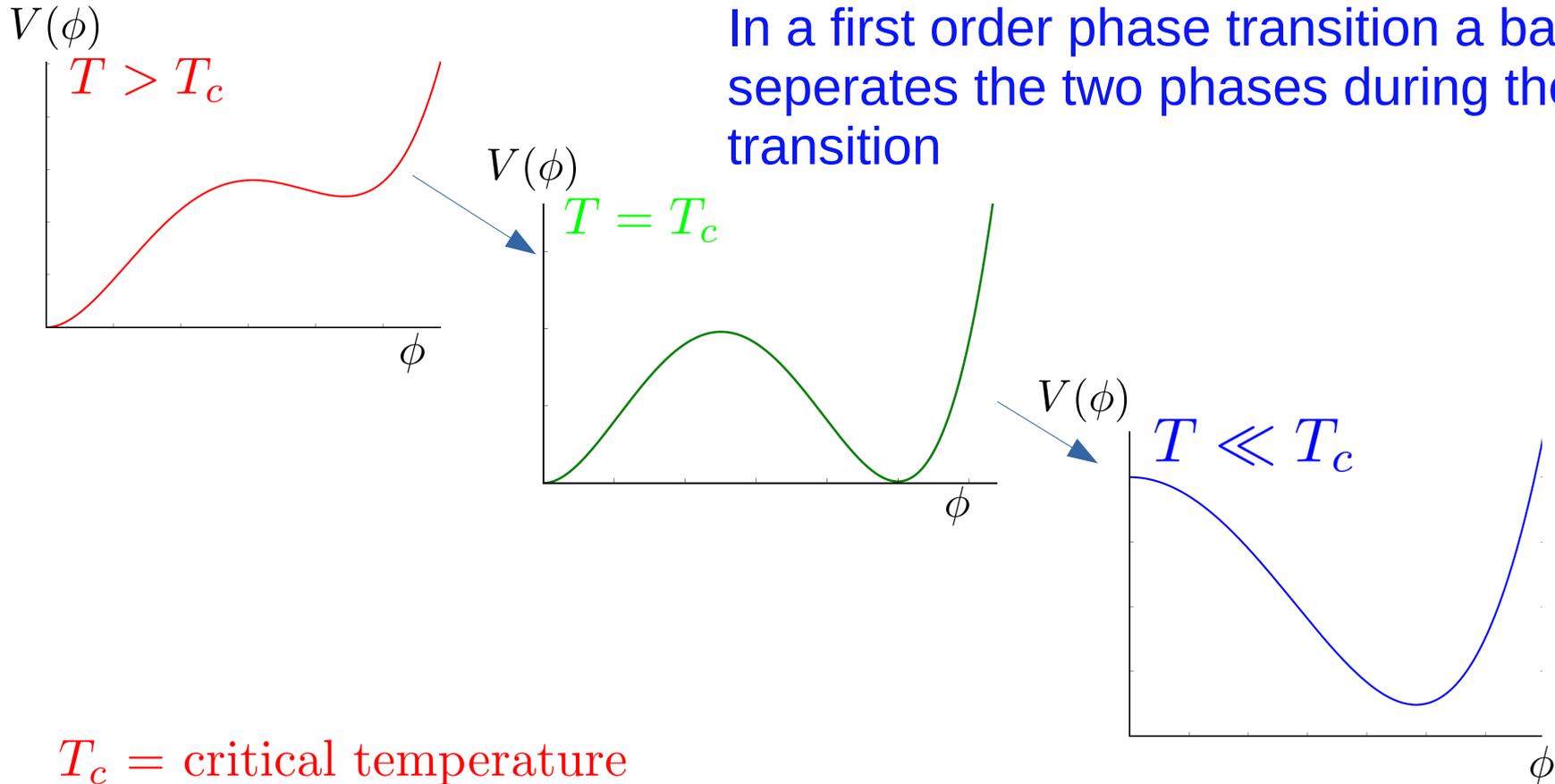
Finite temperature potential: $V = V(\phi, T)$

The phase transition can proceed in different ways, e.g.



Smooth deformation, no bump,
No disruption of thermal equilibrium

First Order Phase Transitions

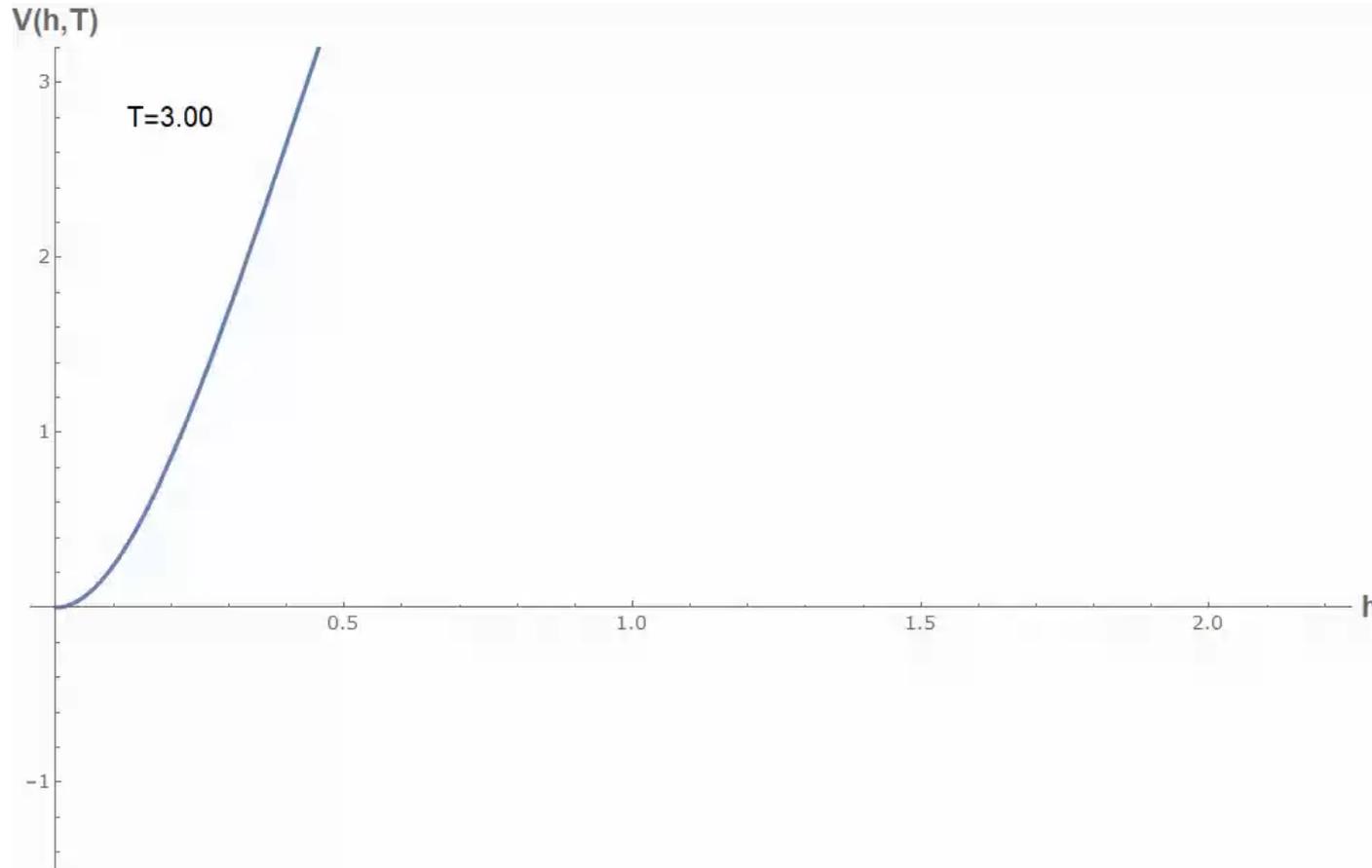


In a first order phase transition a barrier separates the two phases during the phase transition

T_c = critical temperature

\equiv Temperature where V at minima are degenerate

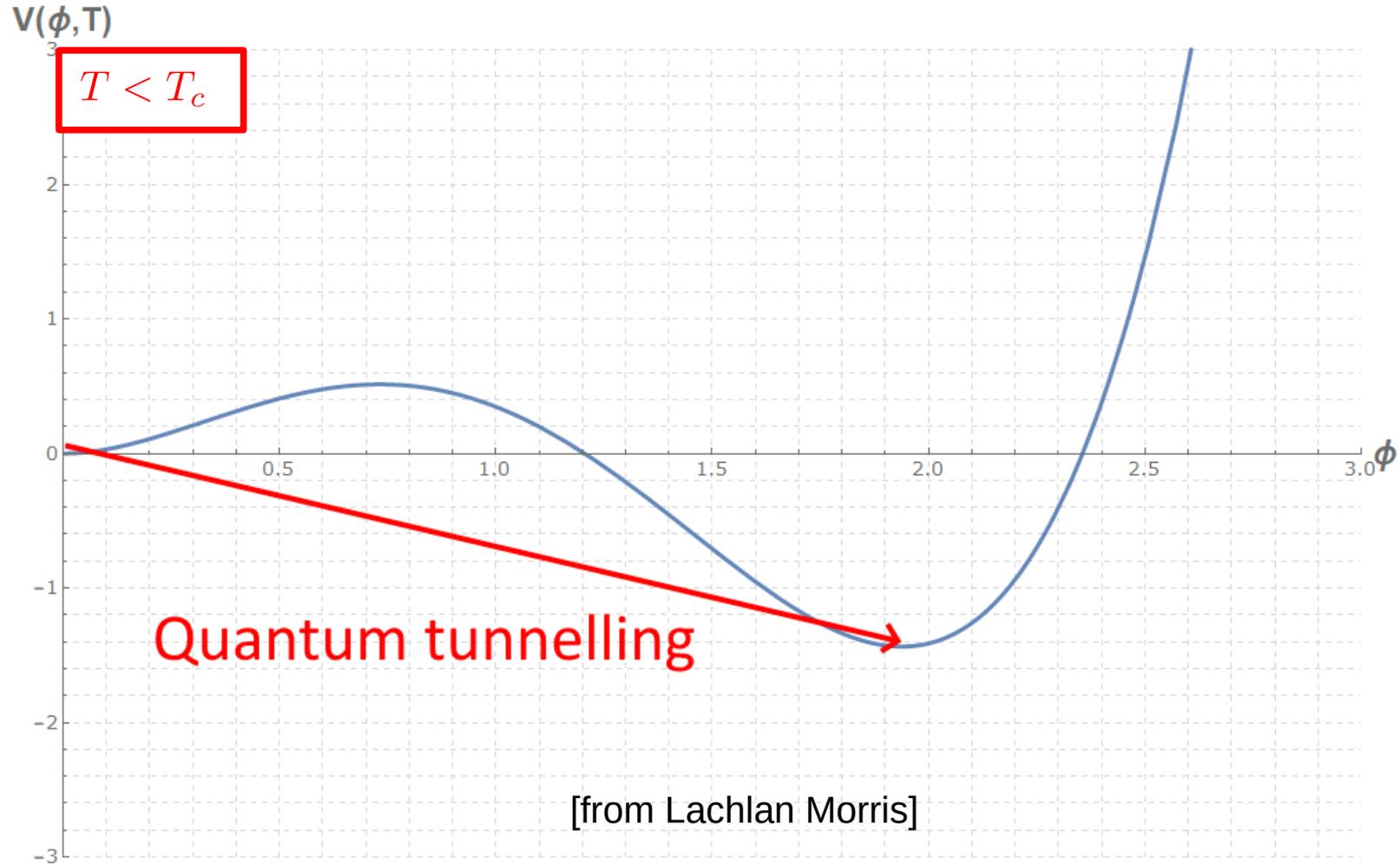
Temperature evolution



[Gif from Lachlan Morris]

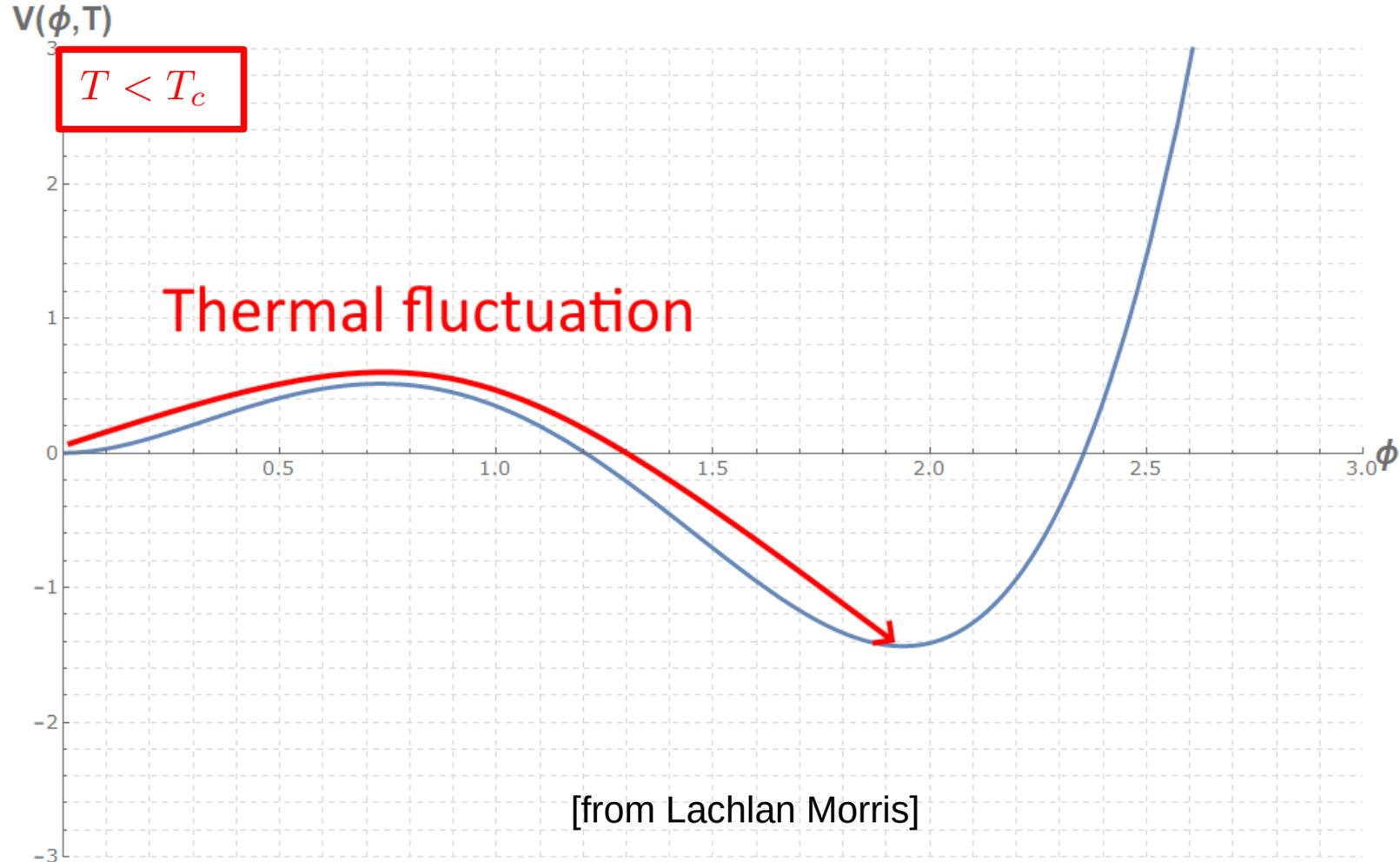
Quantum tunnelling

Quantum tunneling through the barrier is now possible



Thermal fluctuation

Thermal fluctuations over the barrier are also possible



First Order Phase Transitions and Bubble Nucleation

A first order phase transition is a stochastic process

First Order Phase Transitions and Bubble Nucleation

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When they become possible

quantum tunneling or thermal fluctuations over the barrier

can happen anywhere

First Order Phase Transitions and Bubble Nucleation

A first order phase transition is a stochastic process

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At any point where this happens a bubble of the new phase will form

Bubble nucleation

Bubbles of the new phase form at random locations



[image: from Lachlan Morris]

Bubble nucleation

Bubbles of the new phase form at random locations

The bubbles that already formed grow in size

while more bubbles nucleate



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As the bubbles grow, and the number increases, collisions become more likely

[image: from Lachlan Morris]



Bubble nucleation

Bubbles of the new phase form at random locations

The bubbles that already formed grow in size

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As the bubbles grow, and the number increases, collisions become more likely

And more and more of the space is converted to the true vacuum

[image: from Lachlan Morris]



Bubble nucleation

Bubbles of the new phase
form at random locations

The bubbles that already formed
grow in size

while more bubbles nucleate

As the bubbles grow,
and the number increases,
collisions become more likely

And more and more of the space is
converted to the true vacuum

Until almost all the space is in the
true vacuum

Gravitational Waves
from
first order phase transitions

Highly energetic events
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stochastic gravitational wave
background (SGWB)

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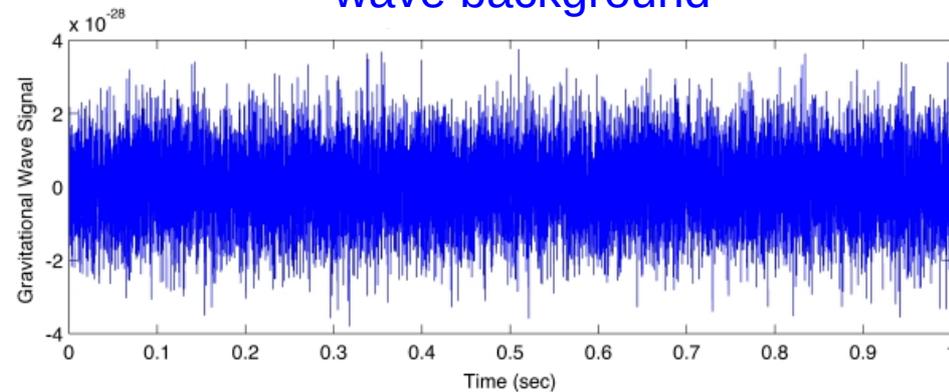
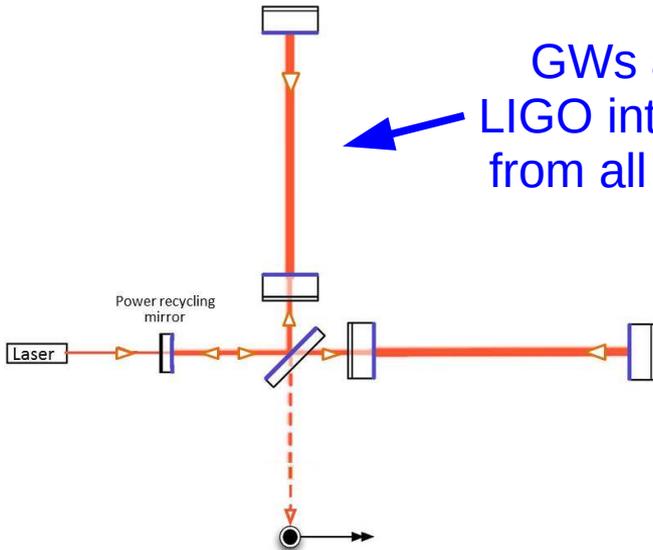
Large fluctuations in
the energy density

Give rise to
gravitational waves

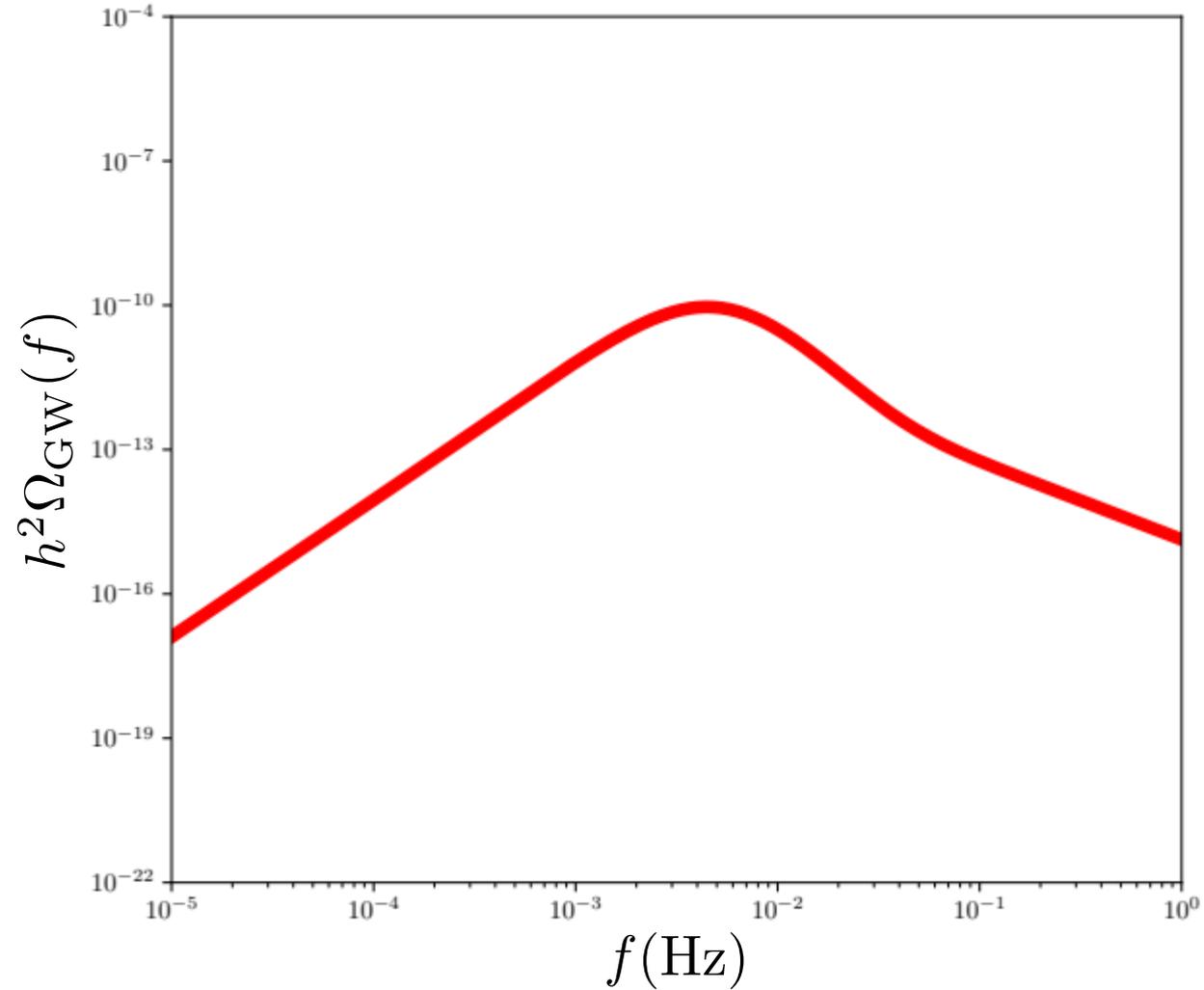
Transmitted to
the metric

GWs arrive at
LIGO interferometer
from all directions

Stochastic gravitational
wave background



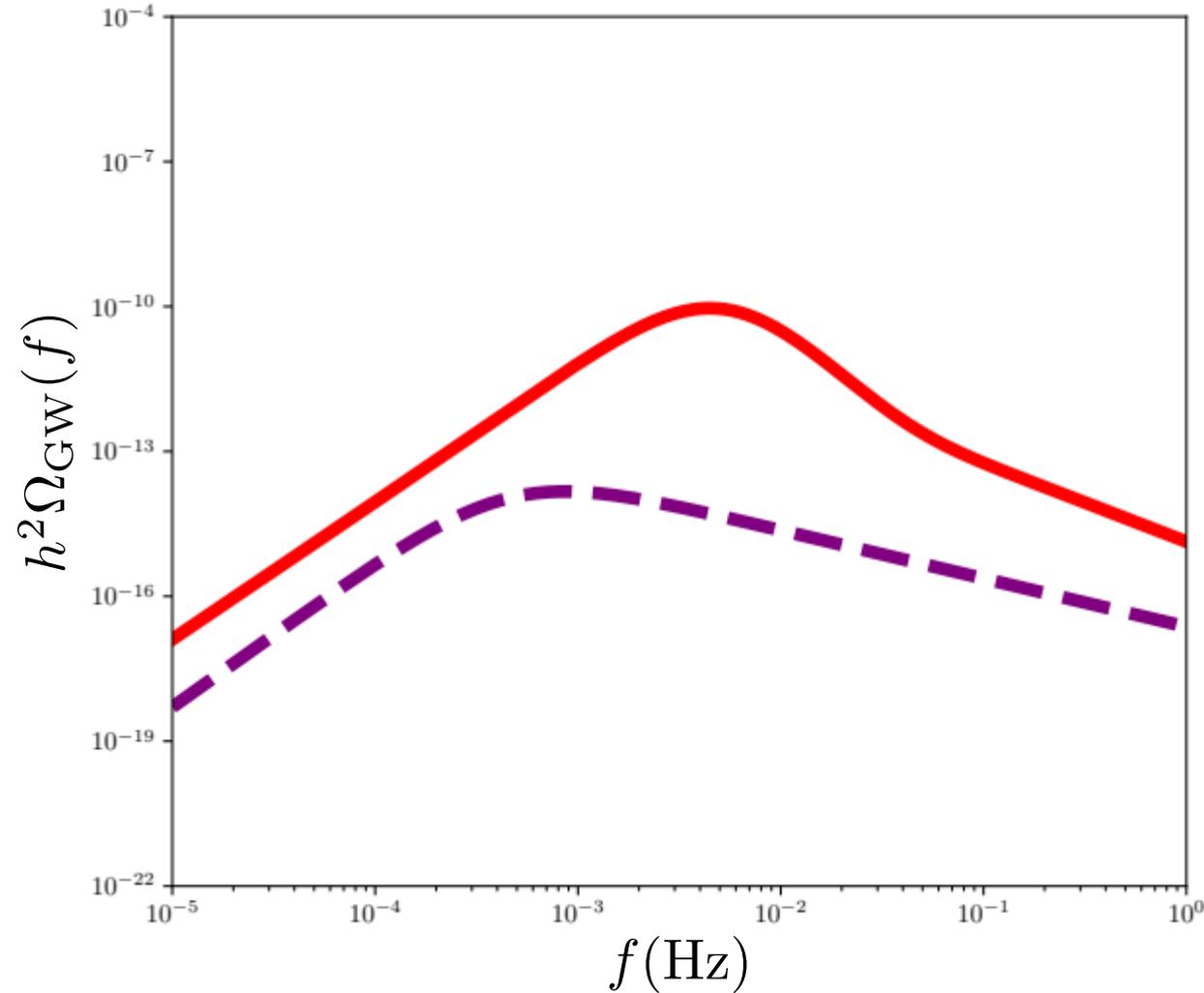
$$\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_{\text{tot}}} \frac{d\rho_{\text{GW}}}{d \ln f}$$



The peak amplitude varies with the frequency

$$\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_{\text{tot}}} \frac{d\rho_{\text{GW}}}{d \ln f}$$

$$h^2 \Omega_{\text{GW}} = h^2 \Omega_{\text{coll}} + \dots$$



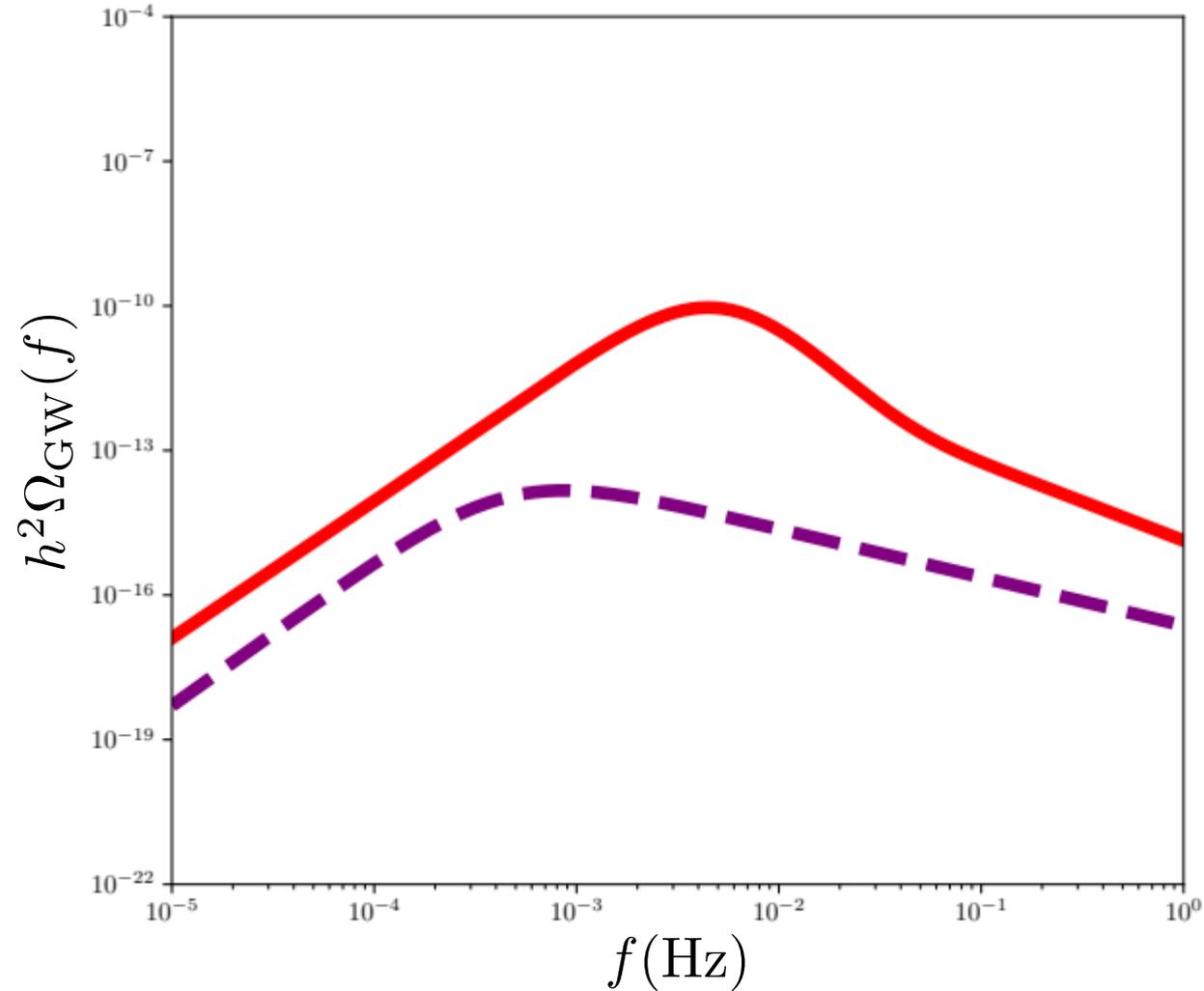
The peak amplitude varies with the frequency

The signal has several contributions

1) the collision of bubbles – which breaks their spherical symmetry.

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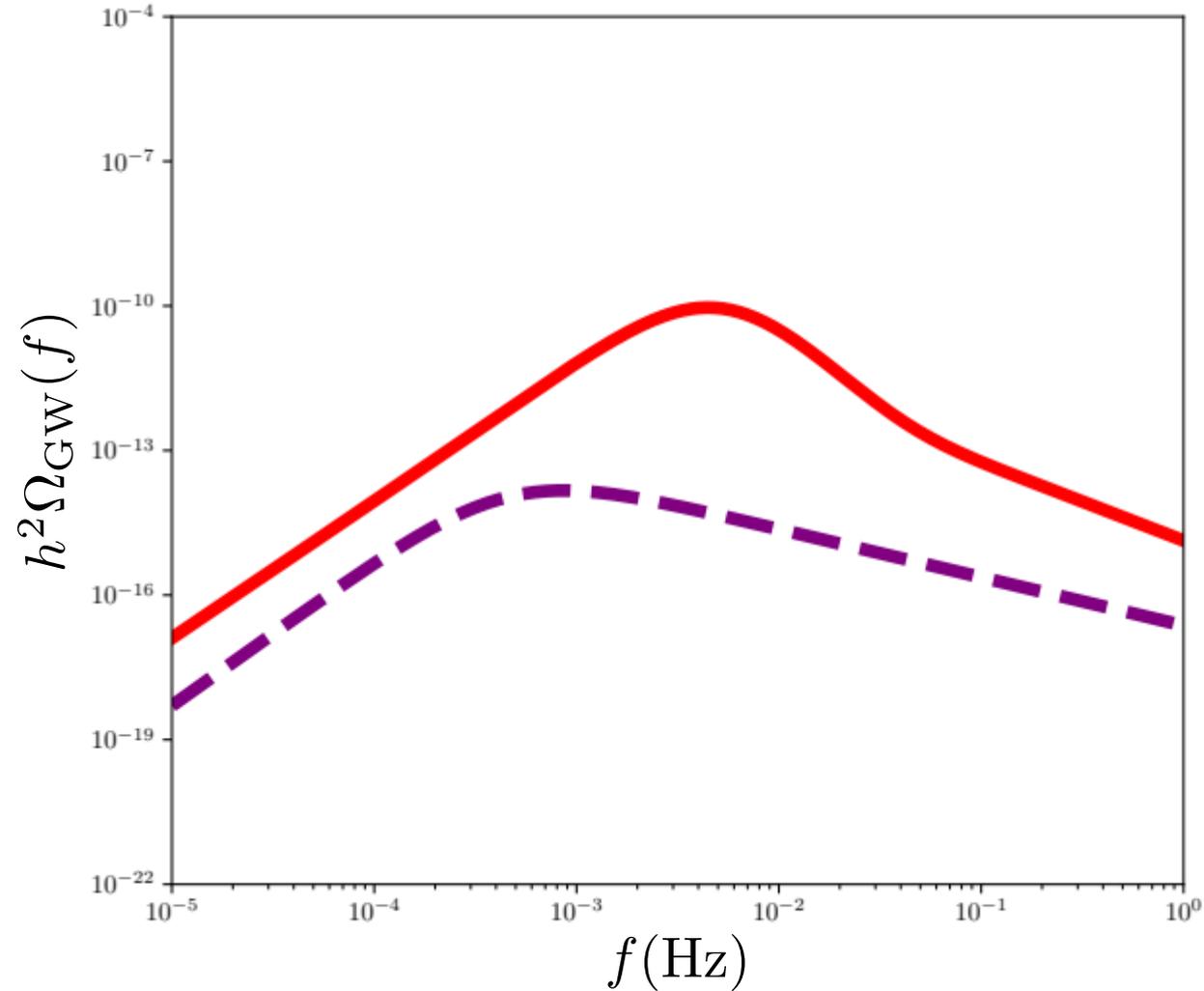
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Usually:
scalar field interacts with plasma at bubble wall

Friction $\Rightarrow \Omega_{\text{coll}}$ is negligible

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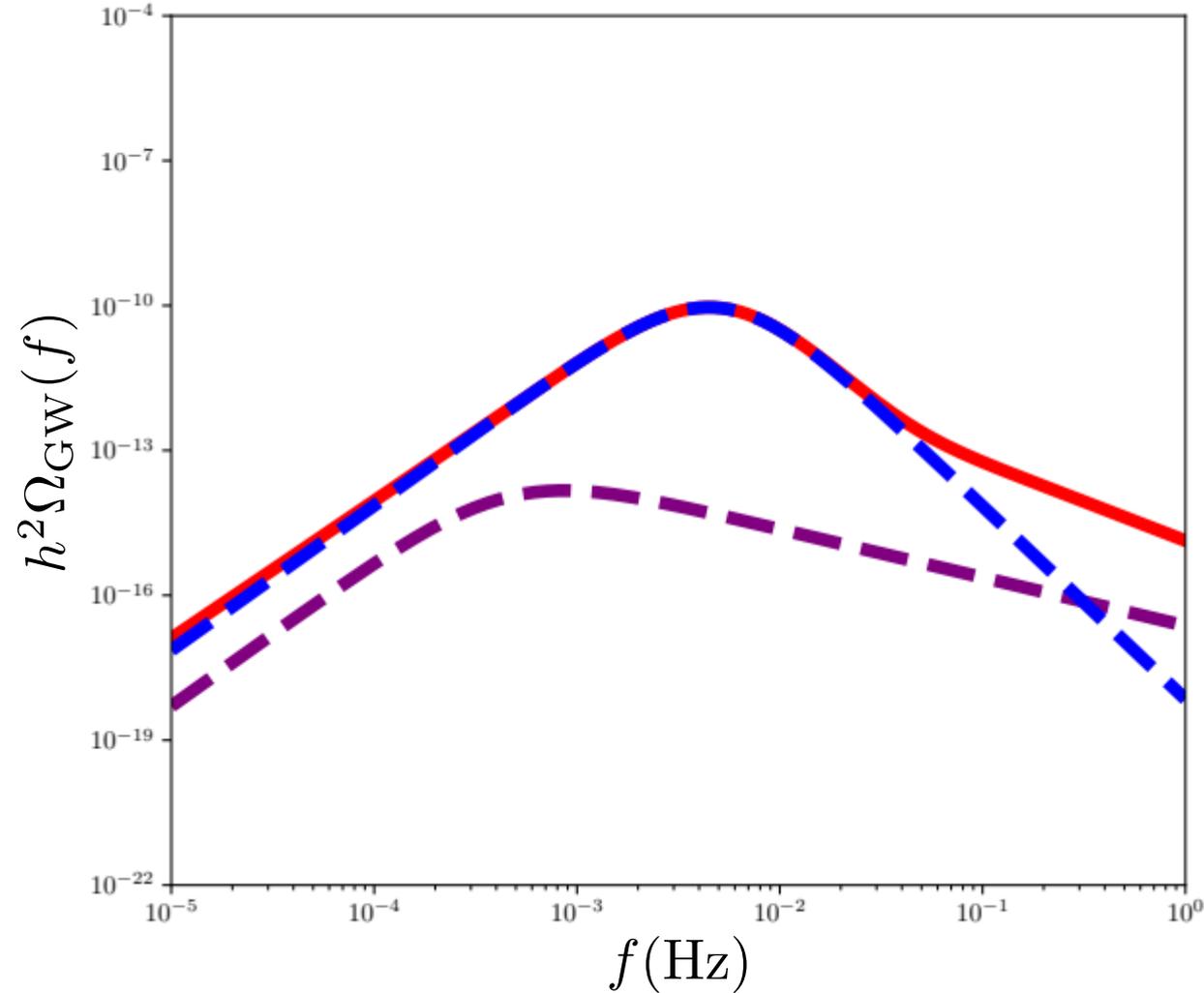
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Exceptions: very low temp PTs,
Secluded/dark sectors PTs

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$$h^2 \Omega_{\text{GW}} = h^2 \Omega_{\text{coll}} + h^2 \Omega_{\text{sw}} + \dots$$



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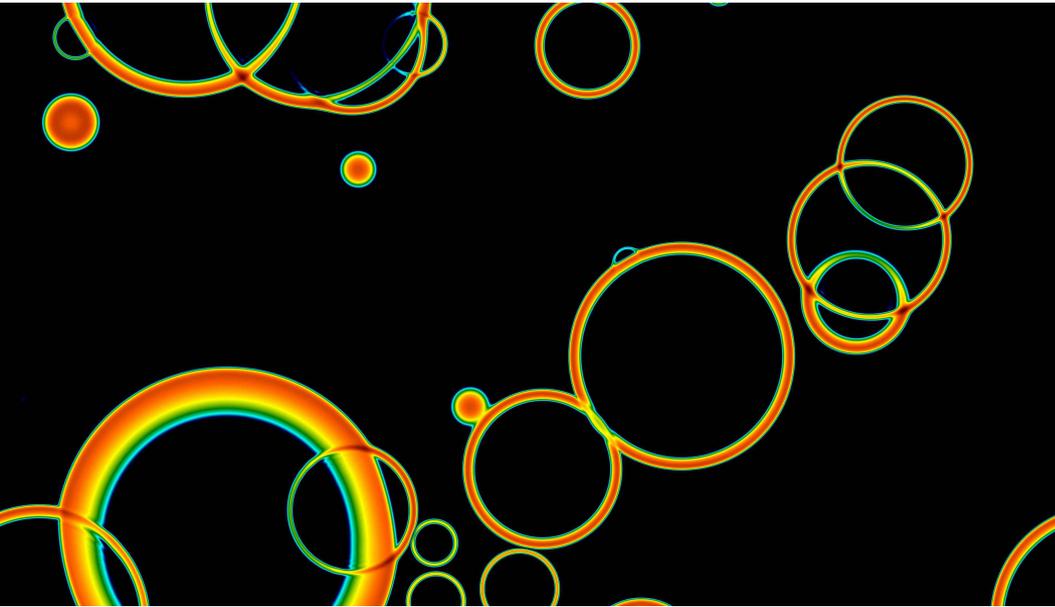
1) the collision of bubbles – which breaks their spherical symmetry.

2) waves of plasma accelerated by the bubble wall.

Kinetic energy of the plasma

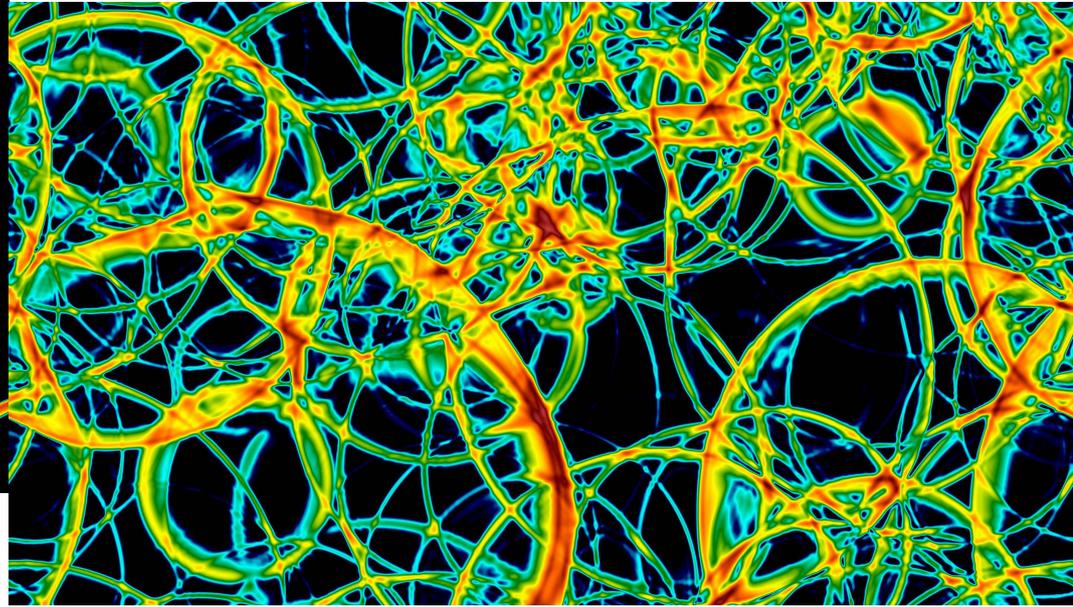
Slices from 3D lattice simulations [],

Before collisions:



Kinetic energy distributed in shells around the bubble wall wall

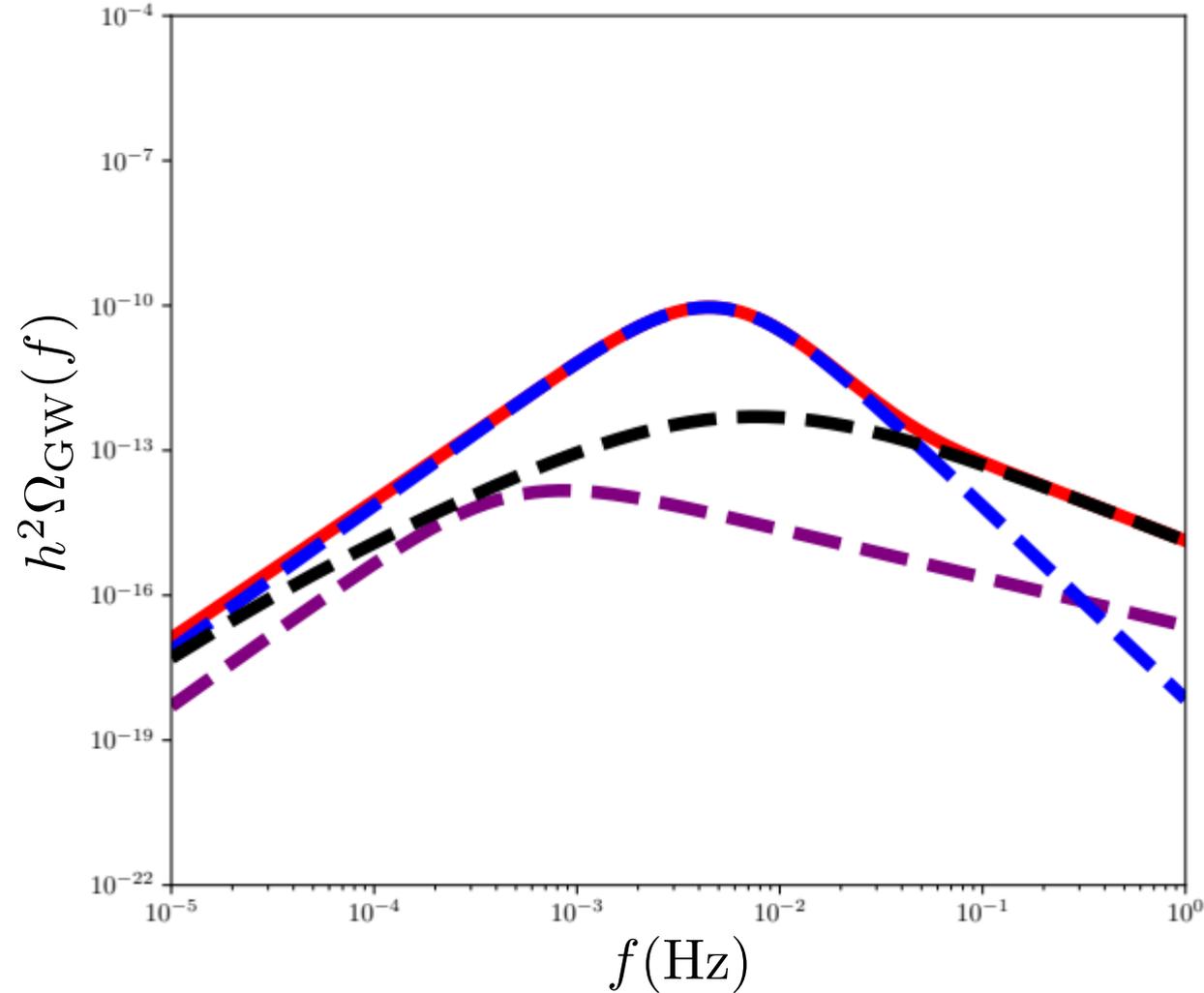
After collisions:



[Images David Weir, Phil.Trans.Roy.Soc.Lond.A 376 (2018) 2114, 20170126]

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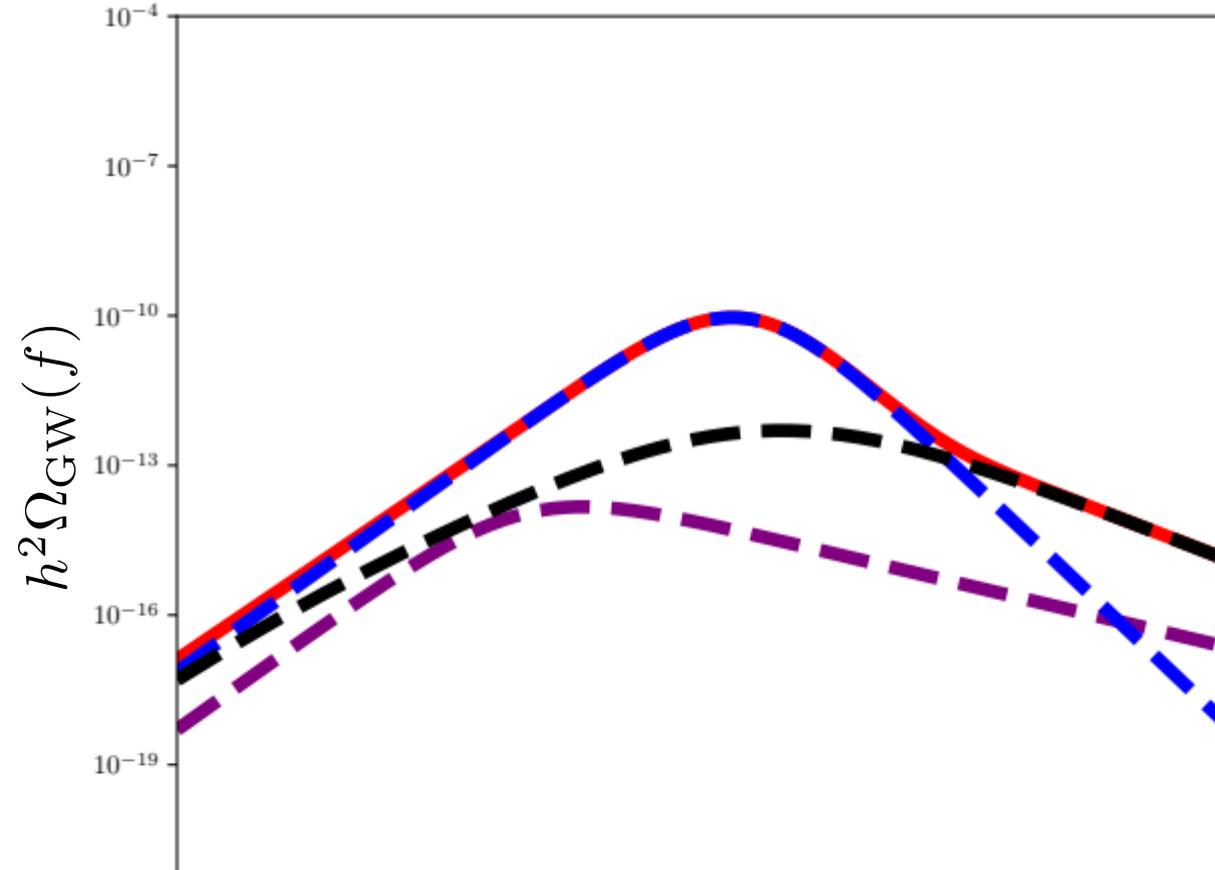
The peak amplitude varies with the frequency

The signal has several contributions:

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- 3) shocks in the fluid leading to turbulence

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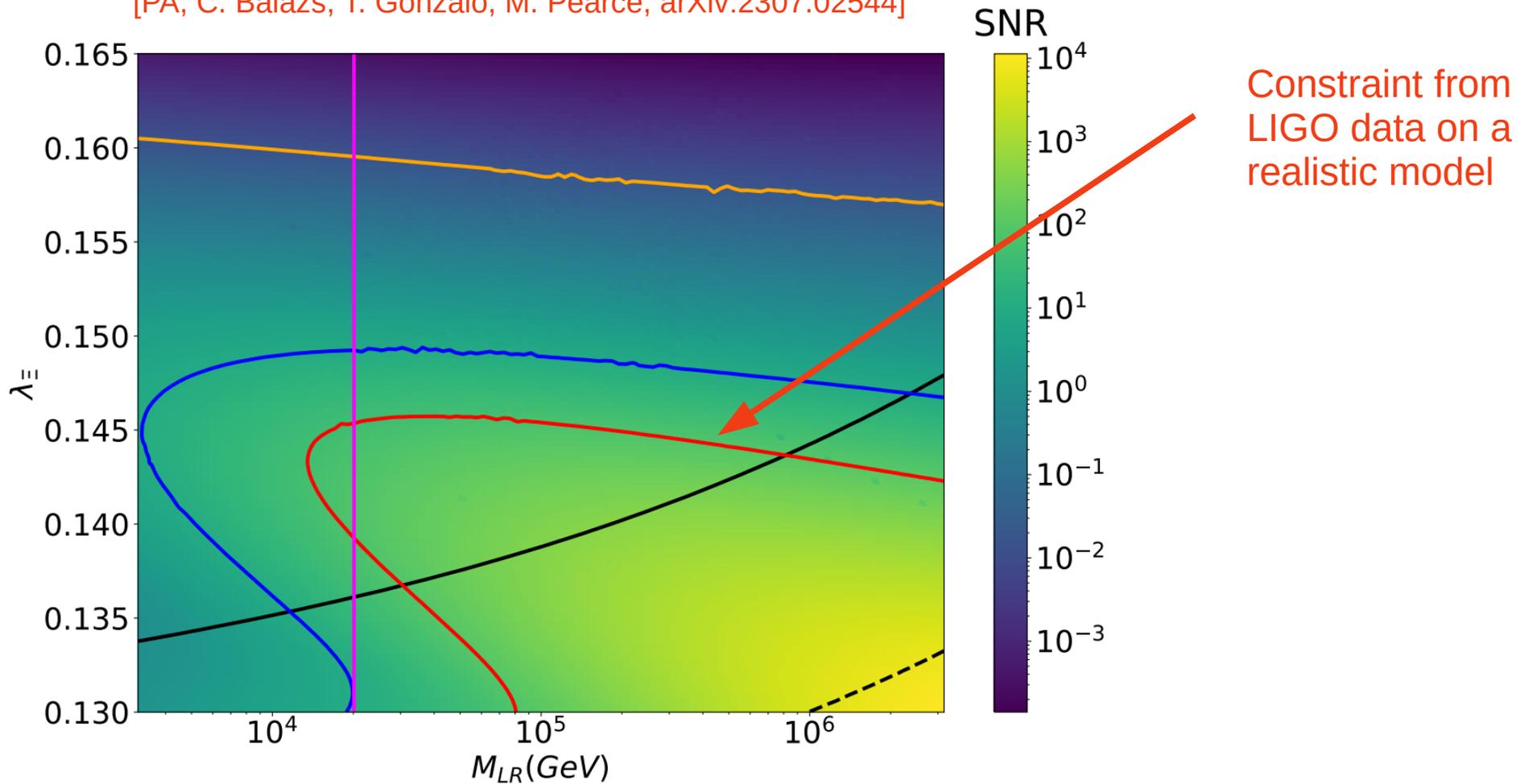
Understanding this quantitatively requires hydrodynamical simulations and/or clever modeling of how it happens

We are entering an era
where
precise GWs predictions matter

Precise GWs predictions matter

LIGO data already constrains well motivated Pati-Salam GUT models

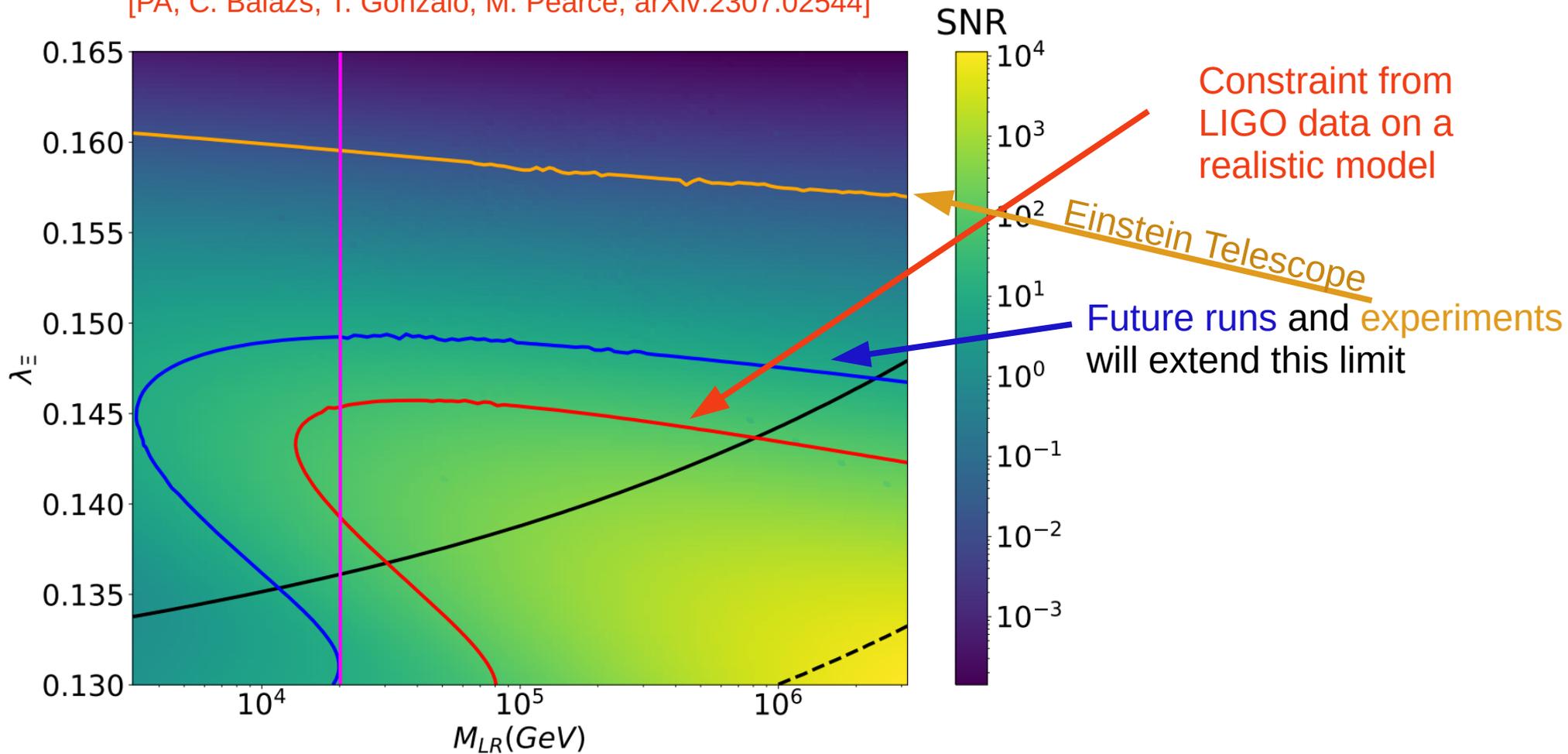
[PA, C. Balázs, T. Gonzalo, M. Pearce, arXiv:2307.02544]



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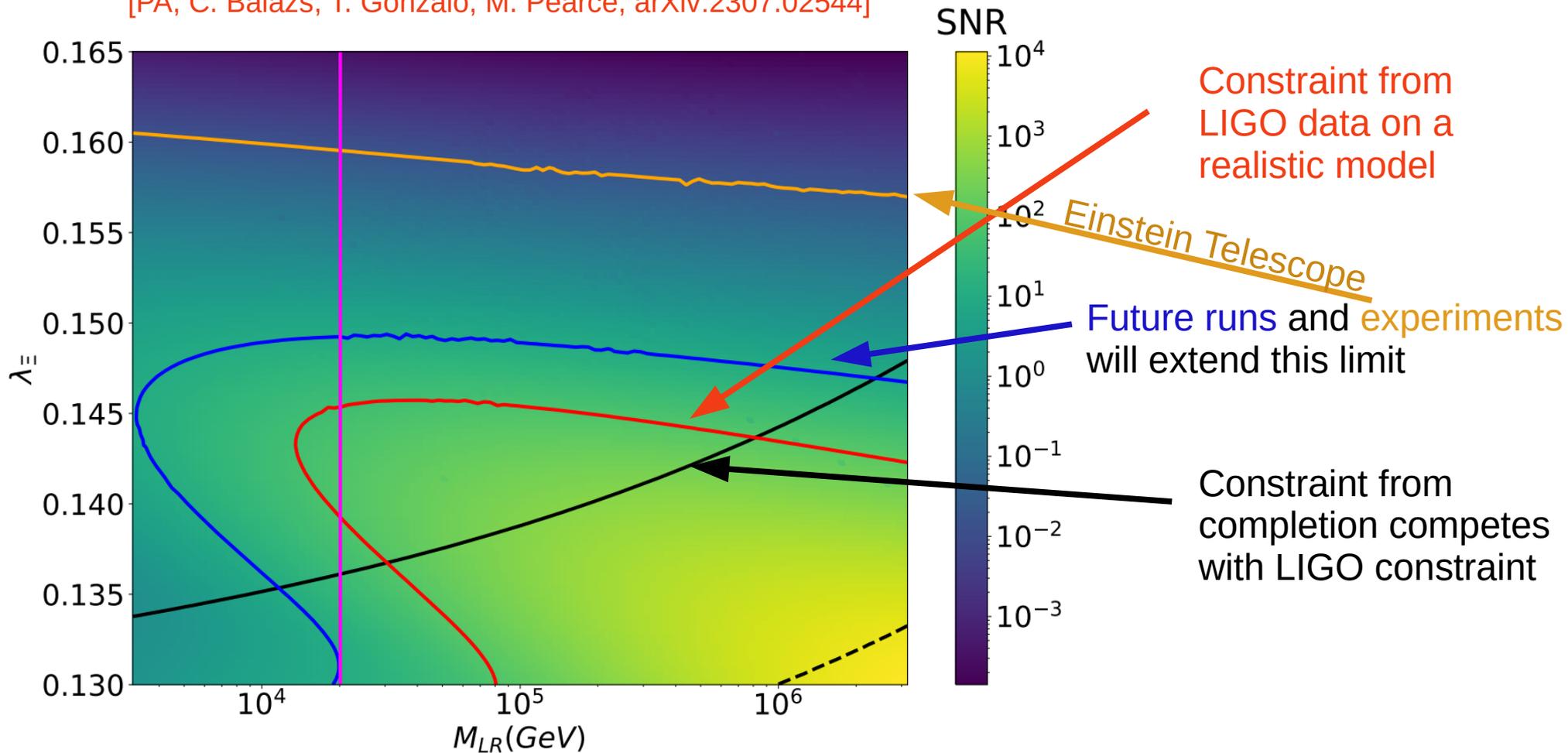
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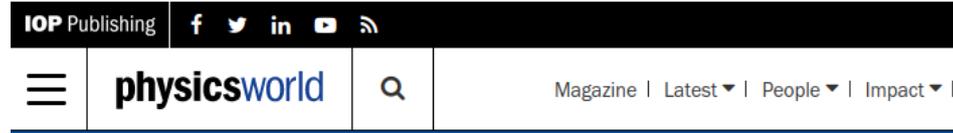
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Big news this summer: A stochastic gravitational wave background has been observed by multiple Pulsar Timing Arrays experiments



ASTRONOMY AND SPACE | RESEARCH UPDATE

Pulsar timing irregularities reveals hidden gravitational-wave background

29 Jun 2023



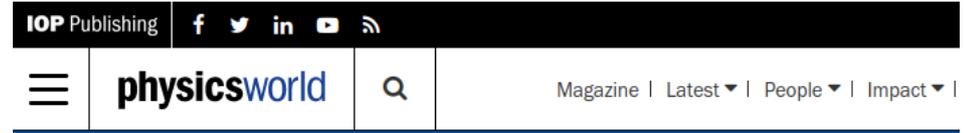
Cosmic claims: researchers have used radiotelescopes around the world to hunt for gravitational waves using the subtle variations in the timing of pulsars. (Courtesy: Aurore Simonnet for the NANOGrav Collaboration)

Big news this summer:

A **stochastic gravitational wave background** has been **observed**
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Conservative interpretation:
a population of
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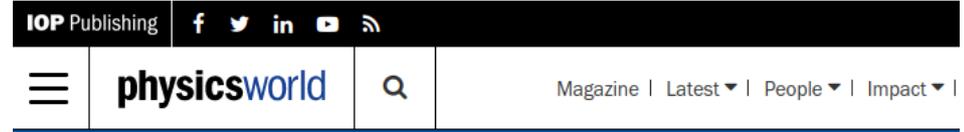
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Conservative interpretation:
a population of
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But more exotic
interpretations are possible



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DOUBLE WARNING

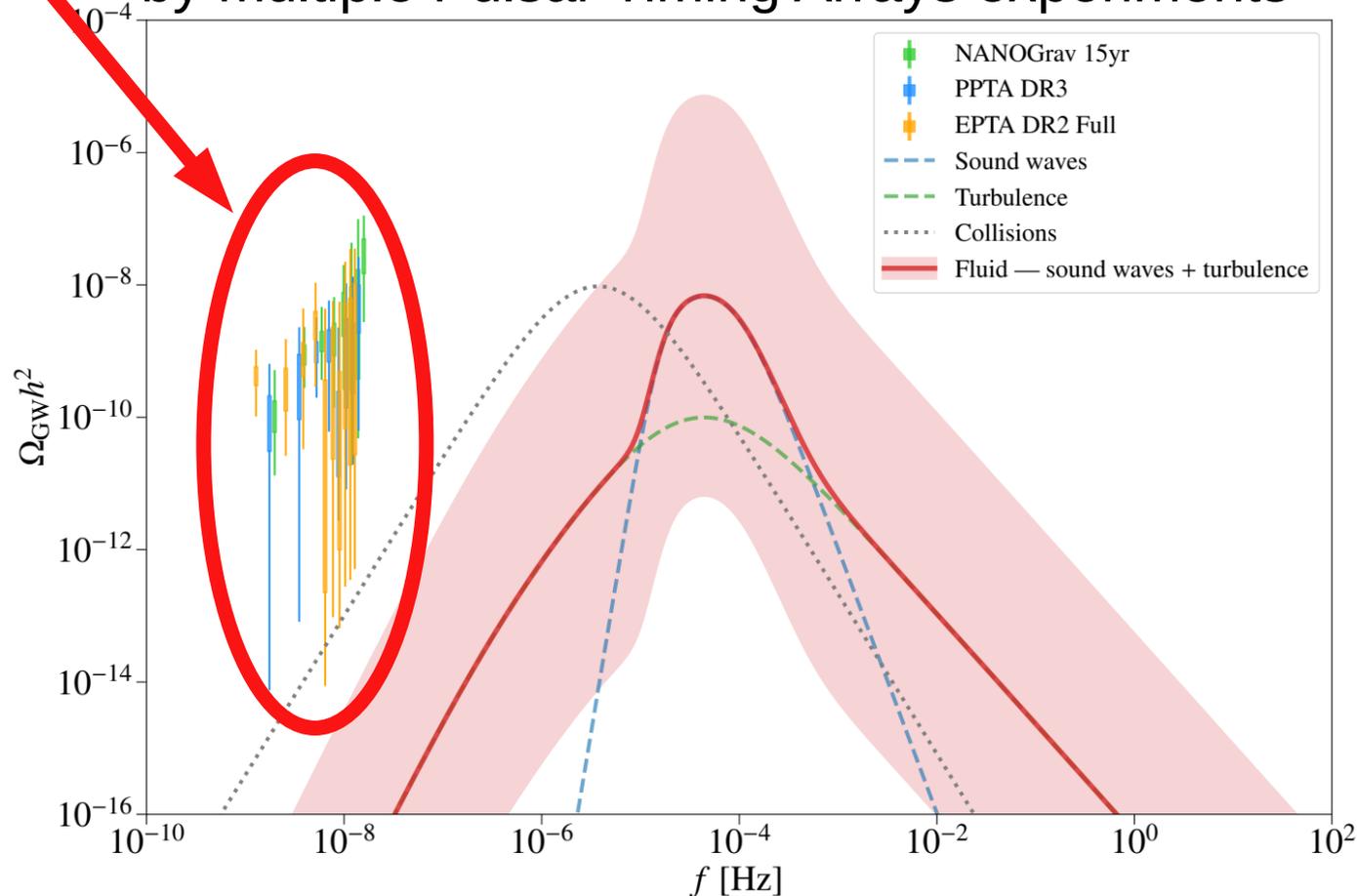


For specific models these predictions require great care!

We looked at one model
prominently cited by NANOGRV
as able to explain nHz signals from PTAs...

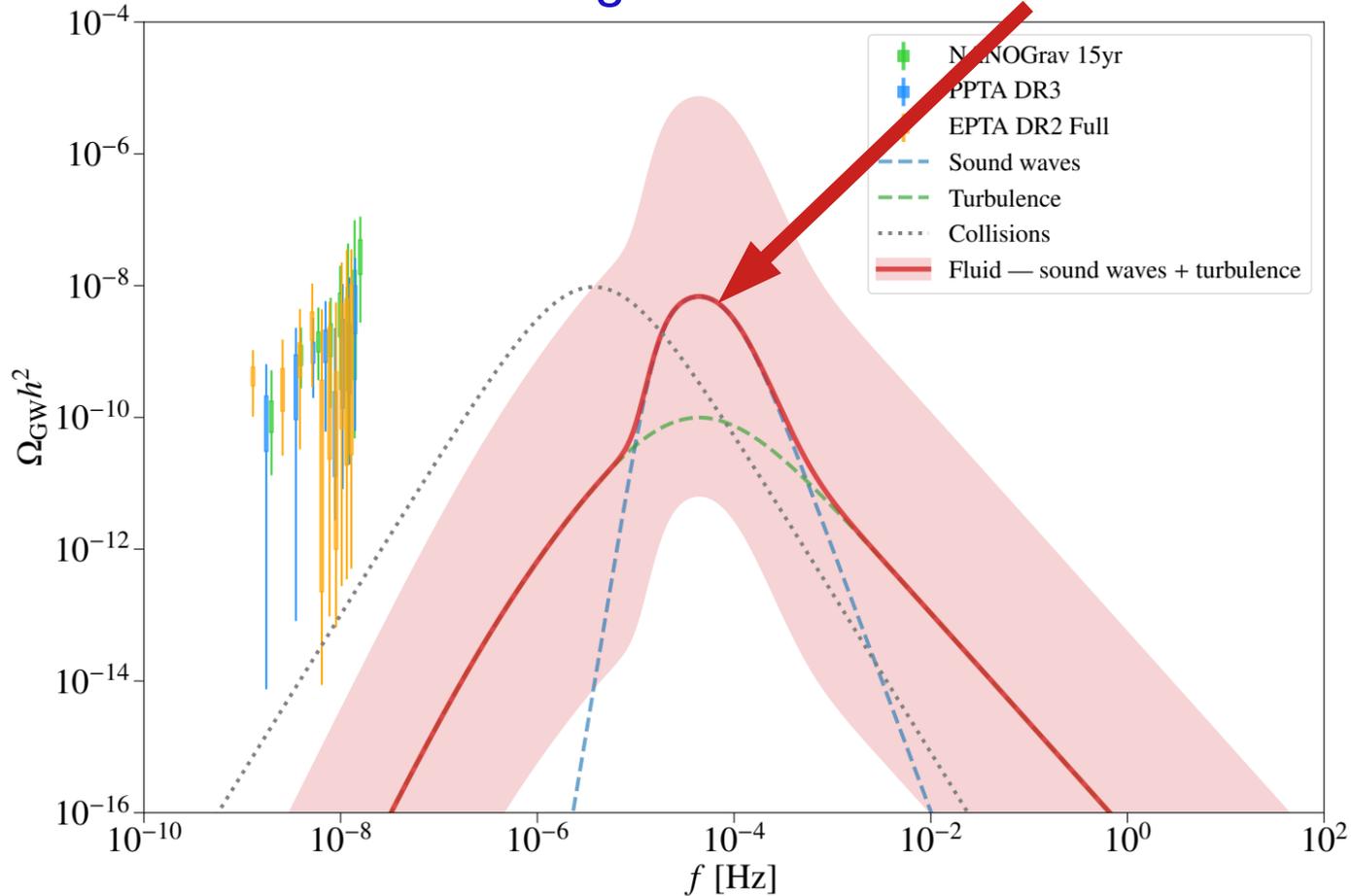
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But for the prototypical model of supercooled PTs cited by NANOgrav as a possible explanation:

GWs can't fit the signal with careful calculation

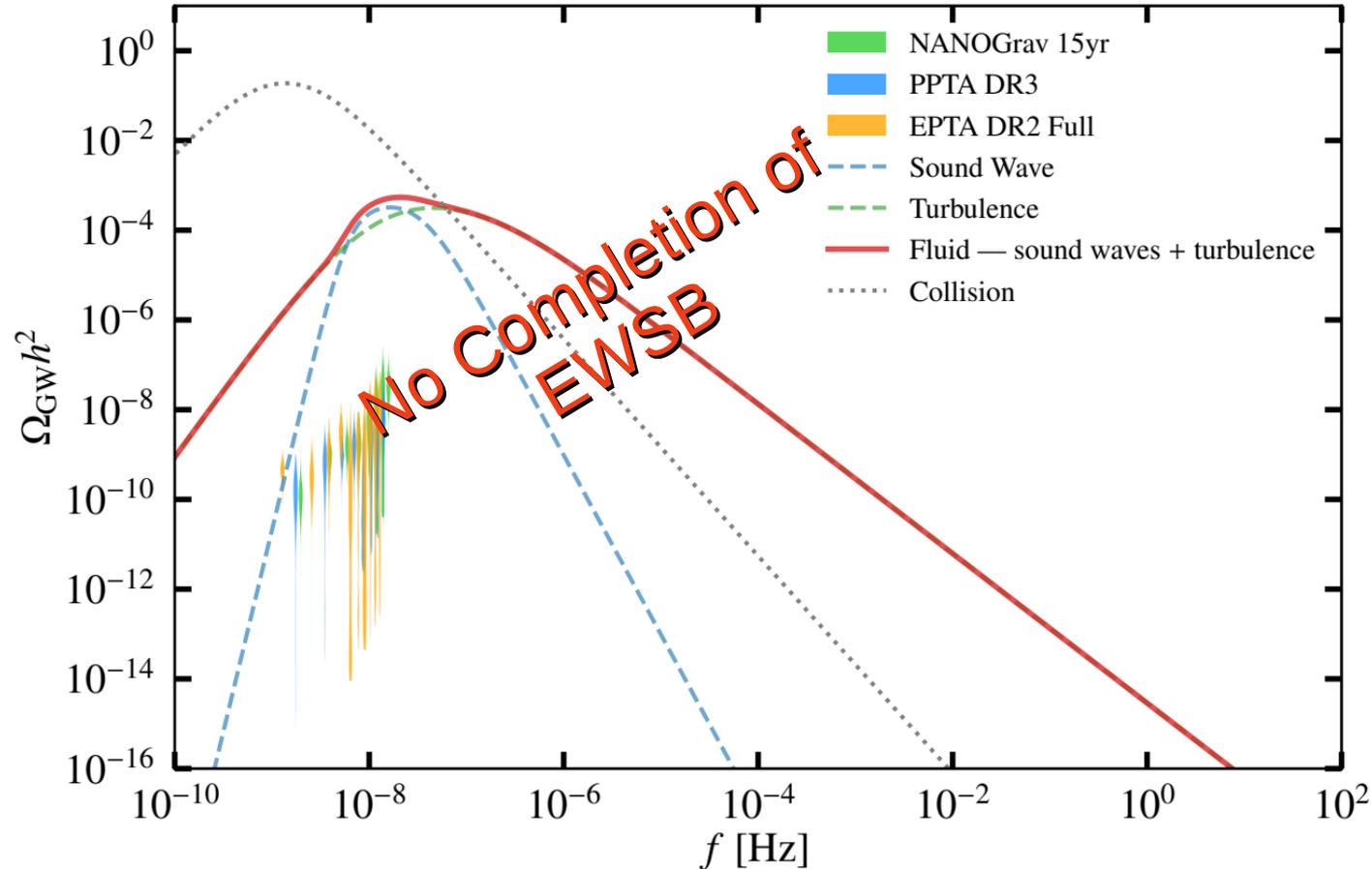


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Larger signals are ruled
out in this model
because the PT does not
complete

This is the first of the
subtle effects I will
discuss today!

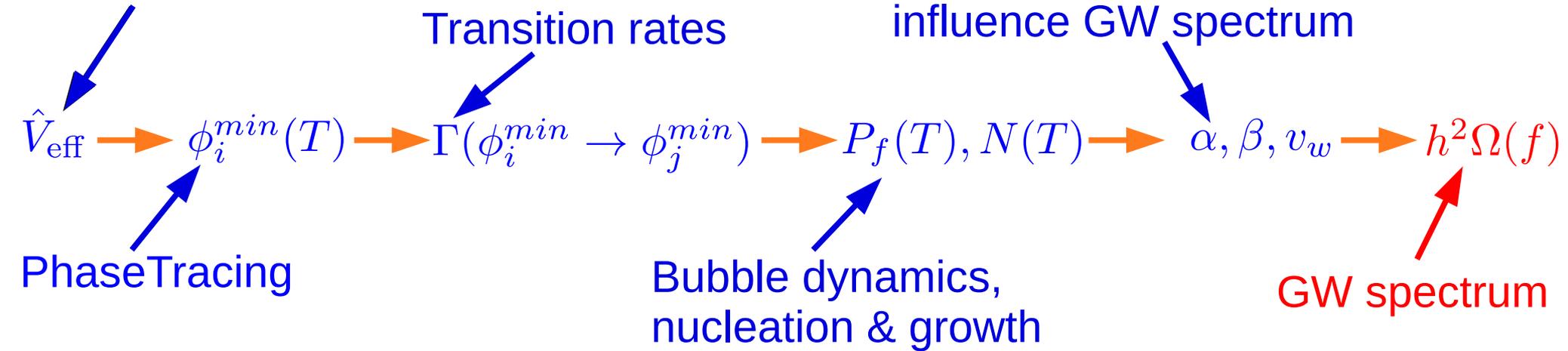


From
particle physics theory
to GWs

From particle physics theory to GWs

There is a long chain of steps needed to make GW predictions

Effective Potential

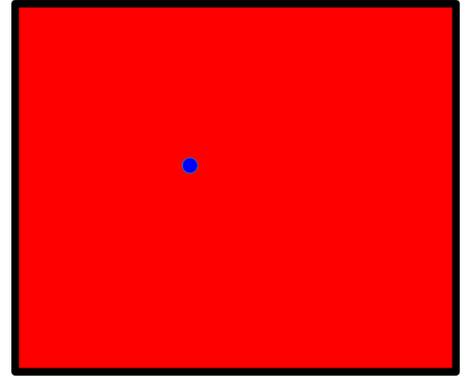


- At every step there are challenges :
- open questions & active investigation
 - Tensions between rigour and feasibility,
 - Subtle issues leading to common misunderstandings / mistakes

Does the Phase transition complete?

Many studies only check **nucleation**

Nucleation: one bubble per Hubble volume



Hubble volume

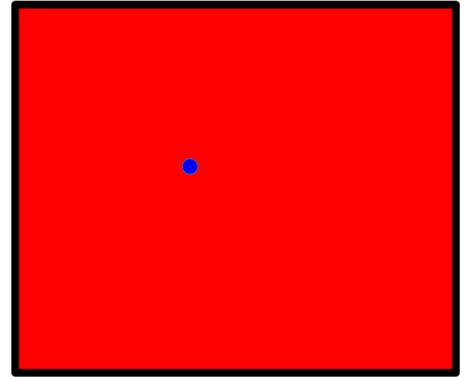
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Often estimated with simple heuristics

$$S(t)/T = 140 \quad \text{“bounce action” in } \Gamma(t) = Ae^{-S(t)}$$



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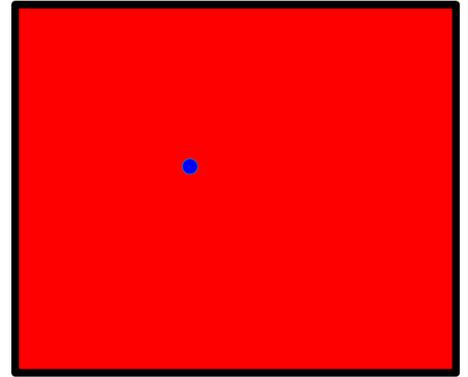
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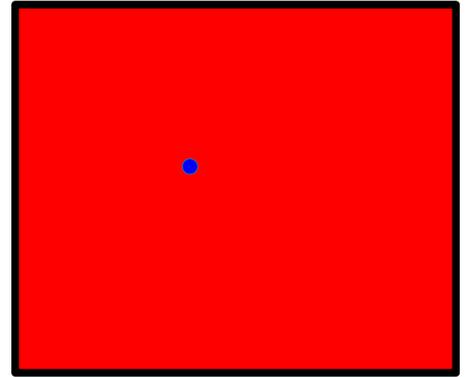
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If the barrier dissolves quickly with temperature

→ Exponential nucleation rate → Bubbles rapidly fill space

“Fast transition” or “low supercooling”



Hubble volume

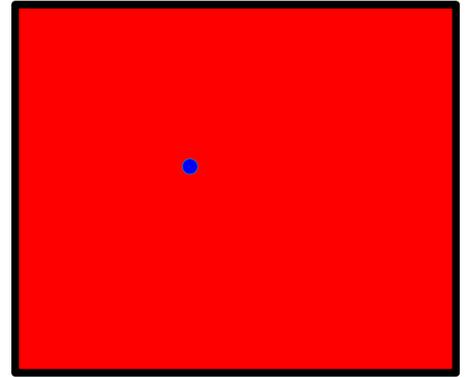
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Not sufficient for scenarios with a lot of **supercooling**,

If the barrier persists to low temperatures,
→ nucleation rate can reach a maximum



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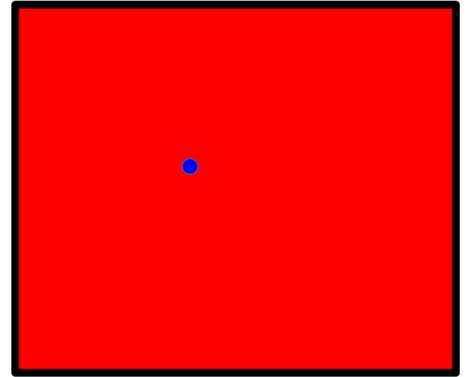
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Hubble volume

For such slow transitions we must the **false vacuum fraction** $P_f \rightarrow 0$

$$P_f(T) = \exp \left[-\frac{4\pi}{3} \int_T^{T_c} \frac{dT'}{T'^4} \frac{\Gamma(T')}{H(T')} \left(\int_T^{T'} dT'' \frac{v_w(T'')}{H(T'')} \right)^3 \right]$$

Stochastic so
actually check:

$$P_f < \epsilon$$

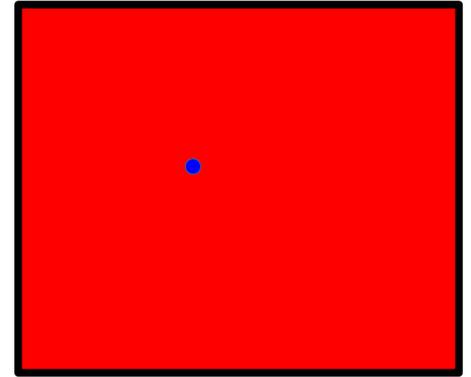
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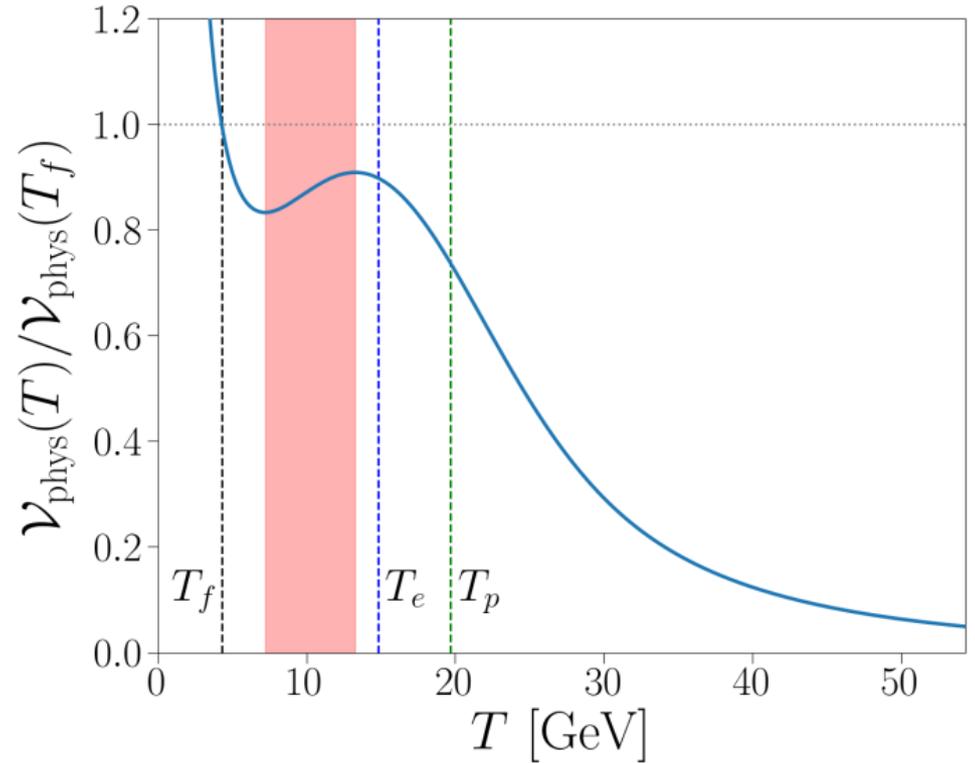
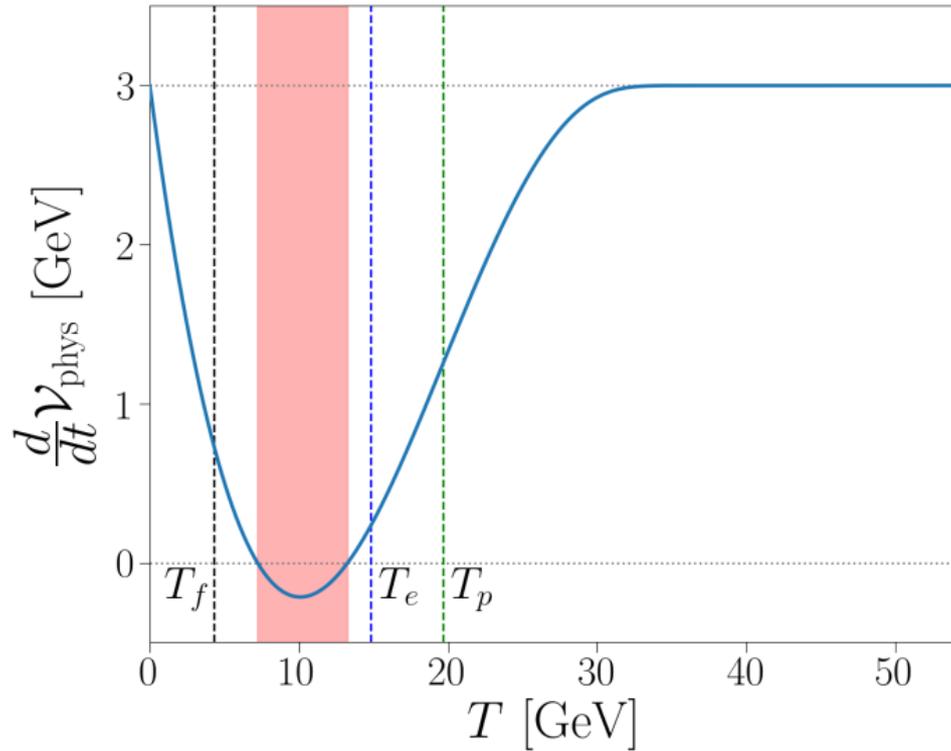
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Stochastic so actually check:
 $P_f < \epsilon$

Warning: even this may not be enough because space is expanding

Additional check for Percolation / completion



[PA, C. Balázs, L. Morris, JCAP 03 (2023), 006]

To ensure it really completes, also require: $\frac{d\mathcal{V}_f^{\text{phys}}}{dT} < 0$

Non-trivial because whole volume is expanding

Gravitational waves and thermal parameters

Recall $h^2 \Omega_{\text{GW-tot}} = h^2 \Omega_{\text{coll}} + h^2 \Omega_{\text{sw}} + h^2 \Omega_{\text{turb}}$ $\Omega_{\text{GW}}(f) \equiv \frac{1}{\rho_{\text{tot}}} \frac{d\rho_{\text{GW}}}{d \ln f}$
energy density 

$$\Omega_{\text{GW}}(f) \propto R_{\Omega} K^n L^m$$

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redshift factor \longrightarrow

Redshift factor to account for redshifting from the transition time to today

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Kinetic energy fraction

Redshift factor to account for redshifting from the transition time to today
Kinetic energy fraction is the energy that can be available to source GWs

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redshift factor \rightarrow R_{Ω} K^n L^m \leftarrow Length scale related to duration

\uparrow Kinetic energy fraction

Redshift factor to account for redshifting from the transition time to today

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Length scale that is sensitive to the lifetime of the source

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Implicit dependence on the transition temperature and v_w

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Implicit dependence on the transition temperature and v_w

Powers depend on source & modelling, coeffs from simulations/calculations

False vacuum fraction  several important milestone temperatures

False vacuum fraction \longrightarrow several important milestone temperatures

Completion temperature: $T_f: P_f(T_f) = 0.01$

False vacuum fraction \longrightarrow several important milestone temperatures

Completion temperature: $T_f: P_f(T_f) = 0.01$

Percolation temperature: $T_p: P_f(T_p) = 0.71$

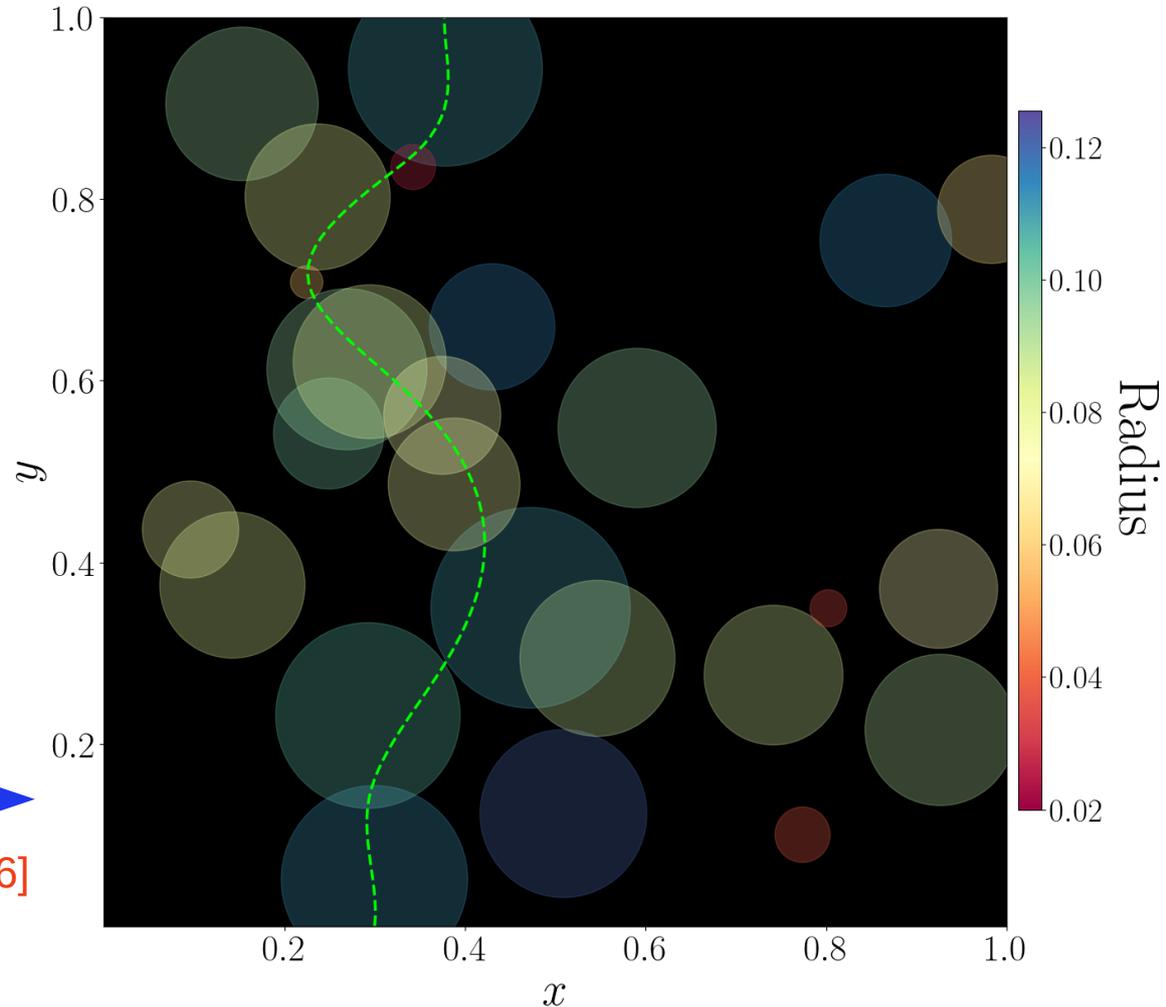
Percolation temperature

$$T_p: P_f(T_p) = 0.71$$

- Percolation is when there is a connected path between bubbles across the space
- Strongly linked to bubble collisions
- Good choice for a temperature at which to evaluate the GWs spectrum

Example from simple simulation 

[PA, C. Balázs, L. Morris, JCAP 03 (2023), 006]



False vacuum fraction \longrightarrow several important milestone temperatures

Completion temperature: $T_f: P_f(T_f) = 0.01$

Percolation temperature: $T_p: P_f(T_p) = 0.71$

e-folding temperature: $T_e: P_f(T_e) = 1/e$

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The nucleation temperature is instead given by $N(T_n) = 1$

$$N(T) = \int_T^{T_c} dT' \frac{\Gamma(T')}{T' H^4(T')}$$

The nucleation temperature is frequently used for evaluating GW signals

False vacuum fraction \longrightarrow several important milestone temperatures

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The **nucleation temperature** is frequently used for evaluating GW signals
but it may not exist...

and for slow transitions is decouples from the other the other temperatures

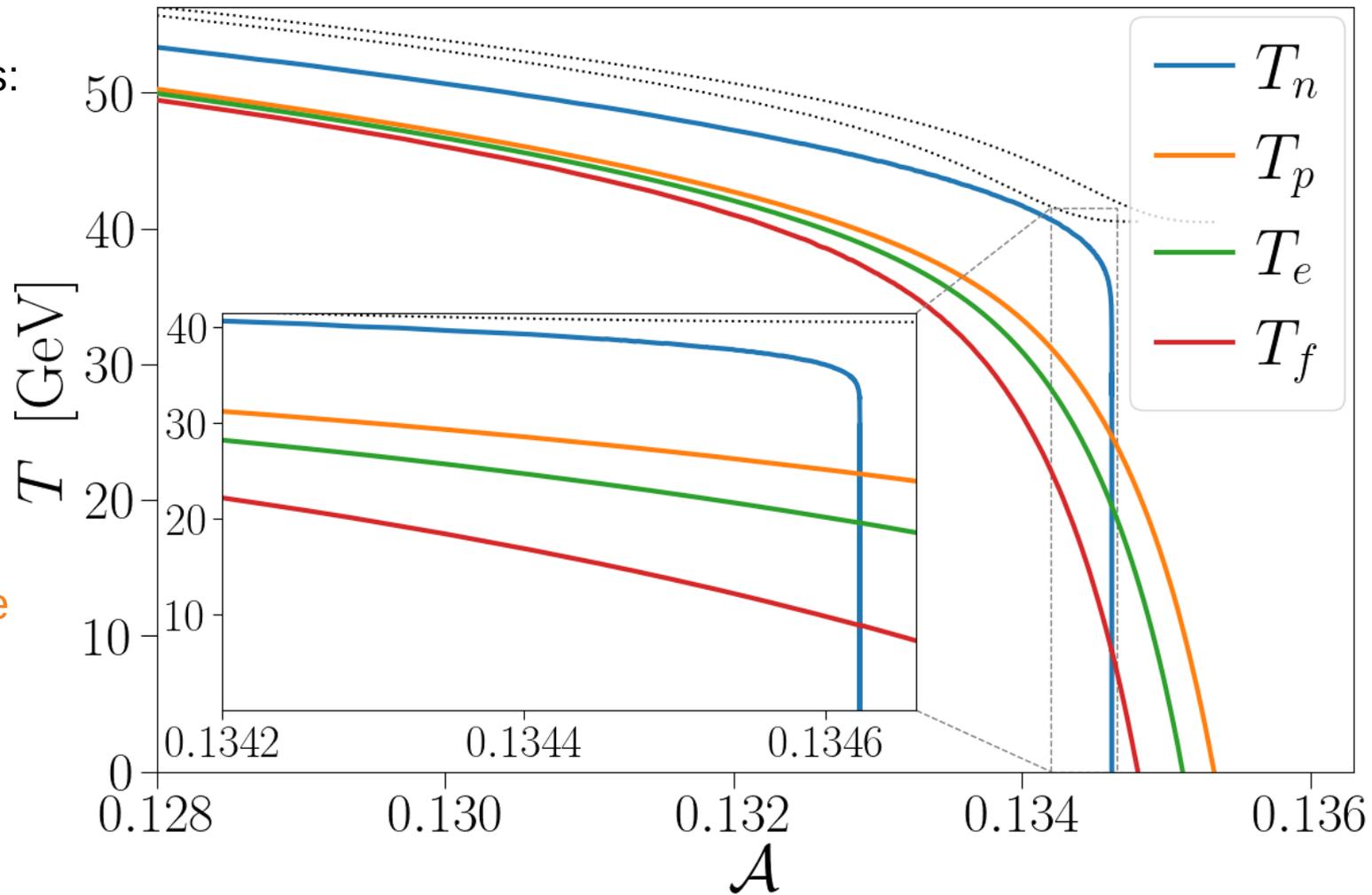
Milestone temperatures

[PA, C. Balázs, L. Morris, JCAP 03 (2023), 006]

Nucleation temperature is:

- Not related to bubble collisions
- Not related to other temperatures
- **May not even exist**

Percolation temperature
is a better choice
for GWs



The temperature choice really matters
for gravitational wave signatures

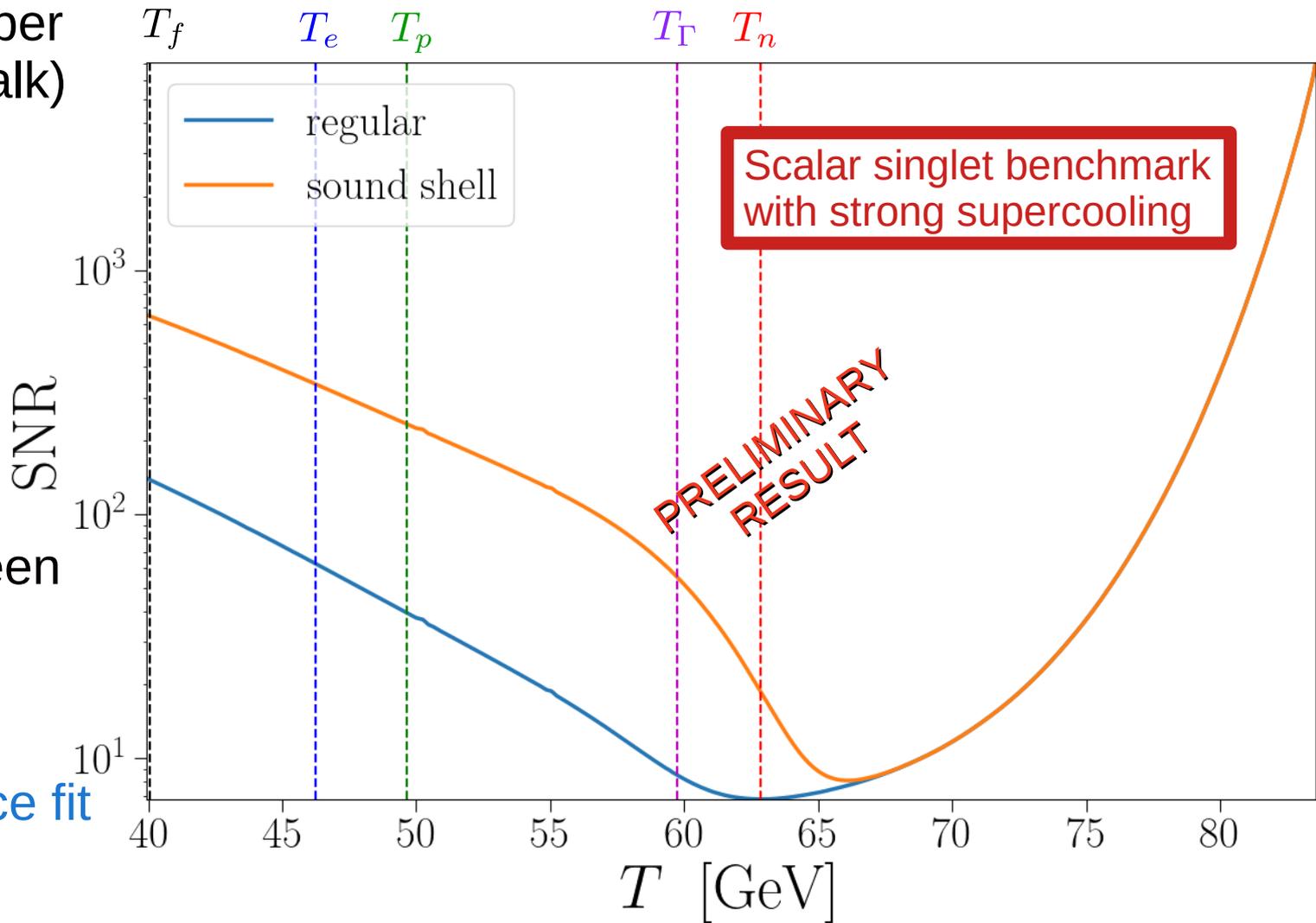
Temperature dependence

Point from same paper
(plot made for this talk)

Slow transition,
nucleation
far earlier than
percolation

Detectability
(SNR for LISA)
very different between
percolation vs
nucleation!

Sound shell and **lattice fit**
also very different



Gravitational waves and thermal parameters

Lattice fit to single broken power law for sound wave source :

[M. Hindmarsh, S. J. Huber, K. Rummukainen and D. J. Weir, PRD 96 (2017) 103520]

$$h^2 \Omega_{\text{sw}}^{\text{lat}}(f) = 5.3 \times 10^{-2} R_{\Omega} K^2 \left(\frac{H L_*}{c_{s,f}} \right) \Upsilon(\tau_{\text{sw}}) S_{\text{sw}}(f),$$

Speed of sound in false vacuum \rightarrow

$\left(\frac{H L_*}{c_{s,f}} \right)$

$\Upsilon(\tau_{\text{sw}})$ Accounts for finite lifetime of source

$S_{\text{sw}}(f)$ Shape \leftarrow

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Sound shell model:

[Hindmarsh PRL 120 (2018) 071301, (+Hijazi) JCAP 12 (2019) 062, + (C. Gowling, D.C. Hooper and J. Torrado), JCAP 04 (2023) 061]

$$h^2 \Omega_{\text{sw}}(f) = 0.03 R_{\Omega} K^2 \left(\frac{H_* L_*}{c_{s,f}} \right) \Upsilon(\tau_{\text{sw}}) \frac{M(s, r_b, b)}{\mu_f(r_b)} \leftarrow \text{Shape}$$

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Sound shell model is new but very promising

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Shape \leftarrow

Sound shell model is new but very promising

Turbulence also contributes, but not well modeled



Another uncertainty!

Temperature dependence

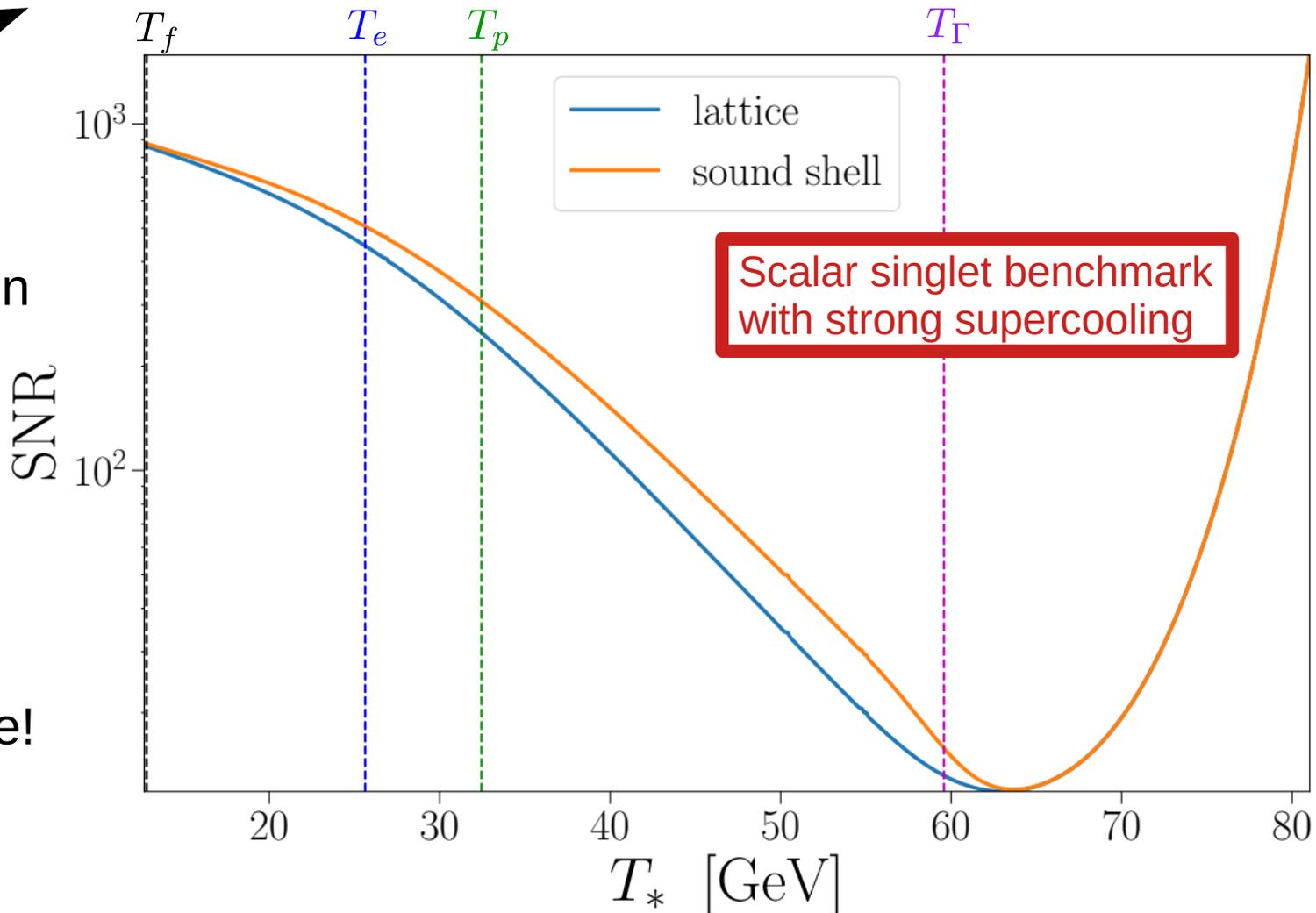
[PA, L. Morris, Z. Xu, arXiv:2309.05474]



From here
(but plot simplified)

Another slow transition
but **percolates** and
completes *before*
nucleation

LISA SNR
varies more than
an order of magnitude!



Temperature dependence

Many studies evaluate GW spectrim at the **nucleation temperature**

But the **nucleation temperature** is not really conncted to bubble collisions

Percolation is directly defined in terms of contact between bubbes

Nucleation is a bad choice, **Percolation** much better, but...

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—————▶ **Temperature dependence represents a large uncertainty**

Length scales / duration

Times scales for sources gravitational waves affect the GWs signal

Depends on the particle physics model

Can be related to a length scale, **mean bubble separation** used in hydrodynamical simulations of sound waves:

$$R_{\text{sep}}(T) = (n_B(T))^{-\frac{1}{3}} \quad n_b(T) = T^3 \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')}$$

bubble number density

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1st order \longrightarrow exponential nucleation rate $\Gamma(t) = \Gamma(t_*) \exp(\beta(t - t_*))$,

$$\beta = - \left. \frac{dS}{dt} \right|_{t=t_*} = HT_* \left. \frac{dS}{dT} \right|_{T=T_*}$$

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Times scales for sources gravitational waves affect the GWs signal

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bubble number density

Best treatment

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Widely used
replacement

$$R_{\text{sep}} = (8\pi)^{\frac{1}{3}} \frac{v_w}{\beta}$$

Rough approximation

Times scales for sources gravitational waves affect the GWs signal

Depends on the particle physics model

Can be related to a length scale, **mean bubble separation** used in hydrodynamical simulations of sound:

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If Γ reaches a maximum
 $\Rightarrow \beta < 0$ after or tiny close to maximum!

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$$\beta_V = \sqrt{\left. \frac{d^2 S}{dt^2} \right|_{t=t_\Gamma}}$$

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↙ ↘
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Can be used to replace
mean bubble separation

$$R_{\text{sep}} = \left(\sqrt{2\pi} \frac{\Gamma(T_\Gamma)}{\beta_V} \right)^{-\frac{1}{3}}$$

T_Γ is where nucl rate (Γ) is maximised

Times scales for sources gravitational waves affect the GWs signal

Depends on the particle physics model

Can be related to a length scale, **mean bubble separation** used in hydrodynamical simulations of sound:

$$R_{\text{sep}}(T) = (n_B(T))^{-\frac{1}{3}} \quad n_b(T) = T^3 \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')}$$

bubble number density Best treatment

Often estimated by taylor expanding the **bounce action** $\Gamma(t) = Ae^{-S(t)}$

$$S(t) \approx S(t_*) + \left. \frac{dS}{dt} \right|_{t=t_*} (t - t_*) + \frac{1}{2} \left. \frac{d^2 S}{dt^2} \right|_{t=t_*} (t - t_*)^2 + \dots,$$

2nd order \longrightarrow Gaussian nucleation rate $\Gamma(t) = \Gamma(t_*) \exp\left(-\frac{\beta_V^2}{2} (t - t_*)^2\right),$

$$\beta_V = \sqrt{\left. \frac{d^2 S}{dt^2} \right|_{t=t_\Gamma}}$$

Can be used to replace mean bubble separation

$$R_{\text{sep}} = \left(\sqrt{2\pi} \frac{\Gamma(T_\Gamma)}{\beta_V} \right)^{-\frac{1}{3}}$$

Rough approximation

Times scales for sources gravitational waves affect the GWs signal

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bubble number density

One more thing:

Alternative length scale - **mean bubble radius**

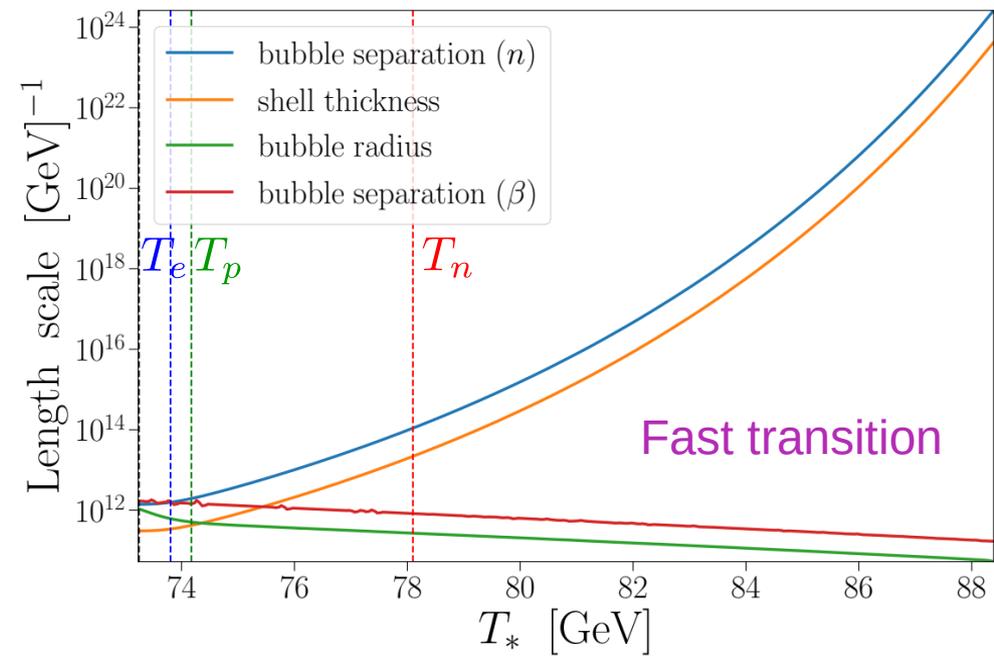
$$\bar{R}(T) = \frac{T^2}{n_B(T)} \int_T^{T_c} dT' \frac{\Gamma(T') P_f(T')}{T'^4 H(T')} \int_T^{T'} dT'' \frac{v_w(T'')}{H(T'')}.$$

This has been proposed in the literature but not used in simulations

For fast transitions

mean bubble separation varies a lot with T

Should not be used until $T \approx T_p$



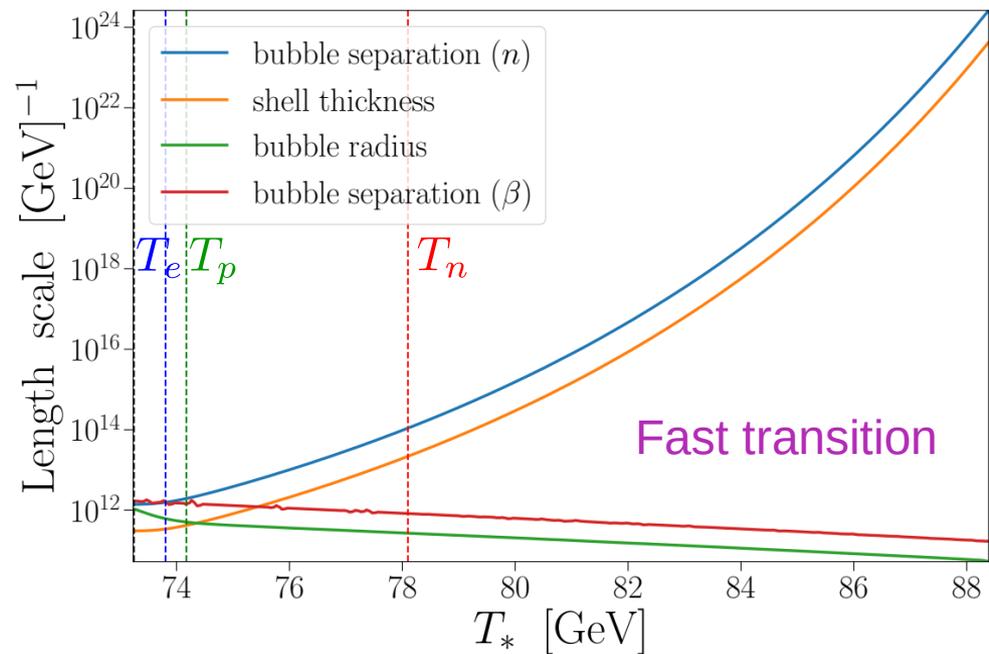
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Estimating GWs with $\beta(T_p)$, factor 2 too low

Worse with $\beta(T_n)$ (very common)



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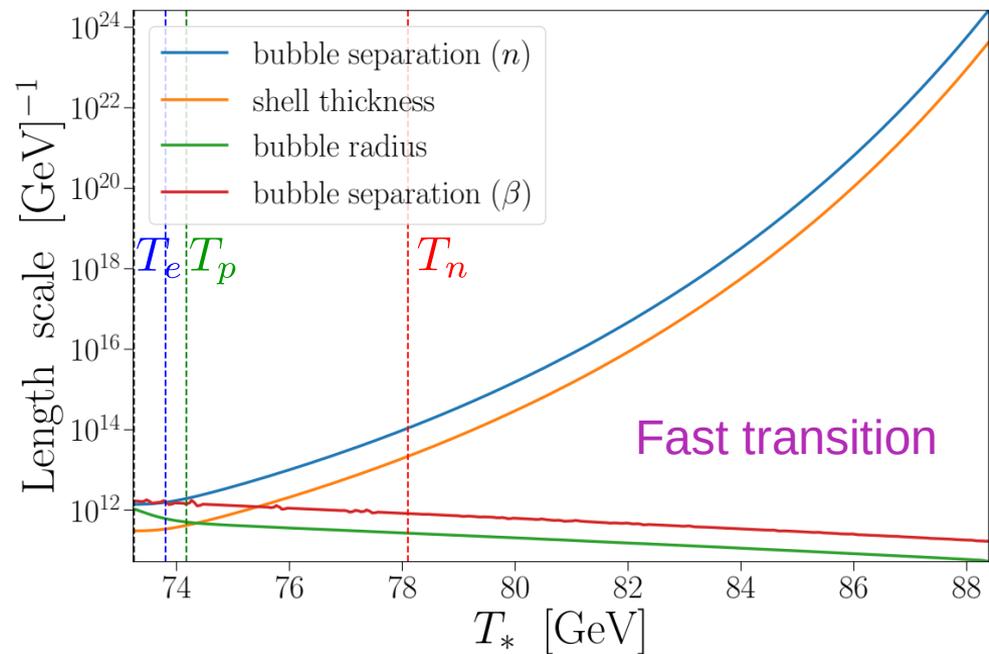
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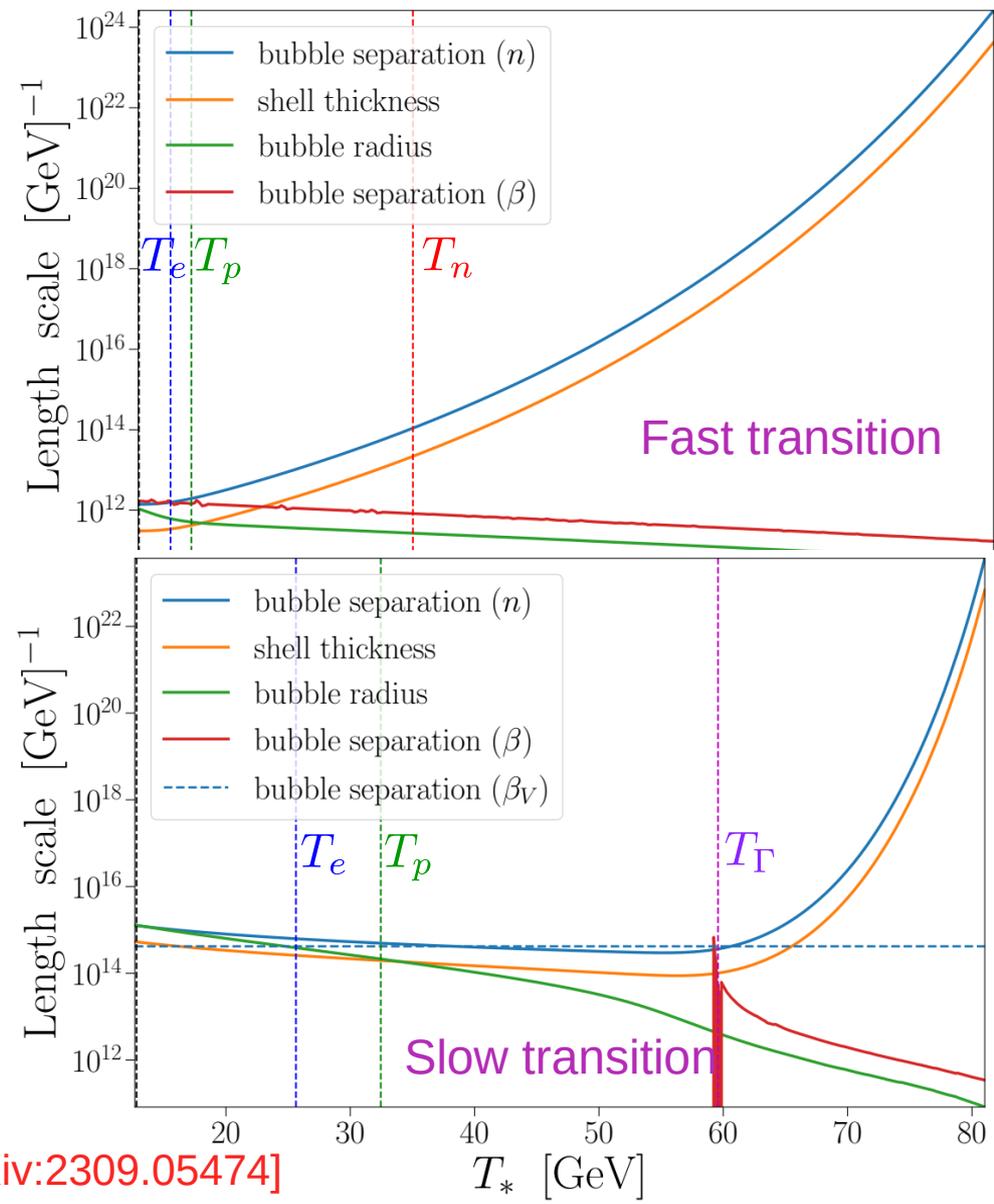
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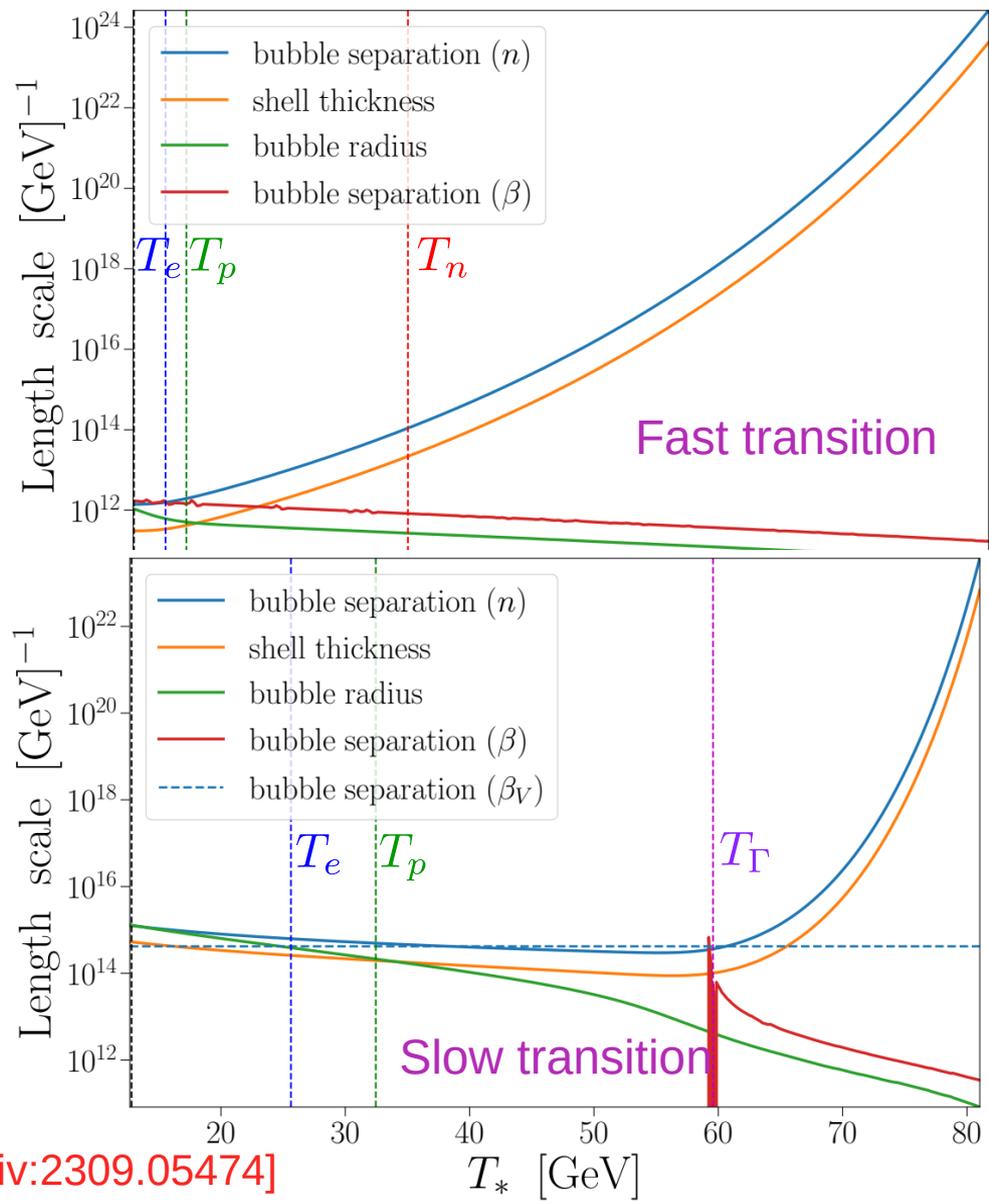
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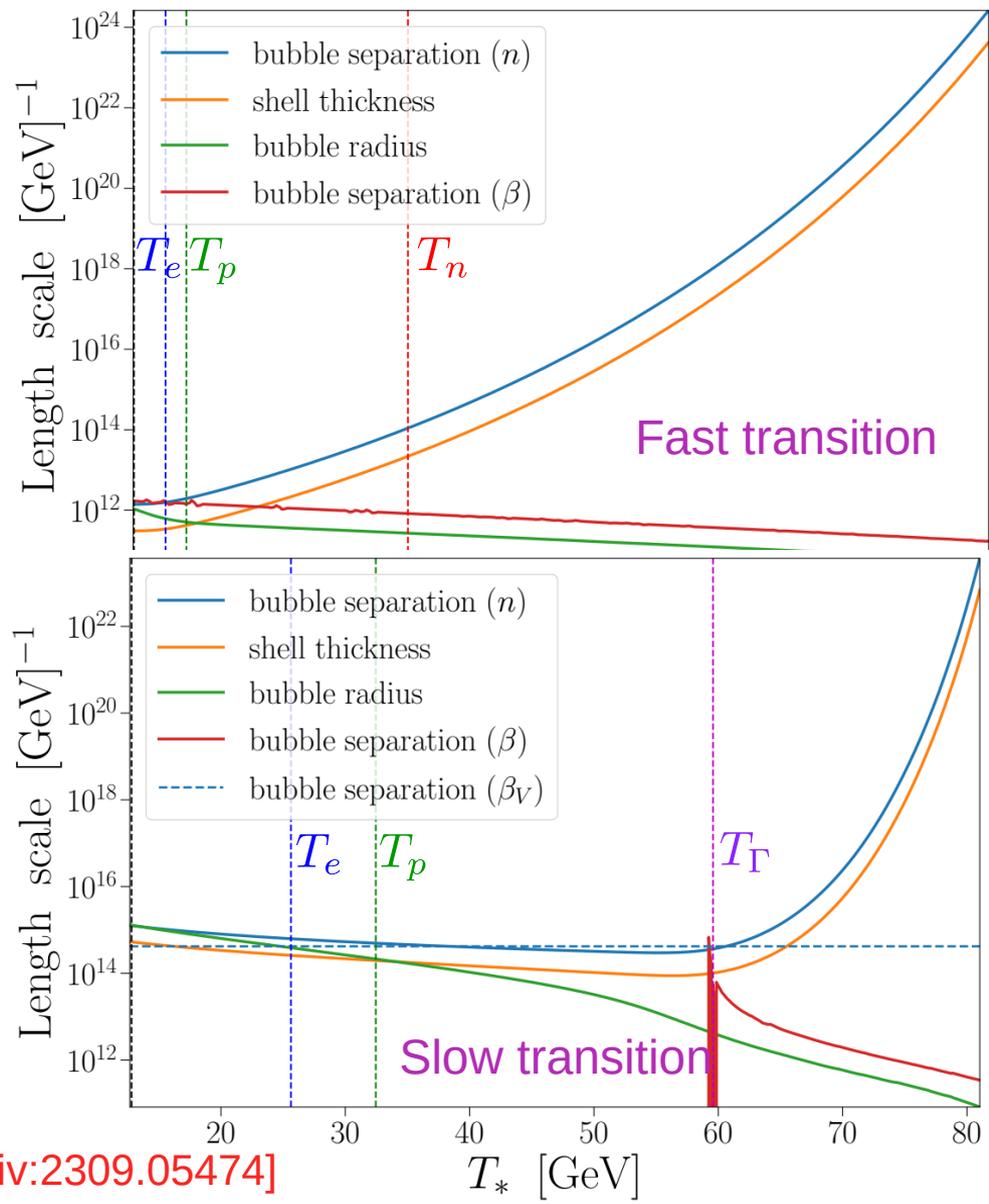
mean bubble separation varies a lot

Should not be used until $T \approx T_\Gamma$

Mean bubble radius varies more as bubbles have longer to grow.

Using $\beta(T)$ makes no sense below T_Γ
orders of magnitude errors above

$\beta_V \longrightarrow$ factor 1.5 drop in GW amplitude



Kinetic Energy Fraction

Kinetic energy fraction $K = \frac{\rho_{\text{kin}}(T_*)}{\rho_{\text{tot}}(T_*)}$,

~ energy available
for a particular source of GWS

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Outside of hydrodynamical simulations approximations are used:

Average kinetic energy,
(single isolated bubble) $\rho_{\text{kin}} = \frac{3}{\xi_w^3} \int_0^\infty d\xi \xi^2 w(\xi) v^2(\xi) \gamma^2(v(\xi))$

[J.R.Espinosa, T.Konstandin, J.M.No, G.Servant, JCAP 06 (2010) 028]

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Bag Equation of state: $V(\phi_{\text{false}}) = \epsilon - aT^4 \longrightarrow \epsilon = \text{energy liberated from the vacuum}$
 $V(\phi_{\text{true}}) = -aT^4 \quad \rho_R = 3aT^4 \text{ Radiation energy density}$

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$$\alpha_\rho = \frac{\Delta\rho}{\rho_R}$$

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$$\alpha_\theta = \frac{\Delta(V - \frac{1}{4} T \frac{\partial V}{\partial T})}{\rho_R}$$

Trace anomaly

$$\theta = (\rho - 3p)/4$$

$$\alpha_p = \frac{\Delta p}{\rho_R}$$

pressure

$$p = -V$$

Actually

The **trace anomaly** corresponds to the energy released that could source GWs

$$T_{00} = \underbrace{w\gamma^2 v^2}_{\rho_K} + \underbrace{\sum_i \left(\dot{\phi}_i^2 + (\nabla\phi)^2 \right)}_{\rho_{\text{scalar}}} + \frac{3}{4}w + \theta. \quad \leftarrow \text{Trace anomaly}$$
$$\theta = \frac{1}{4}(\rho - 3p) = V - \frac{1}{4}T \frac{\partial V}{\partial T}$$

ρ_Q (heat)

[M. Hindmarsh, M. Hijazi, JCAP 12 (2019) 062]

This energy is then distributed amongst the fluid, the scalar field and heat

overestimate

$$\alpha_\rho = \frac{\Delta\rho}{\rho_R}$$

“Latent heat”

>

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overestimate → “Latent heat” Trace anomaly underestimate
 $\rho = V - T \frac{\partial V}{\partial T}$ $\theta = (\rho - 3p)/4$ pressure
 $\mathcal{O}(10)$ Important for fast transitions $p = -V$
 $\mathcal{O}(1/10)$

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

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Best: Fully account for departures from the bag model

$$\kappa := \frac{\rho_{\text{kin}}}{\theta_f - \theta_t} \quad \rho_{\text{tot}} = \theta_f + \frac{3}{4} w_f, \quad K = \frac{\kappa(\theta_f - \theta_t)}{\theta_f + \frac{3}{4} w_f} = \frac{\kappa \alpha_\theta}{1 + \alpha_\theta + \delta} \quad \delta = \frac{\theta_t}{\frac{3}{4} w_f}$$

Efficiency coefficient

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Pseudo-trace anomaly

$$\bar{\theta}(T) = \frac{1}{4} \left(\rho(T) - \frac{p(T)}{c_s^2(T)} \right)$$

Speed of sound ($c_s = \frac{1}{\sqrt{3}}$ in bag model)

Transition Solver

The good news is many of these issues can be avoided with careful numerical implementations

TransitionSolver is designed to treat these issues as well as can feasibly be done in BSM studies

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 v1 Release is imminent, ETA by end of ~~summer~~ winter 2023...

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→ v1 Release is imminent, ETA by end of ~~summer~~ winter 2023...

→ Future releases (v2) will automate effective potential, link to [DRalgo](#) for best feasible handling of effective potential as well!

Conclusions

- Very exciting recent results indicate we have entered an era where GW experiments have sensitivity to SGBG from BSM physics
- Now things are real and we really need to understand uncertainties and make reliable predictions of GW spectra from BSM physics scenarios:
 - * For slow transitions, checking the phase transition completes is essential.
 - * The temperature dependence of predictions can be significant! The **nucleation temperature** is a bad choice, **the percolation temperature** seems reasonable.
 - * The β approximation for length scale can lead to significant error even in fast transitions.
 - * Latent heat (and pressure) approximations for the Kinetic energy fraction give significant errors for fast transitions
- Its very important that the theory community takes this seriously and BSM predictions are done as well as possible.
- **TransitionSolver** is here to help!

The END

Thanks for listening!

This talk is based on:

- PA, C. Balázs, A. Fowlie, L. Morris, L. Wu, arxiv:2305.02357, (Invited review for Progress in Particle and Nuclear Physics), (Accepted) 155 pages
- PA, C. Balázs, L. Morris, JCAP 03 (2023), 006, 57 pages
- PA, L. Morris, Z. Xu , arXiv:2309.05474,
- PA, A. Fowlie, Chih-Ting Lu, L. Morris, L. Wu, Yongcheng Wu, Zhongxiu Xu, arXiv:2306.17239,
- PA, C. Balázs, T. Gonzalo, M. Pearce, arXiv:2307.02544,
- PA, C. Balázs, A. Fowlie, L. Morris, G. White, Y. Zhang, JHEP 01 (2023) 050, 45 pages

Back up
/
Seminar versions

Comparison of predictions for a weakly supercooled point

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ($\times 10^{-13}$)	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ($\times 10^{-14}$)	$f_{\text{sw}}^{\text{lat}}$ ($\times 10^{-5}$)	$f_{\text{sw}}^{\text{ss}}$ ($\times 10^{-4}$)	$h^2\Omega_{\text{turb}}$ ($\times 10^{-16}$)	f_{turb} ($\times 10^{-5}$)	SNR_{lat}	SNR_{ss}	α ($\times 10^{-2}$)	κ	K ($\times 10^{-3}$)
None	3.590	5.673	129.6	60.20	3.898	287.0	10.08	2.031	5.450	0.2074	10.64
$T_* = T_e$	2.552	4.042	159.9	73.75	2.662	354.2	8.763	1.204	5.575	0.2096	10.99
$T_* = T_f$	2.146	3.410	181.7	82.91	2.187	402.5	8.110	0.8892	5.771	0.2129	11.54
$T_* = T_n$	676.5	1046	2.189	1.098	8968	4.849	1.310	5.142	4.297	0.1857	7.597
$R_{\text{sep}}(\beta)$	2.019	3.191	177.5	82.45	2.078	393.1	7.510	0.8449			
$K(\alpha(\theta))$	3.372	5.329			3.676		9.469	1.908	5.362	0.2011	10.23
$K(\alpha(p))$	1.428	2.256			1.649		4.010	0.8081	3.698	0.1682	5.997
$K(\alpha(\rho))$	14.45	22.84			14.61		40.59	8.172	10.35	0.2736	25.68
ϵ_2					11.03		10.11	2.064			
ϵ_3					290.2		11.21	3.406			
ϵ_4					301.7		11.26	3.462			

Comparison of predictions for a weakly supercooled point in SSM

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Differences in K: trace anomaly approximation is quite good in this case

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ($\times 10^{-13}$)	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ($\times 10^{-14}$)	$f_{\text{sw}}^{\text{lat}}$ ($\times 10^{-5}$)	$f_{\text{sw}}^{\text{ss}}$ ($\times 10^{-4}$)	$h^2\Omega_{\text{turb}}$ ($\times 10^{-16}$)	f_{turb} ($\times 10^{-5}$)	SNR_{lat}	SNR_{ss}	α ($\times 10^{-2}$)	κ	K ($\times 10^{-3}$)
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Comparison of predictions for a weakly supercooled point in SSM

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Differences in sound wave amplitude (sound shell): latent heat (and pressure) variants give substantial differences

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ($\times 10^{-13}$)	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ($\times 10^{-14}$)	$f_{\text{sw}}^{\text{lat}}$ ($\times 10^{-5}$)	$f_{\text{sw}}^{\text{ss}}$ ($\times 10^{-4}$)	$h^2\Omega_{\text{turb}}$ ($\times 10^{-16}$)	f_{turb} ($\times 10^{-5}$)	SNR_{lat}	SNR_{ss}	α ($\times 10^{-2}$)	κ	K ($\times 10^{-3}$)
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$T_* = T_e$	2.552	4.042	159.9	73.75	2.662	354.2	8.763	1.204	5.575	0.2096	10.99
$T_* = T_f$	2.146	3.410	181.7	82.91	2.187	402.5	8.110	0.8892	5.771	0.2129	11.54
$T_* = T_n$	676.5	1046	2.189	1.098	8968	4.849	1.310	5.142	4.297	0.1857	7.597
$R_{\text{sep}}(\beta)$	2.019	3.191	177.5	82.45	2.078	393.1	7.510	0.8449			
$K(\alpha(\theta))$	3.372	5.329			3.676		9.469	1.908	5.362	0.2011	10.23
$K(\alpha(p))$	1.428	2.256			1.649		4.010	0.8081	3.698	0.1682	5.997
$K(\alpha(\rho))$	14.45	22.84			14.61		40.59	8.172	10.35	0.2736	25.68
ϵ_2					11.03		10.11	2.064			
ϵ_3					290.2		11.21	3.406			
ϵ_4					301.7		11.26	3.462			

Comparison of predictions for a weakly supercooled point in SSM

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

Differences in sound wave SNR: latent heat (and pressure) variants give substantial differences

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ($\times 10^{-13}$)	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ($\times 10^{-14}$)	$f_{\text{sw}}^{\text{lat}}$ ($\times 10^{-5}$)	$f_{\text{sw}}^{\text{ss}}$ ($\times 10^{-4}$)	$h^2\Omega_{\text{turb}}$ ($\times 10^{-16}$)	f_{turb} ($\times 10^{-5}$)	SNR_{lat}	SNR_{ss}	α ($\times 10^{-2}$)	κ	K ($\times 10^{-3}$)
None	3.590	5.673	129.6	60.20	3.898	287.0	10.08	2.031	5.450	0.2074	10.64
$T_* = T_e$	2.552	4.042	159.9	73.75	2.662	354.2	8.763	1.204	5.575	0.2096	10.99
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Comparison of predictions for a strongly supercooled point

[PA, L. Morris, Z. Xu, arXiv:2309.05474]

However the variation in K estimates is much smaller for strongly supercooled scenarios

Variation	$h^2\Omega_{\text{sw}}^{\text{lat}}$ ($\times 10^{-7}$)	$h^2\Omega_{\text{sw}}^{\text{ss}}$ ($\times 10^{-8}$)	$f_{\text{sw}}^{\text{lat}}$ ($\times 10^{-6}$)	$f_{\text{sw}}^{\text{ss}}$ ($\times 10^{-6}$)	$h^2\Omega_{\text{turb}}$ ($\times 10^{-10}$)	f_{turb} ($\times 10^{-6}$)	SNR_{lat}	SNR_{ss}	α	κ	K
None	1.861	3.748	9.345	23.48	6.348	20.70	249.6	307.7	1.651	0.7175	0.4536
$T_* = T_e$	4.318	8.872	7.908	19.12	14.74	17.52	443.7	498.2	4.257	0.8422	0.6950
$T_* = T_f$	17.04	35.42	4.111	9.722	81.84	9.106	864.5	876.4	71.06	0.9831	0.9803
$R_{\text{sep}}(\beta_V)$	1.193	2.402	12.80	32.17	3.394	28.36	222.6	356.9			
$K(\alpha(\theta))$	1.819	3.663			6.227		244.9	301.5	1.605	0.7269	0.4478
$K(\alpha(p))$	1.768	3.560			6.083		239.2	294.2	1.564	0.7269	0.4409
$K(\alpha(\rho))$	1.967	3.962			6.646		261.4	323.0	1.728	0.7383	0.4677
ϵ_2					17.95		700.0	742.2			
ϵ_3					0		18.36	130.9			
ϵ_4					288.4		11210	11230			

From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

Effective Potential: can be computed perturbatively with
finite temperature quantum field theory

However there are problems applying this for phase transitions at finite temp

- Unphysical Gauge dependence

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Like gauge freedom of electromagnetism $\mathbf{A} \rightarrow \mathbf{A} + \nabla\psi$ doesn't change

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But the **effective potential** *does* depend on the gauge – not an observable

Presents challenges in the phase tracing and transition rate calculations

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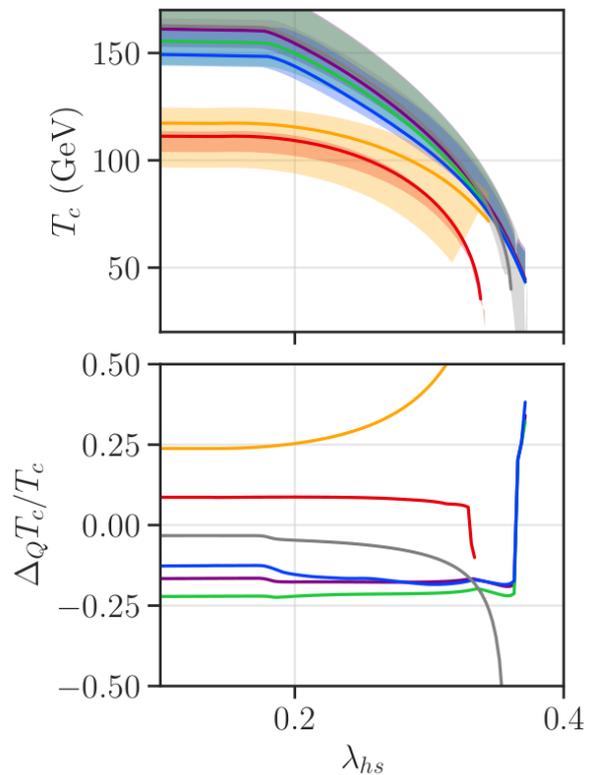
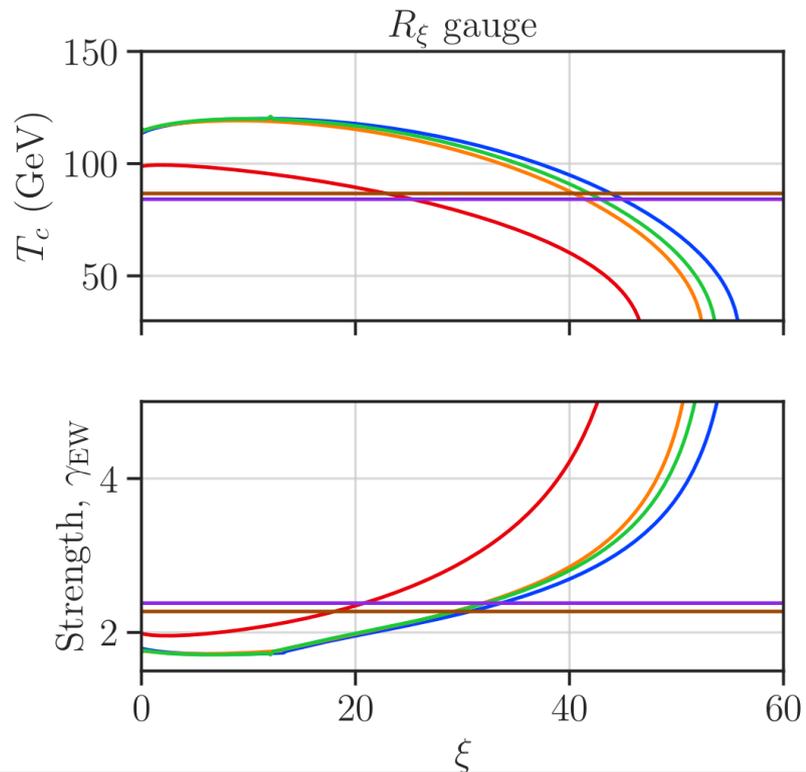
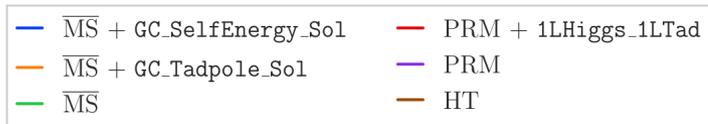
However there are problems applying this for phase transitions at finite temp

- Unphysical Gauge dependence
- Infrared divergences / problems with perturbativity for large T^2/m^2
- Many different scales in the problem
- thus large dependence on the renormalisation scale

Effective Potential

[PA, C. Balazs, A. Fowlie, L. Morris, G. White and Y.-Zhang, JHEP 01 (2023) 050]

Significant variance from gauge and renormalisation scale



Effective Potential

These issues have substantial impact on uncertainties in GW predictions

[Djuna Croon, Oliver Gould, Philipp Schicho, Tuomas V. I. Tenkanen, Graham White, JHEP 04 (2021) 055]

$\Delta\Omega_{\text{GW}}/\Omega_{\text{GW}}$	4d approach
RG scale dependence	$\mathcal{O}(10^2 - 10^3)$
Gauge dependence	$\mathcal{O}(10^1)$
High- T approximation	$\mathcal{O}(10^{-1} - 10^0)$
Higher loop orders	unknown
Nucleation corrections	unknown

Effective Potential

These issues have substantial impact on uncertainties in GW predictions

[Djuna Croon, Oliver Gould, Philipp Schicho, Tuomas V. I. Tenkanen, Graham White, JHEP 04 (2021) 055]

$\Delta\Omega_{\text{GW}}/\Omega_{\text{GW}}$	4d approach	3d approach
RG scale dependence	$\mathcal{O}(10^2 - 10^3)$	$\mathcal{O}(10^0 - 10^1)$
Gauge dependence	$\mathcal{O}(10^1)$	$\mathcal{O}(10^{-3})$
High- T approximation	$\mathcal{O}(10^{-1} - 10^0)$	$\mathcal{O}(10^0 - 10^2)$
Higher loop orders	unknown	$\mathcal{O}(10^0 - 10^1)$
Nucleation corrections	unknown	$\mathcal{O}(10^{-1} - 10^0)$

High temperature effects can be resummed by effective field theory techniques

But still room for non-perturbative effects

Effective Potential

These issues have substantial impact on uncertainties in GW predictions

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Nucleation corrections	unknown	$\mathcal{O}(10^{-1} - 10^0)$
Nonperturbative corrections	unknown	unknown

High temperature effects can be resummed by effective field theory techniques

But non-perturbative effects may cause problems

From particle physics theory to GWs

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Effective Potential:

Most rigorous approach is to do this non-perturbatively on lattice

This is how we know SM **EW** and **QCD** transitions are smooth cross-overs

[K. Kajantie, M. Laine, J. Peisa, K. Rummukainen, M. Shaposhnikov, PRL 77 (1996) 2887-2890,
Y. Aoki, G. Endrodi*, Z. Fodor*, S. D. Katz*, and K. K. Szabo, Nature, 443:675–678, 2006]
[*Eötvös affiliation]

Downside: Very **time consuming** to do this on the lattice

Not feasible for many transitions / models with huge parameter spaces

—————> Tension between rigour and feasibility

From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

Effective Potential:

- Standard: 4D Perturbative approach with “Daisy resummation” Easy to implement
Feasible for scans
- Better: 3D EFT Perturbative calculation Hard to implement*
Feasible for scans
- Gold standard: non-perturbative lattice Hard to implement
Not feasible for scans

* Very recently **DRalgo code** was developed to make this easier!

[Andreas Ekstedt, Philipp Schicho, Tuomas V. I. Tenkanen, Comp.Phys.Comm. 288 (2023) 108725]

State of the art: match to 3DEFT models with lattice results where possible,
use 3DEFT where not available (or create new lattice results...)

See e.g. [PRD 100 (2019) 11, 115024, Phys.Rev.Lett. 126 (2021) 17, 171802]

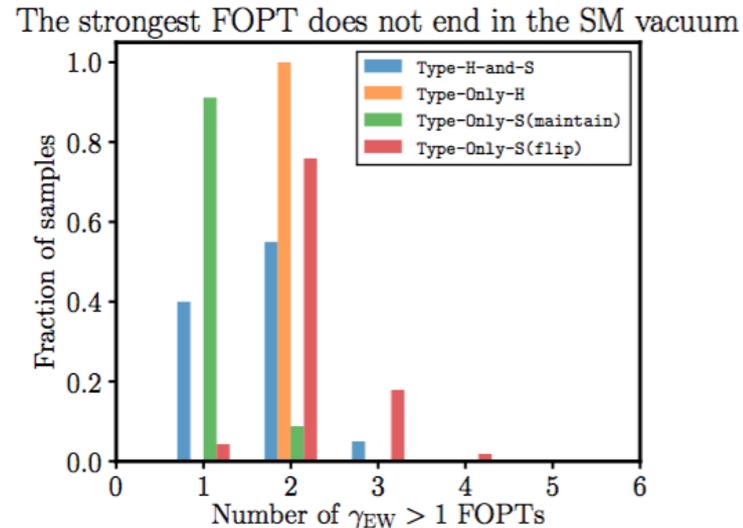
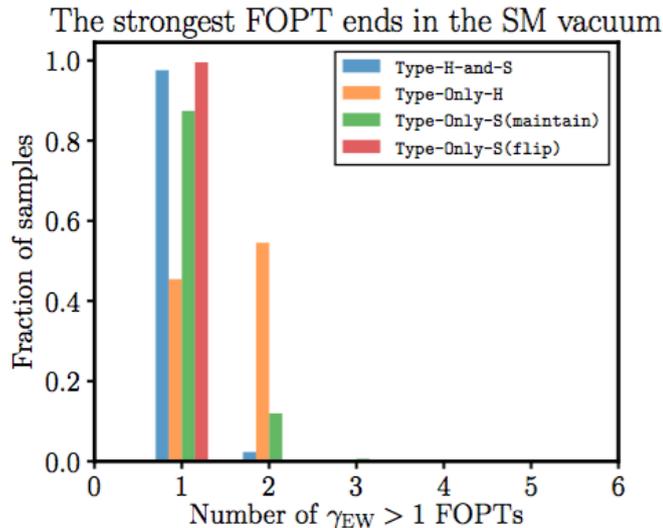
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Phase Tracing

This is not straightforward:

multiple FOPTs and possible paths common in realistic models



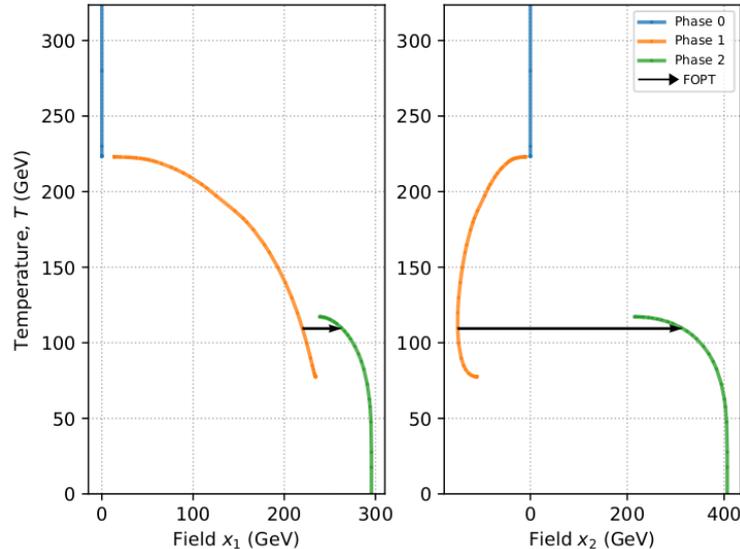
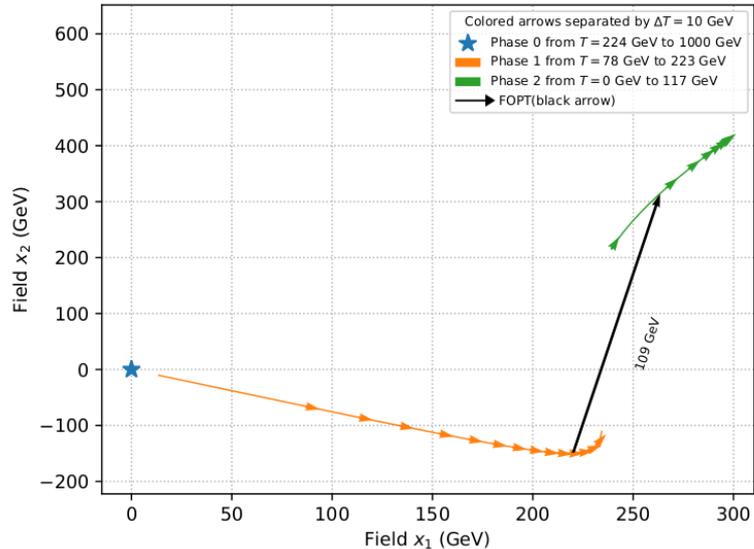
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Phase Tracing

This is not straightforward:

multiple FOPTs and possible paths common in realistic models



Careful algorithms needed to handle this, e.g.

PhaseTracer

From particle physics theory to GWs

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Transition rates

Semi-classical approx

$$\Gamma \approx A e^{-B}$$

Action at
saddle point

B solved by finding a “bounce” instanton solution numerically

Tricky numerical problem, many public bounce solvers

Fluctuations
around
saddle point

[CosmoTransitions](#) [C. L. Wainwright, CPC 183 (2012) 2006–2013,],

[AnyBubble](#) [A. Masoumi, K. D. Olum and B. Shlaer, JCAP 1701 (2017) 051],

[BubbleProfiler](#) [PA, Balazs, Bardsley, Fowlie, Harries & White CPC 244 (2019) 448-468]

[SimpleBounce](#) [Ryosuke Sato, CPC 258 (2021) 107566]

All bounce solvers to date have some significant drawbacks

(numerical stability, reliability, noise/precision, speed, number of fields)

From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \xrightarrow{\text{orange}} \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

Transition rates

Semi-classical approx

$$\Gamma \approx A e^{-B}$$

Action at saddle point

A usually assumed less important,
Often estimated on dimensional grounds

Fluctuations around saddle point

$$A \approx T^4$$

$$A \approx T^4 \left(B / (2\pi T)^{3/2} \right)$$

Problem: what if **A** has exponential dependence?



Calculate it directly



BubbleDet

[Ekstedt, Gould, and Hirvonen, arXiv:2308.15652]

From particle physics theory to GWs

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Gauge dependence for critical temperatures can be obtained with \hbar expansion

[H.H. Patel and M.J.Ramsey-Musolf, JHEP 07 (2011) 029]

Downside: can't be combined with Daisy resummation, fewer precision corrections

From particle physics theory to GWs

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Gauge independent calc for critical temperatures can be obtained with \hbar expansion
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Gauge independent calc for critical temperatures can be obtained with \hbar expansion

[Lofgren, Ramsey-Musolf, Schicho, Tenkanen, PRL, 130 (2023) 25, 251801,
(+Hirvonen) JHEP 07 (2022) 135]

Downside: can't go beyond NLO, not yet implemented in any public software

From particle physics theory to GWs

$$\hat{V}_{\text{eff}} \longrightarrow \phi_i^{\text{min}}(T) \longrightarrow \Gamma(\phi_i^{\text{min}} \rightarrow \phi_j^{\text{min}}) \longrightarrow P_f(T), N(T) \longrightarrow \alpha, \beta, v_w \longrightarrow h^2 \Omega(f)$$

Bubble dynamics, nucleation & growth

Does the phase transition complete?

Interactions with plasma at
the bubble wall

This depends on the bubble wall velocity v_w

Need to calculate friction $p_{\text{tot}} = p_{\text{driving}} - p_{\text{friction}}$

From vacuum energy difference

Friction grows as bubble wall velocity increases

Completion and (indirectly) the GW spectrum depend on the **bubble wall velocity** v_w

Interactions with plasma at the bubble wall

Need to calculate friction $p_{\text{tot}} = p_{\text{driving}} - p_{\text{friction}}$

From vacuum energy difference

Friction grows with v_w \longrightarrow Expect a terminal v_w when $p_{\text{driving}} = p_{\text{friction}}$

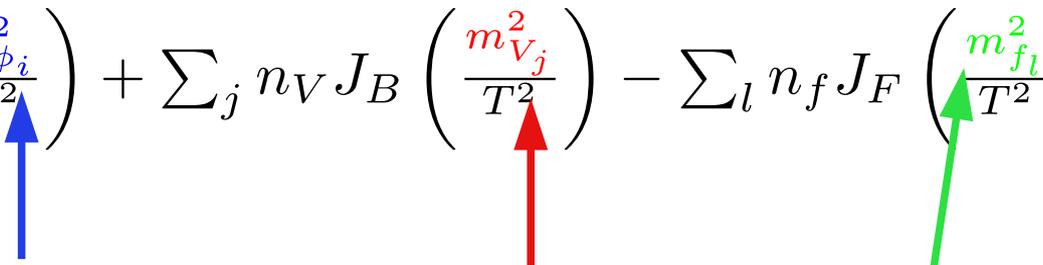
Calculating friction - very active topic

Unresolved Issues

Common approaches:

- Vary v_w as an input parameter / uncertainty
- Fix to e.g. $v_w \approx c$
- Use special choice (Champman-Jouguet velocity)

In the Landau gauge you actually get:

$$V_T = \frac{T^4}{2\pi^2} \left[\sum_i n_\phi J_B \left(\frac{m_{\phi_i}^2}{T^2} \right) + \sum_j n_V J_B \left(\frac{m_{V_j}^2}{T^2} \right) - \sum_l n_f J_F \left(\frac{m_{f_l}^2}{T^2} \right) \right]$$


Field dependent masses of the **scalar bosons**, **vector bosons** and **fermions**

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These are functions for **bosons** and **fermions** of the form:

$$J_B(y^2) = \int_0^\infty dk k^2 \log \left[1 - e^{-\sqrt{k^2+y^2}} \right] \quad J_F(y^2) = \int_0^\infty dk k^2 \log \left[1 + e^{-\sqrt{k^2+y^2}} \right]$$

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To get insight do a high temperature expansion $y^2 \ll 1$ i.e. $T \gg m^2$

$$J_B^{HT}(y^2) \approx -\frac{\pi^4}{45} + \frac{\pi^2}{12}y^2 - \frac{\pi}{6}y^3 + \dots$$

$$J_F^{HT}(y^2) \approx \frac{7\pi^4}{360} - \frac{\pi^2}{24}y^2 \dots$$

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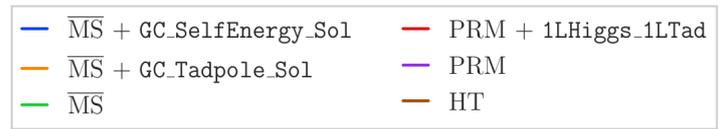
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$\sim T^2 \phi^2$ Quadratic term!

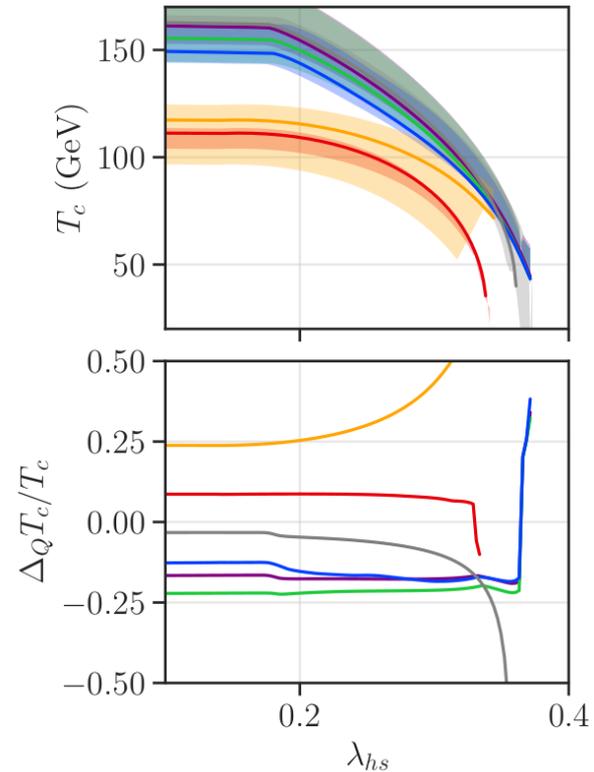
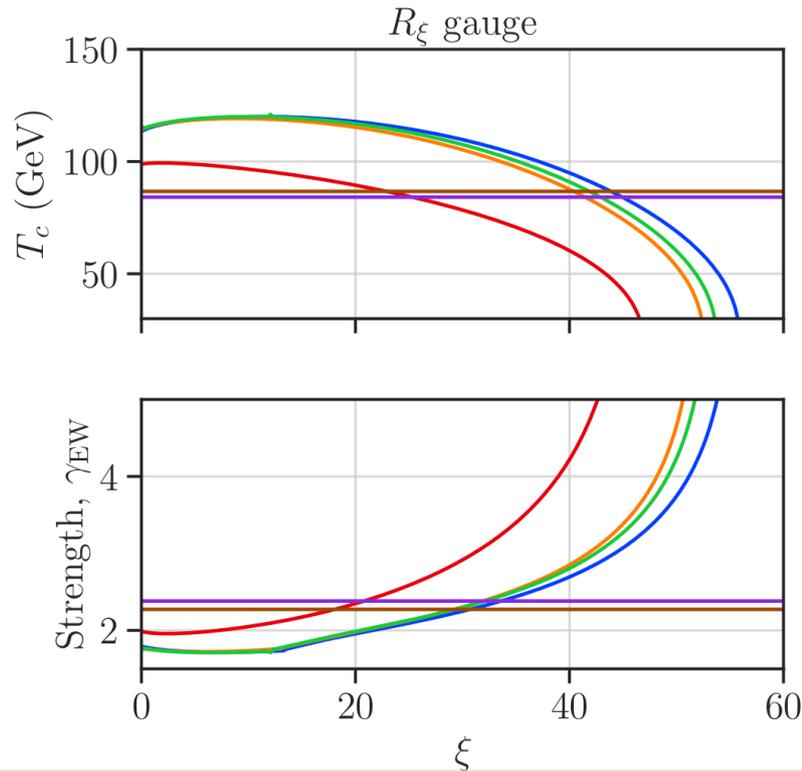
$\sim T \phi^3$ Cubic term!

Effective Potential



Perturbative estimates of the effective potential can be tricky

Significant variance from gauge and renormalisation scale



Effective Potential

Perturbative estimates of the effective potential can be tricky

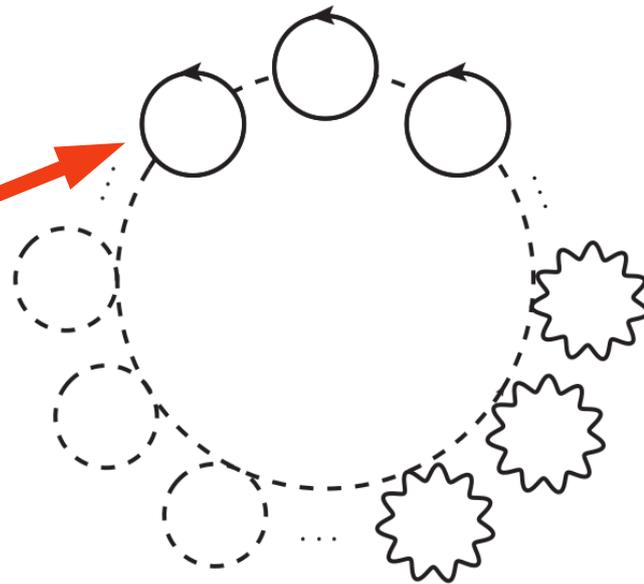
Significant variance from gauge and renormalisation scale

Resummation needed to deal with high temperatures spoiling perturbativity

Daisy diagram with N-loops

Individual petals are inserted
one-loop corrections

Resum daisy diagrams for leading
order $\frac{T^2}{m^2}$



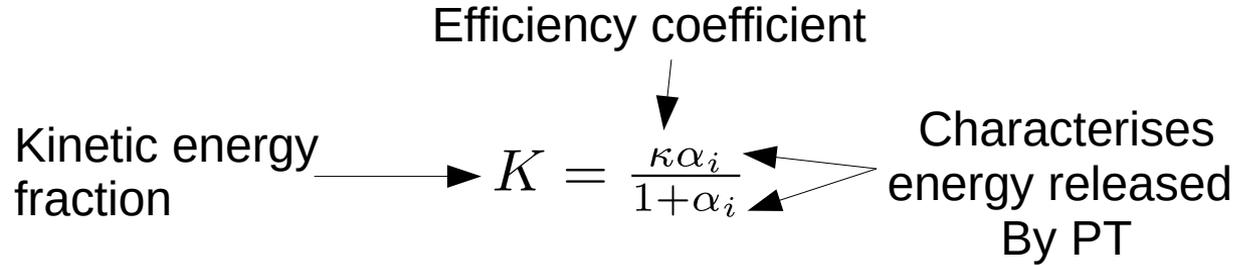
Effective Potential

- Better resummation by constructing a 3DEFT often called Dimensional Reductions (see e.g. D.Croon, O.Gould, P.Schicho, T.Tenkanen and G.White [JHEP 04 \(2021\) 055](#))
- This can be done via automation of [DRalgo](#) for best feasible handling of effective potential as well!
- Gold standard is really to do things non-perturbatively on the lattice
- Not really feasible for scans in BSM models with many parameters
- But can be done for most exciting cases.

Bag model approximation breaks down when some masses $M \sim T$

We expect exactly this in EW transitions

Need to generalise from constant ϵ



\longrightarrow Extract ϵ, a from potential + get κ from [JCAP 06 \(2010\) 028](#) for given v_w

Common generalisations

$$\alpha_\rho = \frac{\Delta\rho}{\rho_R}$$

“Latent heat”

$$\rho = V - T \frac{\partial V}{\partial T}$$

$$\alpha_\theta = \frac{\Delta(V - \frac{1}{4} T \frac{\partial V}{\partial T})}{\rho_R}$$

Trace anomaly

$$\theta = (\rho - 3p)/4$$

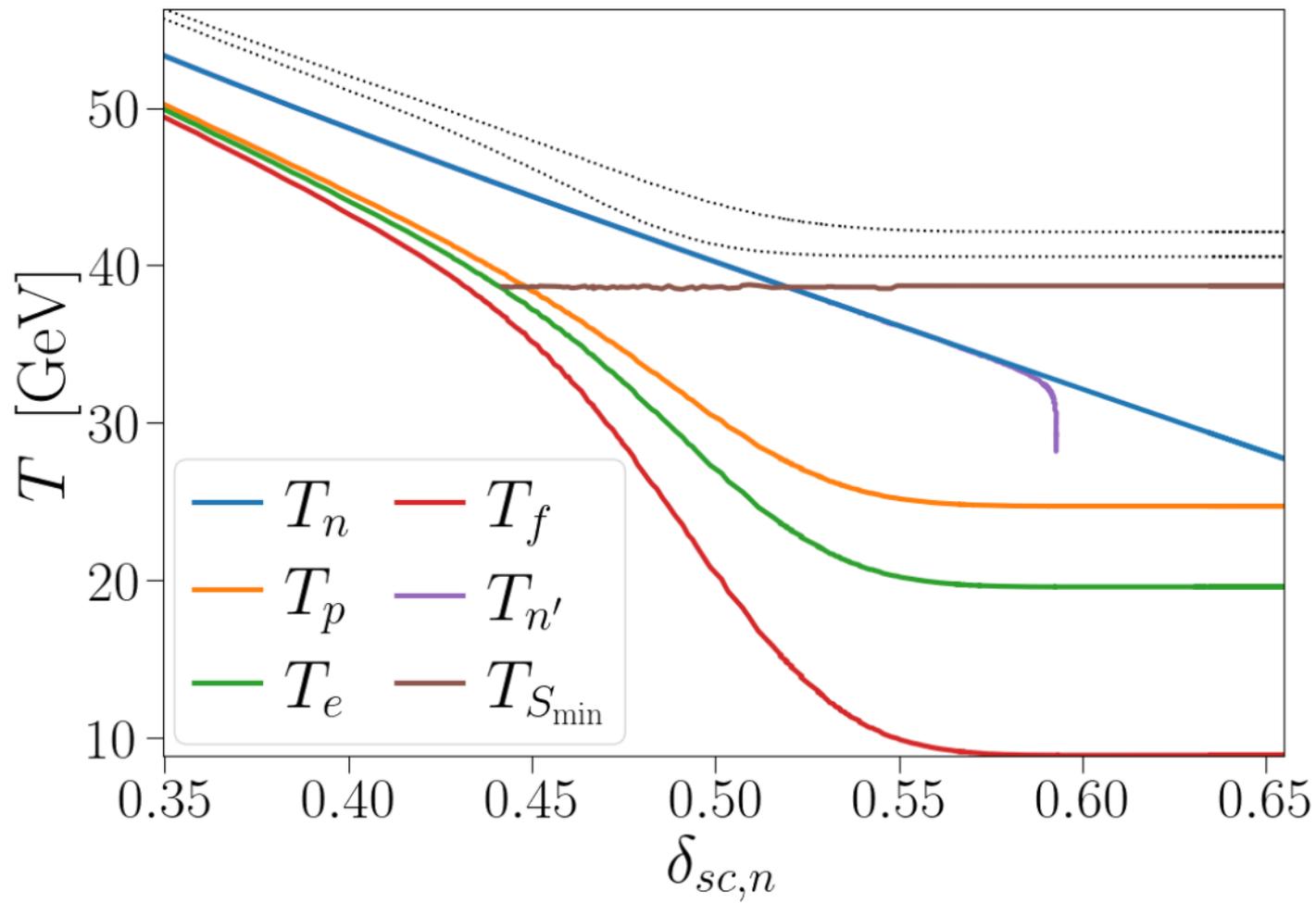
$$= V - \frac{1}{4} T \frac{\partial V}{\partial T}$$

$$\alpha_p = \frac{\Delta p}{\rho_R}$$

pressure

$$p = -V$$

Temperature dependence



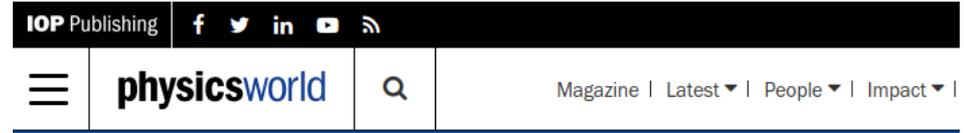
Big news last month:

A stochastic gravitational wave background has been observed
by multiple Pulsar Timing Arrays experiments

Conservative interpretation would
be supermassive black holes

Nonetheless SGWB is now a real
thing to be used as data!

Now we really need to think about
how precise our calculations are!



ASTRONOMY AND SPACE | RESEARCH UPDATE



Pulsar timing irregularities reveals hidden gravitational-wave background



29 Jun 2023

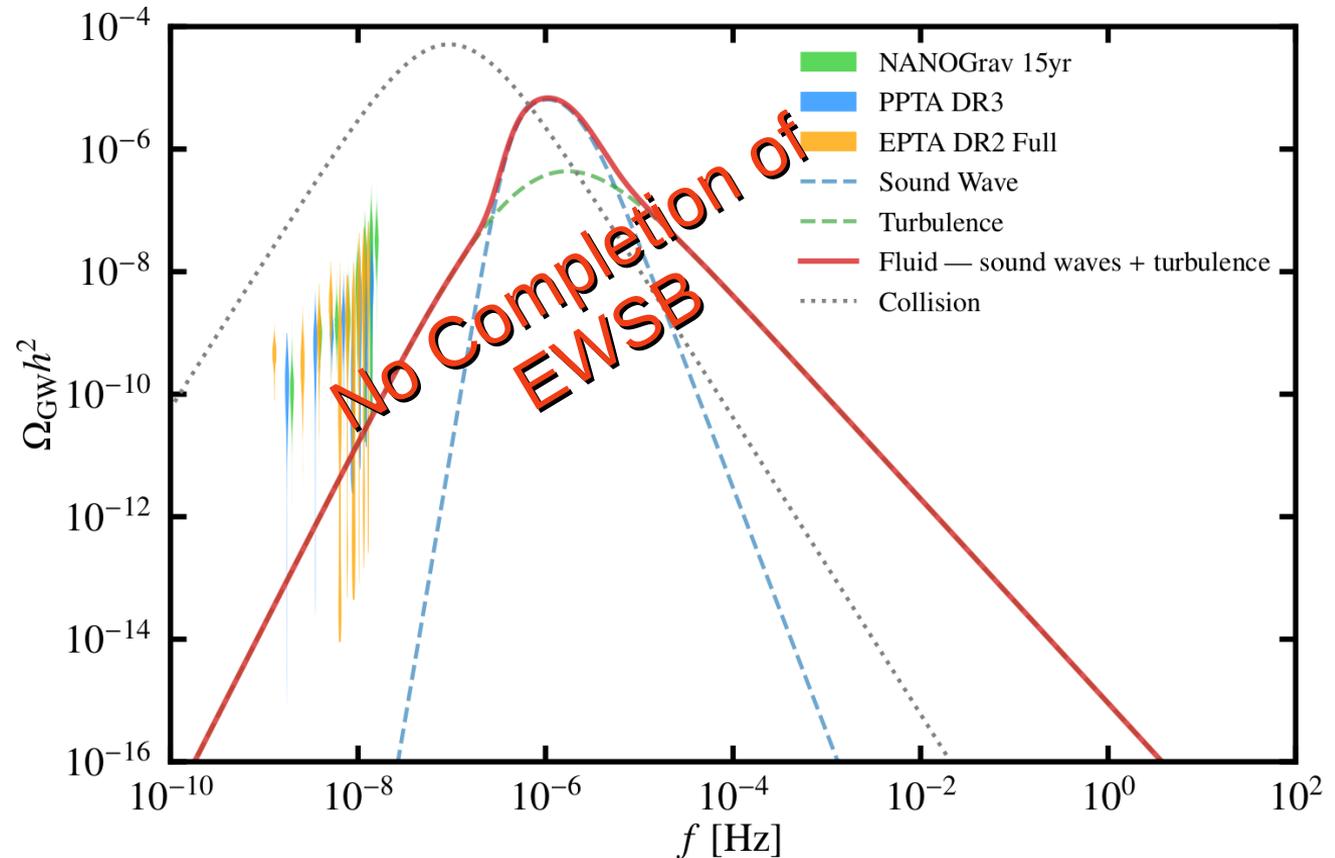


Cosmic claims: researchers have used radiotelescopes around the world to hunt for gravitational waves using the subtle variations in the timing of pulsars. (Courtesy: Aurore Simonnet for the NANOGrav Collaboration)

Big news last month:

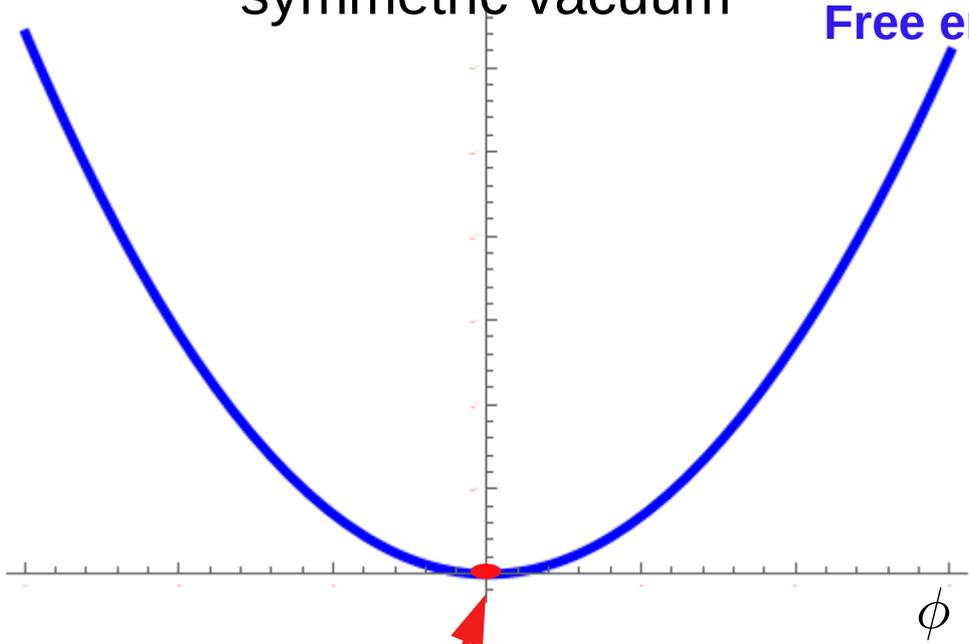
A stochastic gravitational wave background has been observed
by multiple Pulsar Timing Arrays experiments

Larger signals are ruled
out in this model
precisely because of one
of the subtle effects I will
discuss today!



If $\mu^2 > 0$

Electroweak symmetric vacuum

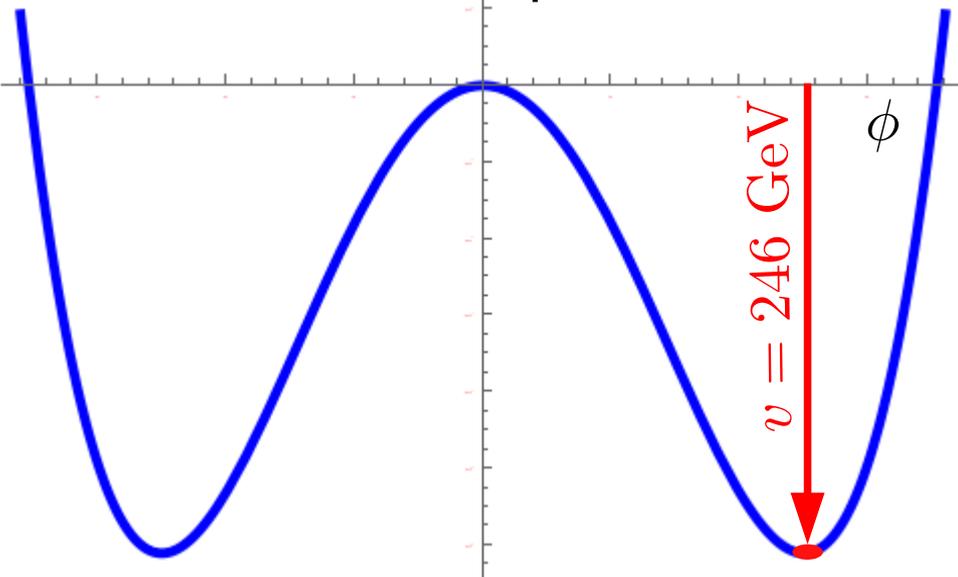


Minimum of the free energy

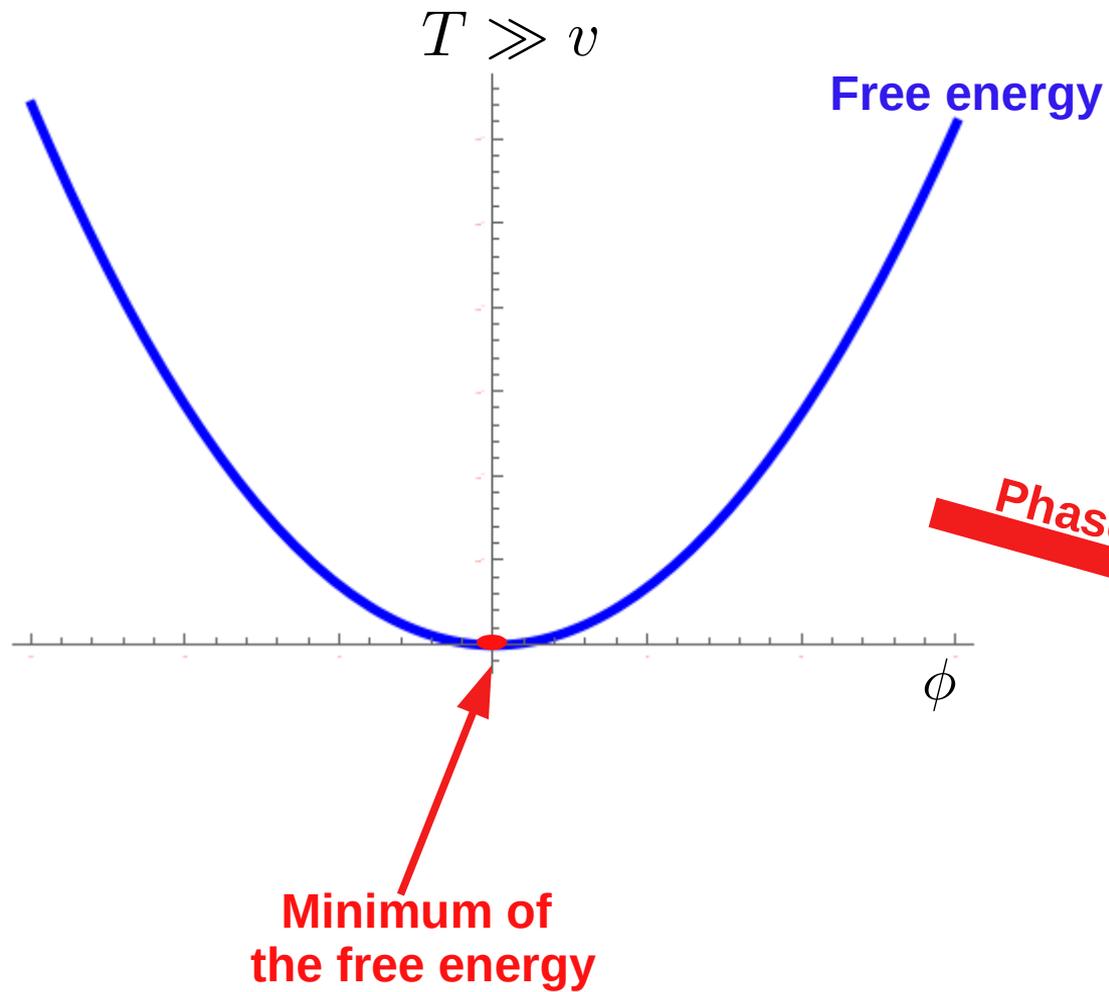
$$V(\phi) = \mu^2(\phi\phi^*) + \lambda(\phi\phi^*)^2$$

If $\mu^2 < 0$

Electroweak symmetry breaking vacuum
"Mexican hat potential"



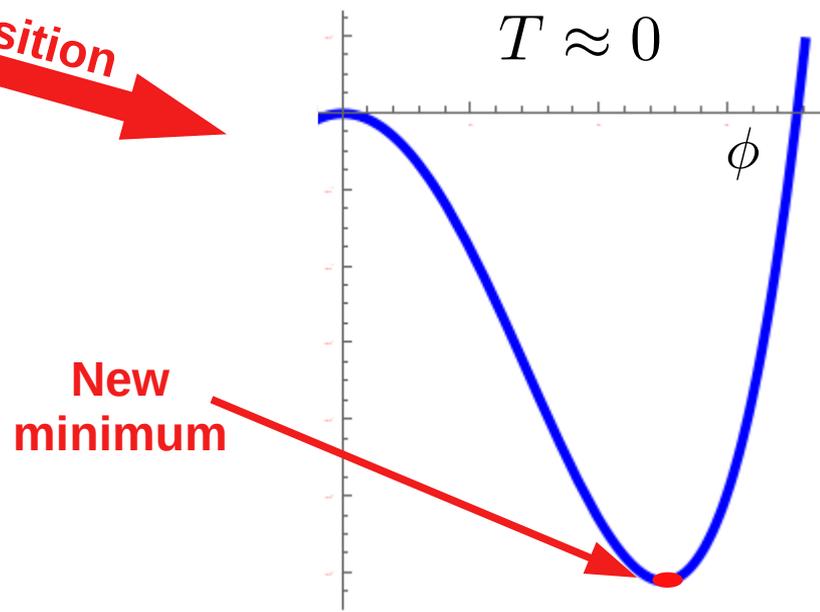
$v = 246$ GeV



Phase Transition



Detailed description: A thick red arrow pointing from the left graph to the right graph, indicating a phase transition.



GWs from First Order Phase Transitions

There are many subtleties and challenges in calculating GW spectra from cosmological PTs

For example this makes it easy to mistakenly predict a given model explains the data, get the wrong projections for future experiments or miss correlated features/constraints

I will discuss some subtle issues from JCAP 03 (2023), 006 and our review arxiv:2305.02357

- Nucleation is not enough – check PT completes
- Temperature dependence is very important, using most relevant temperatures really matters
- Hidden assumptions and approximations in thermal parameters and fits to calculations or simulations of gravitational wave spectra
- Resummation and gauge invariance in the effective potential treatment

There are many other details I can't cover, see original papers for details

Check the phase transition completes

Especially for EWBG studies a common procedure was just finding FOPTS and checking it had $\gamma = v/T_c \gtrsim 1$

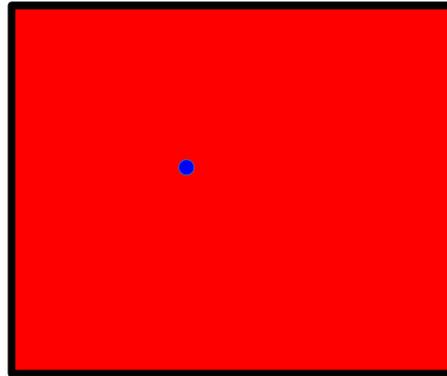
More careful studies checked that bubbles nucleate (one per Hubble volume)

$$N(T) = \int_T^{T_c} dT' \frac{\Gamma(T')}{T' H^4(T')}$$

Nucleation rate is computed from the bounce action, obtained from a bounce solver (e.g. BubbleProfiler, CosmoTransitions)

$$N(T_n) = 1$$

Hubble volume



Check the phase transition completes

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Much better to calculate the false vacuum fraction

$$P_f(T) = \exp \left[-\frac{4\pi}{3} v_w^3 \int_T^{T_c} \frac{\Gamma(T') dT'}{T'^4 H(T')} \left(\int_T^{T'} \frac{dT''}{H(T'')} \right)^3 \right]$$

Check this can be reduced to a sufficiently small value, e.g. $P_f(T_f) < 0.01$

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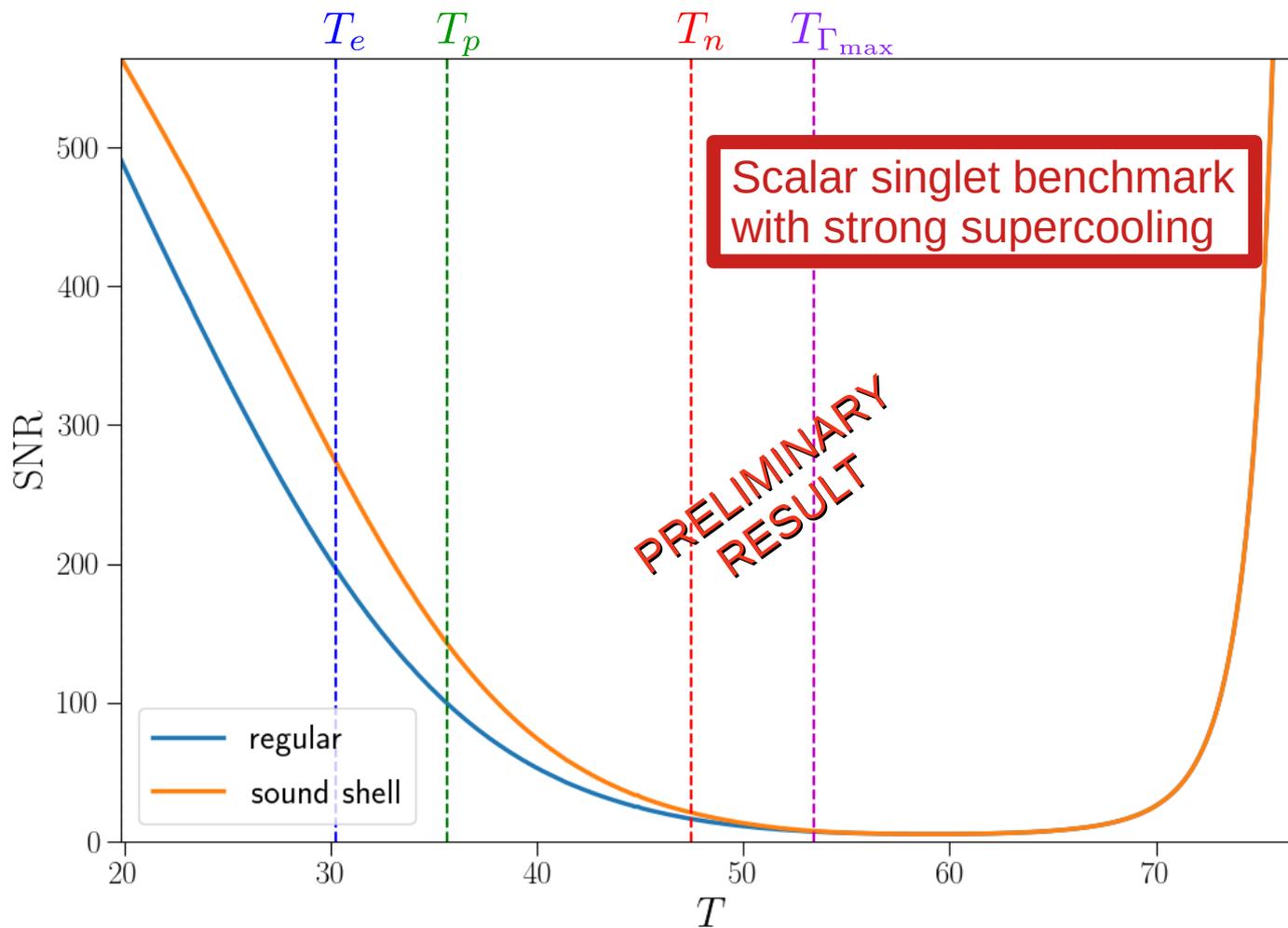
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Warning: even this may not be enough to guarantee completion since space between the bubbles is also growing.

Temperature dependence



Very significant difference between SNR at percolation vs nucleation!

**PRELIMINARY
RESULT**